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# QUATERLY PROGRESS REPORT 2011



**July – September**

**Community Based Approach to Local Development Project - II**

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## LIST OF ABBREVIATIONS

ARC	Autonomous Republic of Crimea
CBA	Community Based Approach to Local Development Project
CDO	Community Development Officer (CBA staff in the region)
CDP	Community Development Plan
CO	Community Organisation
EU	European Union
FP	Focal Person
LDF	Local Development Forum
MoU	Memorandum of Understanding (similar to PA)
MPP	Micro Project Proposal
MP	Micro Project (Community Project)
OC	Oblast Council
OCC	Oblast Coordination Council
OCRC	Oblast Community Resource Centre (same as OIU)
OIU	Oblast Implementation Unit (same as OCRC)
OSA	Oblast State Administration
PA	Partnership Agreement (similar to MoU)
PMU	Project Management Unit (CBA head office in Kyiv)
RC	Rayon Council
RCRC	Rayon Community Resource Centre
RSA	Rayon State Administration
UNDP	United Nations Development Programme
VC/CC	Village Council/City Council

## ACKNOWLEDGEMENT TO OUR PARTNERS



Secretariat of the Cabinet of  
Ministers of Ukraine



Cabinet of  
Ministers and  
Supreme Council of  
ARC



Cherkaska Oblast  
State  
Administration and  
Council



Chernihivska  
Oblast State  
Administration and  
Council



Chernivetska  
Oblast State  
Administration and  
Council



Dnipropetrovska  
Oblast Council



Donetska  
Oblast State  
Administration and  
Council



Ivano-Frankivska  
Oblast State  
Administration and  
Council



Kharkivska Oblast  
State  
Administration and  
Council



Khersonska  
Oblast State  
Administration and  
Council



Kirovohradska  
Oblast State  
Administration and  
Council



Khmelnytska  
Oblast State  
Administration and  
Council



Kyivska  
Oblast State  
Administration and  
Council



Lvivska  
Oblast State  
Administration and  
Council



Luhanska  
Oblast State  
Administration and  
Council



Mykolaivska  
Oblast State  
Administration and  
Council



Odeska  
Oblast State  
Administration



Poltavska  
Oblast State  
Administration and  
Council



Rivnenska  
Oblast State  
Administration and  
Council



Sumska  
Oblast State  
Administration and  
Council



Vinnytska  
Oblast State  
Administration and  
Council



Volynska  
Oblast State  
Administration and  
Council



Ternopilska  
Oblast  
Administration and  
Council



Zakarpatska  
Oblast State  
Administration and  
Council



Zaporizka Oblast  
State  
Administration and  
Council



Zhytomyrska  
Oblast State  
Administration

## EXECUTIVE SUMMANRY

Second phase of the EU / UNDP Community Based Approach to Local Development Project (CBA) was launched in June 2011 with objectives to promote community based approach to local governance and sustainable development and to enhance energy efficiency at local level.

In the third quarter of 2011, CBA activities were mostly dedicated to launching of the Project at all levels: national, regional, rayon, and local. Summary of CBA activities during the reporting period is given hereunder.

- **Establishing partnership:** in the third quarter, launching seminars were organized in 24 oblasts and ARC. Partnership agreements were signed with 23 oblasts and ARC. Though the open competition, 196 rayons (including 2 small towns) were selected for participation in CBA-II. Of them, authorities of 185 rayons signed partnership agreements with UNDP. Similarly, 714 village/city councils were selected for participation. As of end of third quarter, 280 local communities were selected for participation in CBA.
- **Support structures:** in the third quarter, 71 community organisations were grafted from CBA-I period and 5 new COs were formed; 91 Local Development Forums were grafted from CBA-I period and 3 new LDFs were formed in new rayons. Similarly, 13 RCRCs were established in pilot rayons and 57 RCRCs were grafted from CBA-I period.
- **Capacity building:** in the third quarter, training for all project personnel was organized. Three trainings for COs were conducted in Ternopilska oblast from which 51 CO-executives and 19 local authorities were trained. Following manuals were reviewed and updated so as to serve the purpose of CBA-II: CBA operation manual, CO manual, technical manual, manual for participatory assessment, visibility guidelines.
- **Micro-Project:** During the quarter 19 community development plans were approved by LDFs for funding.
- **Information and communication:** in the reporting period, 212 media events were organized (including regional, rayon seminars, press-conferences etc); 766 cases of media coverage were registered; 24 issues of regional newsletters (4783 printed and 1142 electronic copies) were disseminated. In third quarter CBA appeared in facebook.
- **Knowledge management:** partnership agreement was signed between UNDP and National Academy of Public Administration for raising knowledge of civil servants in the area of community based approach to local sustainable development. Publications on CBA-I experience were finalized and prepared for printing. Meeting was held with executive of Ukrainian Association of Rayon and Oblast Councils; preparation for cooperation with academia was launched.
- **Financial Delivery:** As of end of third quarter 2011, 658 764 USD (762 452 Euro) was delivered for different Project activities.

## STATISTICAL ABSRACT

	Activity	Targets		3 <sup>rd</sup> Quarter 2011
		Unit	Qty	
1	Partnership agreements signed with regions	Number	25	24
2	Rayons selected	Number	200	196
	'old' (from CBA-I)	Number		94
	'new'	Number		102
3	Partnership agreements with selected rayons	Number	200	185
4	VC/CC selected	Number	900	714
	'old' (from CBA-I)			141
	'new'			573
5	Partnership agreement with selected VC/CC	Number	900	22
6	Communities selected		900	280
	'old' (from CBA-I)	Number		71
	'new'	Number		209
7	Community organizations		900	76
	'old' (from CBA-I)	Number		71
	'new'	Number		5
	Formed	Number		5
	Enrolled with VC/CC	Number		5
	Legally registered	Number		5
8	Local Development Forums (LDF)	Number	200	94
	LDF - Old		-	91
	LDF -new	Number	-	3
	LDF sittings held	Number	-	4
	Oblast Coordination Councils (OCC)	Number	25	25
	OCC sittings held	Number	-	-
9	Rayon Community Resource Centers	Number		70
	Rayon Community Resource Centers ('old')			57
	Rayon Community Resource Centers (new)	Number		13
10	Human Resource Development			
	Training conducted	Number	-	3
	Training participants	Number	8000	70
	CO-members	Number	6000	51
	Local authorities	Number	2000	19
11	Micro-Project			
	Comm. dev. plan mainstreamed	Number	900	19
12	Information campaign and media strategy			
	Media events	Number	-	212
	Media coverage	Number	-	766
	Publications (web sites)	Number	-	326
	Newsletters	Number	-	24

## INTRODUCTION

Authorities and communities in Ukraine lack the capacity to jointly plan, budget and implement local development strategies. Such limited capacities create a scenario where local development priorities attract insufficient focus and resources; poverty level remains high, local living conditions continue to deteriorate and negative social trends often remain unaddressed. First phase of CBA succeeded in scaling up the community led approach to local development countrywide. It contributed to strengthening participatory governance, supported and developed local capacities for community-based development, and facilitated a collaborative relationship between communities and local authorities. While some progress has been achieved to improve transparency, accountability and quality of public services, there remains room for further improvement and dissemination of the approach.

CBA-II Project is funded by the European Union and is co-financed and implemented by UNDP, with the support of the Government of Ukraine and in partnership with local executive bodies/ bodies of self-governance. The Project's total budget is €17 million with 98.4% contribution from EU and 1.6% cost sharing from UNDP. Project time frame is 4 years (June 2011 – June 2015).

CBA Project specifically aims to promote community based approach to participatory governance and sustainable development and to enhance energy efficiency at local level.

The Project is implemented in all 25 regions of Ukraine covering 200 rayons, 900 village/city councils (with less than 10,000 population) and 900 local communities. It seeks coordination of stakeholders at all level to achieve the objectives. At national level the project works with line ministries, parliamentary committees, national associations of local councils and other counterparts under overall coordination of the Secretariat of the Cabinet of Ministers of Ukraine. At regional level Project cooperates with oblast state administrations and oblast councils (in case of AR Crimea – with ARC Council of Ministers and Verkhovna Rada of AR Crimea). At rayon level, CBA partners with rayon state administrations and rayon councils. At local level, partnership is established with village / city councils.

The project uses social mobilisation approach to mobilise stakeholders and create environment (support structures) for joint decision-making and joint implementation. Community organisation (CO) is formed by representation of 80% or more households on the selected community to reflect common community vision and implementation of community priorities; local development forum (LDF) is developed at rayon level for joint decision-making, resource mobilisation, local coordination, regional coordination council (RCC) is developed at regional (oblast/ARC) level to monitor CBA activities in the region, to resolve issues related with local policies/procedures, to support programming and resource mobilisation. At national level there is a steering committee to ensure national level coordination and advisory support.

The Project is implemented by team of professionals placed at central level (in Kyiv) and in each region. A community resource centre, CRC (or regional implementation unit, RIU) is established in each region for implementation of the Project in the region. Similarly, a rayon level CRC is established in each selected rayon to implement local component of the Project. Social mobilisation is carried out in team consisting of CBA professionals in the region, regional focal persons and rayon focal persons. The central office of CBA serves as central 'resource centre'. CBA implementation model and management structure is given in Annex – I.



CBA-II supports pilot rural communities (with less than 10,000 residents) in solving the most pressing problems of their development, helping them to organize, develop their potential and implement community initiatives (micro-projects) within major project priority:

- Health (local health posts);
- Environment;
- Energy (energy conservation measures);
- Water supply;
- Small business promotion (through agricultural/service cooperative development

CBA utilised capacity building approach to enable its partner communities and authorities undertake the process and the micro-projects on self-help basis. Various forms of capacity building tools (e.g. training, exposure visit, small grant etc.) are used to this end.

Principles of partnership is utilised for implementation of the Project activities. Under this framework all partners contribute cash/kind in a predefined proportion to make the joint implementation happen in action. Sustainability agenda is put forth as a condition to ensure that instructional memory and sustained flow of benefit remains in place upon completion of the Project.

An important aspect of the Project activities in the second phase is its focus on the consolidation of knowledge and experience acquired in course of implementation. For this purpose, knowledge management will play an important role. A *knowledge hub* will gather, analyze and store valuable knowledge and experience. Cooperation with universities will aim to introduce the knowledge on community based development into the curriculum and to encourage research on this topic.

## CHAPTER ONE

## ESTABLISHING PARTNERSHIP

The strategic goal of CBA-II Project is to build capacity of the local communities and local authorities for participation in joint decision making process and using this capacity for multi-stakeholders cooperation and multi-sectoral interventions geared towards strengthening of local governance ultimately leading to sustainable human development. The process is *bottom-up* and involves stakeholders from grassroots, meso and macro level in the process as it moves upward.

Establishment of formal partnership with local/regional authorities through signing of partnership agreement is essential to formalize the role and commitment of the partners and to provide an official basis to work with community organizations. Target of the Project includes partnership with 25 regional authorities, 200 rayons and 900 village/city councils.

At the outset, templates of partnership agreement (with regional and rayon authorities and with local councils) and applications for rayon authorities and local councils were developed by the Project, reviewed and approved by UNDP management.

## 1.1 | LAUNCHING CBA-II IN REGIONS

Second phase of CBA Project started with official launching seminars in 24 oblasts and Autonomous Republic of Crimea organized to officially launch activities, sign PA and announce the competition of rayons. Participants of the regional seminars included representatives of local authorities (heads and deputy heads of oblast state administrations and oblast councils; heads and deputy heads of rayon state administrations and rayon councils; heads of village/city councils), media, NGO and academia). From CBA/UNDP side, each regional seminar was attended by International Project Manager or Assistant Country Director. In a few cases leadership of UNDP and EU also participated in the event. Detailed data are given in Table - I.



Jose Manuel Pinto Teixeira, Head of EU Delegation to Ukraine and Olivier Adam, UNDP Resident Representative visited Odeska oblast to participate in the Regional Launching seminar and sign Partnership Agreements with oblast authorities

**Table - I: Participants of Regional Launching Seminars in 3<sup>rd</sup> Quarter 2011**

Participants										
OSA heads/ deputy heads	OC heads/ deputy heads	Other oblast authorities	RSA heads/ deputy heads	RC heads/ deputy heads	Other rayon authority	VC/TC heads	NGO	Media	Academia	Total
44	38	340	482	449	286	460	107	224	65	2495



Key agenda of the regional seminars included –

- (a) Summarizing CBA-I, its results and impact – in the region and in the country;
- (b) Briefing on CBA-II;
- (c) presenting the terms of partnership for CBA-II;
- (d) Workplan for 2011; and
- (e) Announcement of rayon competition

Regional launching seminars were conducted during July – August 2011. In most cases, partnership agreement was signed by oblast authorities during the seminar. Details about timing of launching seminars in regions are given in Table – II.

**Table – II: Schedule of Regional Launching Seminars**

Oblast	Date of Regional Seminar	Date of signing Partnership Agreement	
		By oblast	By UNDP
Donetska	23 June	25 July 2011	27 July 2011
Luhanska	24 June	5 July 2011	20 July 2011
ARC	25 June	22 July 2011	22 July 2011
Mykolaivska	30 June	30 June 2011	20 July 2011
Sumska	7 July	1 July 2011	20 July 2011
Ternopil'ska	4 July	4 July 2011	20 July 2011
Khmeln'ytska	4 July	5 July 2011	20 July 2011
Cherkaska	5 July	5 July 2011	5 July 2011
Zhytomyr'ska	5 July	4 October 2011	4 October 2011
Kirovohrad'ska	6 July	6 July 2011	20 July 2011
Chernihiv'ska	6 July	7 July 2011	20 July 2011
Dnipropetrov'ska	7 July	7 July 2011	20 July 2011
Zaporizka	8 July	8 July 2011	20 July 2011
Vinn'ytska	8 July	8 July 2011	20 July 2011
Poltav'ska	11 July	11 July 2011	20 July 2011
Lviv'ska	11 July	11 July 2011	20 July 2011
Zakarpatska	12 July	12 July 2011	12 July 2011
Kharkiv'ska	12 July	12 July 2011	12 July 2011
Volyn'ska	13 July	13 July 2011	20 July 2011
Rivnenska	14 July	14 July 2011	21 July 2011
Kherson'ska	14 July	14 July 2011	20 July 2011
Ivano - Frankiv'ska	15 July	15 July 2011	21 July 2011
Odeska	15 July	15 July 2011	15 July 2011
Kyiv'ska	19 July	19 July 2011	19 July 2011
Chernivetska	19 July	19 July 2011	21 July 2011

“We highly appreciate the great work that we carry out together. It is not so much the money allocated that matters the most for us, but how it is changing the human psychology. People begin to change their way of thinking shaped during the Soviet Union time.”

*M. Vyshyvaniuk, Head of Ivano-Frankiv'ska oblast state administration.*

Participants of regional launching seminars discussed results of CBA-I and perspectives and vision of cooperation with CBA-II. Among frequently asked question were: enquiries about possibility of allocation more quotas for oblasts, options for partnership with non-pilot rayons, replication of CBA methodology.

In each oblast, call for applications for participation in CBA-II was announced among all rayons. In 2 weeks' time rayon authorities could apply to CBA OIUs. Application forms were distributed to participants of the Regional Seminar and placed at CBA web site: <http://cba.org.ua/ua/library/documents>.

"It is difficult to overestimate the importance of experience that we gained in the course of implementation of the "Community-Based Approach to Local Development" project in the Kyiv oblast. Cooperation through partnership is the key to success in all areas of activities. It is especially valuable when communities are involved in such partnerships. Local communities have proven their ability to effectively solve socially significant problems by joining efforts and funds from different sources. The Oblast authorities are willing to continue supporting such initiatives".

*A. Prysazhnjuk, Head of Kyivska oblast state administration.*

## 1.2|SELECTION OF RAYONS

Total number of rayons to be selected for partnership is 200 to be distributed among oblasts and ARC through transparent and competitive mechanism. Requirement of the Project is to select rayons that are suffering from socio-economic hardship and are willing to engage local communities in partnership to jointly improve living conditions of the people. Following process were followed for making rayon selection:

- a) Competition announcement
- b) Quota distribution by region
- c) Ranking of rayons
- d) Selection of rayons
- e) Announcement of results

**a) Competition announcement** - In each region, call for applications for rayon participation in CBA-II was announced during regional launching seminars in presence of regional authorities, rayon authorities, media representatives, civil societies and others. Application forms were distributed to participants of the Regional Seminar and placed at CBA web site: <http://cba.org.ua/ua/library/documents>. The application form asked for status of the rayon in light of socio-economic hardship and willingness of the rayon authorities to follow the principles and methodology of CBA. Interested rayon authorities were required to send application to CBA OIUs in 2 weeks' time along with information on followings:

- Level of poverty (socio-economic index)
- Access to drinking water (number of VC/CC that do not have access to drinking water supply)
- Access to medical services (number of VC/CC that do not have access to medical services)
- Environmental conditions (waste utilization, sewerage system etc)
- Level of energy waste, potential to establish energy saving technologies, alternative technologies

- Willingness of rayon authorities to provide technical and financial support to pilot communities
- Potential to build up demonstration sites
- Results of cooperation within CBA-I

**b) Quota distribution by region** - Total number of rayons to be selected for partnership is 200. Following the recommendation of steering committee of CBA-I and as per provision in the CBA-II project document, this quota was distributed among regions based on following criteria:

- No of rayons possessed by the region
- Population of the region
- National Socio-economic Ranking of the region
- Performance of the region during CBA-1

Accordingly, the regions received quota of rayon ranging between 6 and 10 as mentioned below (detail in Annex – II).

Rayon Quota	Region
6	Kyivska, Kharkivska, Vinnytska, Chernivetska, Chernihivska, Zhytomyrska
8	ARC, Khersonska, Khmelnytska, Kirovogradska, Odeska, Lvivska, Poltavska, Rivnenska,
9	Cherkaska, Dnipropetrovska, Ivano-Frankivska, Luhanska, Sumska, Volynska, Ternopilska, Mykolayevska, Zaporizhka, Zakarpatska
10	Donetska

**c) Ranking of rayons:** During August 2011, application forms from 566 rayons were received. the applications of the rayons received from each region was analyzed and ranked by CBA Project team based on total composite score calculated basing on criteria mentioned above. Results of participation in first phase of CBA Project were an additional criterion for pilot CBA-I rayons. He ranking tables were sent to the respective regional authorities to enable them to review details of analysis and ranking and given inputs/remarks as necessary.

**d) Selection of rayons:** A rayons selection committee comprising of representatives of regional authorities (OSA + OC), UNDP and CBA/PMU and headed by the UNPD senior programme manager reviewed ranking table prepared by CBA and selected rayons (equal to number of quota for the region) for partnership. Care was taken to ensure that the selection included rayons from CBA-I period (called old rayons) as well as from those which were not CBA partner in the past (called new rayons). Also, provision of reserve rayons (up to one third of the quota for the region) was made. Alternative arrangement was necessary in case authorities of selected rayon are reluctant or show little interest, the Project could move to alternative rayons without lapse of time. Thus selection of rayons was based on joint decision-making marked by tri-partite protocol.

As of end of third quarter, among 566 applicants, 196 rayons (including 2 small towns in Donetska oblast) were selected to participate in the second phase of CBA Project. Of them, 94 rayons were selected among the best performing CBA-I rayons, 102 were new rayons. 73 rayons were included in the reserve list (Table – III). Selection of small towns from Donetska oblast was based on a special condition of participation of socially responsible business (Metinvest) willing to invest in the development of given area under CBA methodology. According to the Terms of Partnership, CBA methodology shall be used for developing local communities and implementation of community initiatives shall be co-funded by CBA Project, local budget and MetInvest. Rayon selection meeting for Chernivetska oblast is planned for the fourth quarter.

**e) Announcement of results:** From the perspective of ensuring transparency and public awareness, lists of selected rayons were announced at a press conference held in each region and placed on CBA web site: <http://cba.org.ua/ua/activities/partners>.



**Table - III: Selection of Pilot Rayons in 3<sup>rd</sup> Quarter**

# of applications	Selected Rayons			Rayons in reserve list
	From CBA-I	New	Total	
566	94	102	196	73

Oblastwise details in Annexes – II

Press conference on rayon selection in Cherkaska oblast

Selected rayons are mostly areas remote from the oblast centre. Average distance to the regional capital is 97 km, the shortest distance being 10 km (Tysmenskyi rayon, Ivano-Frankivska oblast) and the longest - 340 km (Renijskyi rayon, Odeska oblast). Among pilot CBA-II rayons 111 that are less from 100 km from oblast center, 75 – between 100 and 200 km, and 9 rayons that are situated more than 200 from oblast center (Table – IV).

**Table - IV: Distance of Selected Rayons from Oblast Centers**

Distance from oblast center in km	Number of rayons	%
Less than 10 km	6	3.0
10 <sup>+</sup> – 25 km	7	4.0
25 <sup>+</sup> – 50 km	27	14.0
50 <sup>+</sup> - 100 km	70	36.0
100 <sup>+</sup> – 200 km	75	39.0
More than 200 km	9	5.0
<b>Total</b>	<b>194</b>	<b>100.0</b>

Oblastwise details in Annex - III

“Participation in CBA Project is an opportunity to consolidate efforts of local communities to solve their most important problems. We benefited from utilizing their potential in first phase of the Project and jointly did a lot for our communities. We are glad to have obtained another opportunity of cooperation with CBA.” *T.Korost, Head of Kotelivska RSA, Poltavaska oblast*

### 1.3|RAYON SEMINARS AND PARTENRSHIP

After the selection of pilot rayons, launching seminar is conducted in each of them in participation of head/deputy head of rayon state administration (RSA) and rayon council (RC), relevant departments of RSA/RC, heads of all village and city councils with less than 10.000 population, NGOs, local media etc. From CBA side, community development officers participated in the rayon seminars. In some cases, rayon authority sign partnership agreement during the seminar while in other cases, a date for signing is announced.

During the third quarter 2011, launching seminars were conducted in 189 rayons (out of 196 selected).



Launching seminar in Mykolaiivska oblast

Partnership agreement between RSA/RC and UNDP was signed with 185 rayons (Table - V). Launching seminar in Zhytomyrska and Chernivetska was delayed due to absence of CBA staff in the regions.



Launching seminar in Izhaslavskiyi rayon, Khmelnytska oblast

Olivier Adam, UNDP Resident Representative visited ARC in order to personally participate in the signing of Memorandum of Understanding with rayon authorities.

**Table - V: Number of Selected Rayons and Number of Signed MoU in 3<sup>rd</sup> Quater**

Number of selected rayons <sup>+</sup>	Number of rayon seminars	Number of signed MoU
196	189	185

+ Including 2 small cities

Oblast-wise details in Annex - IV

Key activities during seminar included (a) Recapping on CBA-I results and impact; (b) Briefing on CBA-II; (c) Presenting terms of partnership between CBA and rayon authorities; (d) Presenting workplan; and (e) Announcing competition for participation of village/city councils (VC/CC). Also, relevant application forms were distributed to VCs/CCs at this occasion.

“Participation in CBA Project is an opportunity to consolidate efforts of local communities to solve their most important problems. We used this change in first phase of the Project and did a lot for communities. Therefore, this time we just have to use this opportunity once more”

*T.Korost, Head of Kotelivska RSA, Poltavaska oblast*

‘I would like to assure that we did support this Project and will do this in future. CBA project gave an impulse to rayon communities for mobilization’ *V. Seniv, Head of Tlumatska RSA, Ivano-Frankivska oblast*

In total, 8399 participants took part in rayon launching seminars (in average 44 persons/seminar). Besides leadership of rayon, CBA focal points from oblast state administrations/councils participated in the launching of CBA-II at rayon level. Table - VI presents number of participants by categories.

**Table - VI: Participation in Oblast and Rayon Launching Seminars**

# of Rayon seminars	Participants										
	OSA heads/deputy heads	OC heads/deputy heads	Other oblast authorities	RSA heads/deputy heads	RC heads/deputy heads	Other officials of RSA/RC	VC/TC heads	NGO	Media	Academia	Total
189	0	6	79	419	318	2103	4160	964	343	7	8399

## 1.4|VILLAGE /CITY COUNCIL SELECTION

In each of pilot rayon, up to 4 village/city councils with population less than 10,000 were selected. The allocated number includes the CBA-I village/city councils as well as a newly selected one. The selection of VC/CC was conducted through open competition. The application of potential VC/CC were analyzed and ranked basing on the following criteria:

- Level of problems with basic infrastructure and services with regard to CBA-II priorities
- Level of socio-economic hardship
- Willingness to cooperate and support in participatory planning and resource mobilization for micro-projects
- Satisfactory performance of the VC/CC during CBA-I (for CBA-I pilot VC/CC only)
- Level of support from other donor agencies in area of local development

As of end September, 714 VC/CC were selected, of them 573 are 'new' and 141 are the best performing VCs/CCs from CBA-I. The preference was given to least developed villages, i.e. villages which are facing the most severe problems within project priority areas. Each of selected VC/CC received possibility to receive small grant support for one micro-project worth \$ 10,000 to be implemented by local community.

A VC/CC selection committee comprising of CBA/community development officer in the region, rayon focal person and regional focal person and headed by regional focal person will review the ranking list and select the most potential applicants with up to 25% reserve. The results of the selection were publically announced in each rayon by the rayon authorities. Protocol of selection was sent to appropriate stakeholders.

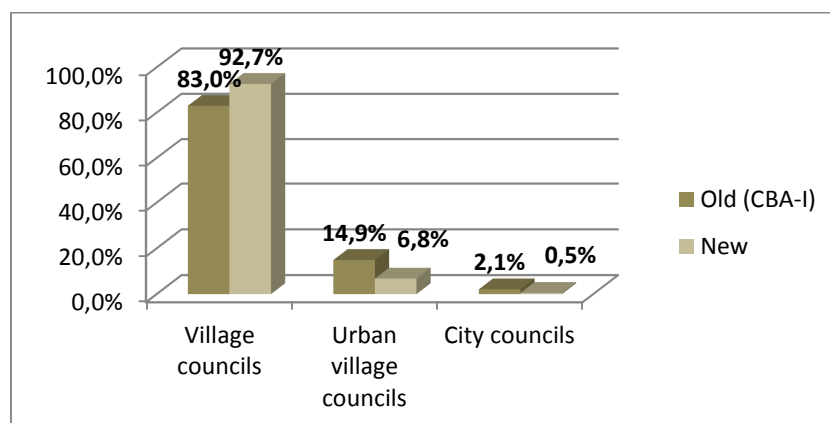
**Table - VII: Selection of Village/City Councils in Third Quarter**

# of applications	Pilot Village/City Councils		
	From CBA-I	New	Total
2424	141	573	714

Oblastwise details in Annex - V

More than 90% of the selected local councils are village councils (648/714) followed by urban village councils and city councils (see Chart - I).

**Chart - I: Selected Local Councils by Typology, as of 3<sup>rd</sup> Quarter 2011**





We saw what our neighbors [CBA-I pilot VC] did and we are ready to try as well. We are ready to work and we believe that we can succeed. Village council is ready to provide the CO with an office and help them with whatever is in our power. *Bondarenko S. Head of Village Council, Djakovo village, Anratsyivsky rayon, Luhanska oblast*

## 1.5| VC/CC SEMINAR AND PARTNERSHIP

Since one village/city might have more than one community (village or micro-rayon), there is a need to select a community that will implement micro-project. After the selection of partner village/city council, seminar was conducted in each selected VC/CC in participation of VC/CC head, officials (focal person from rayon, focal person from and other rayon/oblast officials), local NGOs, local businesses, representatives of local social infrastructures (e.g. school, kindergarten, healthpost etc.), interested active people of the community and community development officers of CBA.

During the seminar details about effectiveness of CBA-I at local level was shared, often with the help of CBA film, pamphlets and success cases. Process of CBA-II implementation, process of community selection and terms of partnership with VC/CC and beneficiary communities was elaborated. Often the VC/CC heads signed MoU in course of seminar or at a later date.

During the quarter, 221 VC/CC level seminars were held. In total 6315 persons participated in the seminars (29 persons on average) from various sects of society.

During the quarter 22 MoUs were signed by VC/CC heads (Table - VIII)

**Table - VIII: Participation in CBA Launching Seminars at VC/CC**

# of VC/CC Seminar	Participants							Total
	Oblast Authorities	RSA/RC heads/deputy heads	Other officials of RSA/RC	VC/TC heads	NGO	Media	Others	
221	24	106	647	235	1316	37	3950	6315

## 1.6| PARTNERSHIP WITH PRIVATE SECTOR

A series of meetings were held with Metinvest Holding and Donetska oblast authorities and understanding was reached regarding possible cooperation among the parties to jointly support micro-projects in selected small cities of Donetska under the framework of CBA Project. Formalisation of this understanding and concrete actions will follow during remaining part of the year.

## CHAPTER TWO

# SUPPORT STRUCTURES

Establishing support structures is one of the important elements of the community based development approach methodology. Appropriate support structures are developed for participatory decision-making and monitoring at all levels of project implementation. Key support structures include community organisation (CO) at local level, local development forum (LDF) at rayon level, regional coordination council (RCC) at regional level.

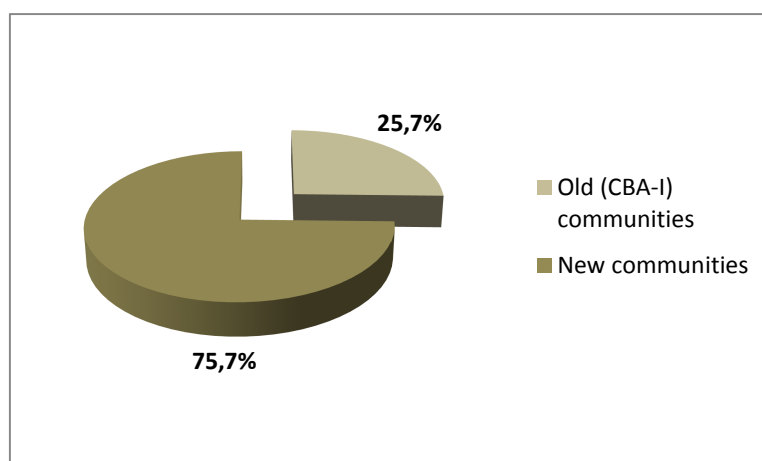
### 2.3|COMMUNITY ORGANIZATIONS

#### SELECTION OF PILOT COMMUNITIES

VC/CC level seminar is followed by selection of community for local action. A community selection committee comprising of village/city council head, CDO/CBA and headed by rayon focal person selects the community to be supported in the VC/CC. The selection is based on key criteria including (a) level of problems with basic infrastructure and services with regard to CBA-II priorities facing the community; (b) willingness of the community to undertake joint decision-making and actions to solve their problems on collective and self-help basis. Selection of CBA-I communities undergoes additional consideration including the level of performance during first phase of the Project (in terms of active participation of management team and ordinary members, financial management, possibility of its use as demonstration site, the communities still suffered from priority areas defined by second phase of CBA-II).

As of end of third quarter 2011, 280 pilot communities were selected for participation in CBA-II. Of them, 71 communities were from CBA-I and 209 were new (see Chart - II).

**Chart - II: Selected Communities**



#### FORMATION OF COMMUNITY ORGANISATION

Dialogues<sup>1</sup> were held with members of the communities selected for partnership with CBA Project. Purpose of the first dialogue is to sensitise the community members about the value and principles of

<sup>1</sup> See Annex – VI for details on ‘Dialogues’

CBA methodology and motivate them to get organized into community organizations (CO), that is founded on norms of good governance and that has legal recognition.

Since 'old' (CBA-I) and new communities participate in second phase of the project, the structure of first dialogue slightly differs in the two cases:

In case of the new community, the purpose of first dialogue is to sensitize and convince the community about the need of collective action for improving their living conditions and to familiarize them with the process to be followed in case they would like to go for it. First dialogue is usually conducted in participation of relevant rayon and village authorities. In this meeting representatives of 80% of households should participate.

In case of old partner community, it is already familiarized with principles and process of community based approach; therefore, community members do not require to be convinced. However, there is a need to explore the level of understanding among the community members about the vision and governance of community organisation that they formed and used during first phase. It is done by involving the community members in making a participatory assessment (PAS) exercise<sup>2</sup>. First dialogue is set based on result of this exercise. Purpose is to reinforce knowledge of the community members so that they can get additional motivation for active participation in second phase of the project. Since they are already registered, they do not need to go through legal registration again. Upon reinforcement, some organizational changes and changes in the governance might take place to ensure compliance with requirement of CBA-II and CBA team accepts them in form of 'grafted'<sup>3</sup> CO'

In third quarter 2011, 25 first dialogues were held with selected communities in Chernihivska, Kyivska, Ternopil'ska, and Volyn'ska oblasts (Annex – VI). As of end third quarter, 71 COs (created within CBA-I) were grafted to continue partnership with CBA-II and 5 COs were formed by new communities. 71 (old) COs were enrolled with their respective VC/CC and registered with appropriate registration agency of the state (Table – IX).



1<sup>st</sup> dialogue in community of Pustovarivka village, Kyiv'ska oblast

**Table - IX: COs Formed/Grafted As of 3<sup>rd</sup> Quarter 2011**

No of oblasts involved	No of COs				
	New Formed	Old grafted	Total COs	Enrolled with VC/CC	Legally registered
11	5	71	76	71	71

Oblastwise details in Annex - IX

<sup>2</sup> A brief account on PAS is given in Annex - VII

<sup>3</sup> A brief note on 'grafting' is given in Annex - VIII

If Ukraine were run like Community Based Approach (CBA) micro-projects and their local organizations, the country would be well placed to integrate the EU. These EU-UNDP projects, which have improved the conditions in over 1000 Ukrainian villages, are a perfect example of strong community initiative, ownership, effective management and financial accountability", - *Mr. Jose Manuel Pinto Teixeira, EU Ambassador during his visit to CBA pilot community in Odeska oblast.*

## 2.2|LOCAL DEVELOPMENT FORUMS

Local development forum (LDF) is created at rayon level and is expected to facilitate dialogue, coordination, planning and decision-making at local level between the oblast and rayon authorities and communities for promoting integration and development. Usually LDF consists of RSA and RC heads, heads of village/city councils, chiefs of relevant departments in RSA, representatives (focal persons) of oblast authorities, and heads of COs formed within CBA. Specific objectives of setting up LDF are:

- To promote social, environmental and economic development at local level through participatory consensus-based planning and decision-making and a more effective allocation of financial resources
- To promote decentralized planning and participatory governance at regional level, and
- To promote dialogue between citizens and authorities for promoting community based approach to local development

In case of new pilot rayons, regional implementation units assist in establishment of LDFs in each partner rayon, in line with MoU signed between RSA/RC and CBA Project. In case of CBA-I rayons, old LDF is re-initiated by adjusting the composition of members. Statue of LDF is refined in light of CBA-I experience and tasks envisioned for CBA-II.

During the quarter, 94 local development forums of CBA-I rayons conformed to continue their work in CBA-II (except 6 rayons in Chernivetska oblast, where the rayon selection is yet to be done). As of end of third quarter, 3 local development forums in new rayons were established and held their first sitting in Mykolaivska and Vinnytska oblasts. Also, one sitting of LDF was held in CBA-I rayon in Dnipropetrovska oblast.

**Table - X: Local Development Forums Established and Number of Sittings in 3<sup>rd</sup> Quarter**

Number of pilot rayons	LDF from CBA-I	New LDF	No. of sittings held
196	94	3	4

Oblastwise details in Annex - X

## 2.3|RAYON COMMUNITY RESOURCE CENTERS

Rayon community resource center (RCRC) is an element of sustainable functioning of community based approach beyond CBA Project after its exit. The main purpose of this structure is (a) to support non-CBA communities in adopting CBA methodology for implementation of budgetary/non-budgetary funds for

implementation of community project and (b) to ensure continuation of community based approach implementation after CBA Project terminates its activity. Main functions of RCRC are as follows:

- To assist in developing partnership between communities and local, rayon authorities
- To assist COs in implementation of social and economic initiatives
- To collect information about programs, grants, competitions suitable for COs and assist COs in making application for opportunities that ultimately contribute to sustainable local development
- To assist in events organization pertaining to CBA
- To serve as secretariat of LDF

Of 94 CBA-I rayons participating in second phase, 70 have established and developed RCRCs. During the third quarter 2011, 13 RCRCs were created in newly selected rayons in Cherkaska (6 RCRCs), Dnipropetrovska (1), Mykolaivska (3), and Volynska (3) oblasts (Table - XI).

**Table - XI: Rayon Community Resource Centers as of End of 3<sup>rd</sup> Quarter**

Number of pilot rayons	RCRCs from CBA-I	New RCRCs	Total
196	70	13	83

Oblastwise details in Annex - XI

## 2.4|REGIONAL COORDINATION COUNCILS

Regional coordination council (RCC) is created at oblast level with an aim to coordinate and facilitate financing of micro-projects. It serves to disseminate information about the Project among officials, monitor activity of the Project in the region and provide consultations on strategic issues of micro-projects' implementation. As a rule, RCC is chaired by deputy head of oblast state administration or deputy head of oblast council<sup>4</sup> and consists of representatives of rayon administration (council) heads, appropriate departments of OSA/OC, selected village/city council heads, CO, NGO and private sector.

During first phase of CBA, RCC were established in each oblast of Ukraine and ARC. It is expected that they will remain functional during CBA-II with appropriate changes in composition of the members.

## 2.4|REGIONAL COMMUNITY RESOURCE CENTRE

To support CBA activities in the region and to facilitate the function of RCC, regional authorities supported establishment of community resource centre (implementation unit) during CBA-I. The centre is located in the premises provided by the regional authorities – inside the main building or in a department of the administration outside the main building or any other appropriate premises (e.g. business centre, library etc.) belonging to the regional authorities. CBA Project provides logistic facilities and equipment besides the ones provided by authorities. Implementation unit in Kyivska oblast was located at central office of CBA; that for ARC was located in the office of CIDP (currently at UNDP sub-office in Crimea), and for Odeska oblast it was in a rented space.

During the quarter and after signing of MoU, most of the authorities decided to continue the CRC. While authorities of Odeska, Khmelnytska, Chernivetska provided premises in the administration (main building/department), Donetsk, Dnipropetrovska, Cherkaska oblasts offered additional premises to accommodate expanded activities of CBA and yet ARC-government decided to set up republican level resource centre in its premises.

<sup>4</sup> In case of ARC, the Republican Coordination Council is headed by speaker of Verkhobna Rada of ARC

CBA-II has responded with additional support to equip the regional resource centres to make them more effective. To this end, it collected estimates of support required to strengthen capacity of these resource centres. Demand included refurbishing of the office premises, equipment and furniture. It is planned to extend the support in months to come.



## CHAPTER THREE

# CAPACITY BUILDING

Developing capacity is one of the important elements of the community based approach to local development methodology. To assure sustainability of community organizations and their activity it is necessary to provide them with knowledge on how to manage a CO, and financial resources, implement its projects, etc. Besides, local authorities also should know main principles of community based development approach to be able to implement CBA methodology independently. Activities under this include training, study visit, roundtable, orientation etc. In this perspective following activities took place during the quarter:

### 3.1|PREPARATION OF TRAINING MATERIALS

In third quarter, four manuals were updated: technical manual (describes the process of micro-project implementation, set a list of all necessary steps to be made by CO to successfully realize micro-project), manual for participatory assessment (is an instruction for community organizations on how to conduct participatory assessment of CO), visibility guidelines (an instruction on visibility for project personnel developed to ensure appropriate presentation of support provided by European Union and United Nation Development Programme to CBA Project). Technical manual, manual on participatory assessment and visibility guidelines were reviewed, updated and translated into Ukrainian for publishing. Draft Finance manual was prepared and will be published in the fourth quarter.

### 3.2|TRAINING FOR PROJECT PERSONNEL



On August 9 to 12, a four-day training session for all CBA-II regional coordinators (community development officers) was held in Kyiv. The opening of the training session was attended by Olivier Adam, UNDP Ukraine Resident Representative, Oksana Remiga, Senior Programme Manager and Tatiana Matiychyk (CBA Project coordinator from the Secretariat of the Cabinet of Ministers, Department for Relations with the Verkhovna Rada and the Regions).

Details on various components of CBA-II and implementation methodology were presented by Project management. Special emphasis was given to the following topics: (1) analysis of CBA-I implementation, (2) discussion of vision and strategy of CBA-II, (3) activity plan till the end

of 2011, and (4) new components - support to economic development and implementation of larger scale projects for alternative / renewable energy sources. In addition, the Project team discussed the strategy for replication of the methodology in non-pilot areas. Also, CDOs were presented the concept and vision of knowledge management in CBA-II and innovative approaches to communicating the results

of the Project activities. During this training, CBA Facebook page was created: <http://www.facebook.com/cbaproject>.

On this occasion, Mr. Olivier appreciated the hard work of CBA team, due to which first phase of CBA ended with high success and recognition. He encouraged the CBA personnel to maintain their tempo and commitment during CBA-II as well. Mr. Olivier thanked Ukrainian Government and European Union for their support to CBA and expressed his readiness to continue strong support of UNDP to CBA in future.

Speaking on this occasion, Ms. Matiychyk shared her experience from recent visit to regions in context of regional launching of CBA-II and assured the participants that Secretariat of Cabinet of Ministers is ready to extend its support in facilitating successful implementation of CBA-II.

First of all, training was significant in terms of team-building, It is extremely important to feel as part of the team. It was important to directly communicate with Project and UNDP management (including Olivier Adam, Oksana Remiga). Their interest testifies the importance attributed to our field activities by the management. It was also a sign of appreciation of the results achieved in the regions. *N. Washchilenko, Community Development Specialist, Mykolaivska oblast*

Each of CDOs works in his/her region dealing with almost the same problems, but finding different solutions, and this training provided us with an excellent opportunity to share experience, exchange ideas and discuss plans. All topics of the training sessions were very useful, professionally prepared and motivating.

*S. Vasylenko, Community Development Officier, Kyivska oblast*

In third quarter, training for new hired community development officers (in Chernivetska and Zhytomyrska oblasts) was conducted involving theoretical as well as practical exposure.

In the third quarter, project personnel passed online courses mandatory for UNDP personnel on security in the field. As of end of September, 53 persons passed basic course and 52 – advanced.

Selected CBA-staffs also undertook various courses of UNDP such as :

- IPSAS Training – was attended by CBA staffs from finance and admin unit;
- Communication and Monitoring Specialist of CBA participated in training on Knowledge Management organized by UNDP BRC Knowledge Management Specialist. Actions planned as a follow-up of this training are as follows:
  - Enrich Teamworks space of CBA and join other relevant spaces on Teamworks;
  - Use UNDP/EU Facebook pages and Twitter account to disseminate information about CBA;
  - Create a 'dashboard' with community voices of CBA web site;
  - Shape an interactive map of CBA activities and projects;
  - Introduce KM component into the programme of training of CBA staff;
  - Review the provided examples of existing web-based knowledge hubs and create a concept of CBA Knowledge hub.

### 3.3|TRAININGS FOR COs

A set of necessary trainings includes trainings on CO management, CO activity planning, micro-project proposal preparation, financial training, micro-project implementation, participatory assessment, public audit, and handover.

In the third quarter, first set of training for COs took place in Ternopil'ska oblast: 3 trainings were organized on CO management, activity planning and MP preparation for CBA-I communities. A total of 70 CO-executives (63% female) participated in these trainings.

### 3.4|TRAININGS FOR LOCAL AUTHORITIES

Together with CO-executives, officials of local authorities (representatives of village/city councils and RSAs/RCs) also participated in the trainings (mentioned in section 3.3). A total of 19 officials received training during the quarter in the CBA related topics

**Table - XII: Training Organised During 3<sup>rd</sup> Quarter**

Description	No. ( %)
Total participants	70
Male – No. (%)	29 (37%)
Female – No. (%)	44 (63%)
Participants by beneficiary type	
CO-members – No. (%)	51(73%.)
Local Authorities – No. (%)	19 (27%)
Project Personnel – No. (%)	70

## CHAPTER FOUR

## MICRO PROJECT

## 4.1|SECOND DIALOGUE (COMMUNITY PLANNING)

Second dialogues are the first step in the cycle of micro-project implementation. It allows community members to identify their needs, define their priority and prepare community development plan, on which the micro-project will be focused. Priority setting is done based on key criteria such as intensity of need (*priority for basic need fulfillment*), equity (*priority for benefiting 80% or more households of the community*), inclusion (*gender balance, non-exclusion of vulnerable groups*), sustainability (*size and complexity of plan should be within capacity of community to implement, manage and sustain*), ownership (*target beneficiary must bear a part of the cost of implementation*).

In the third quarter, second dialogues started in Chernihivska, Ternopolska, Volynska, and Zaporizka oblasts. In total, 19 second dialogues were held (Annex - VI). As a result, 19 community development plans were prepared. Of them 14 (74%) belonged to energy saving as a priority and 5 (26%) belonged to health (Table - XIII). Most of the plans came from old COs since they had already developed plan from previous year, The new COs have way long to get maturity and enter the phase of planning.

Table - XIII: CDP development by COs in 3rd Quarter 2011

Oblast	No of COs with CDPs	Priorities of CDPs					No of CDPs reviewed at LDF	No of CDPs approved by LDF
		Water-supply	Energy Saving	Environ-ment	Health	Other		
4	19	0	14	0	5	0	19	19

## 4.2|MINSTREAMING OF PLANS

Of 19 discussed community development plans, discussed and agreed at community level, 19 were reviewed and agreed at VC/CC level and 19 of them were reviewed and approved at LDF meeting for inclusion in the rayon development plan and allocation of budgetary resources.

## 4.3|IMPLEMENTATION OF PLANS

In subsequent quarters, this section will get enriched with occurrence of procedural steps such as micro-project proposal preparation; appraisal and approval of MPPs; implementation of micro-projects; public audit and handover of completed micro-projects; sustainability arrangement and so on.

## CHAPTER FIVE

# COMMUNICATION AND VISIBILITY

Communication, visibility and public relations (PR) activities are designed as a part of Project implementation strategy with a purpose to maximize transparency and public awareness about the Project's activities and to lobby for the cause it aims to promote. Specific activities include media events, media coverage, web portal, communication dissemination, visibility tools, etc.

## 5.1 | MEDIA EVENTS



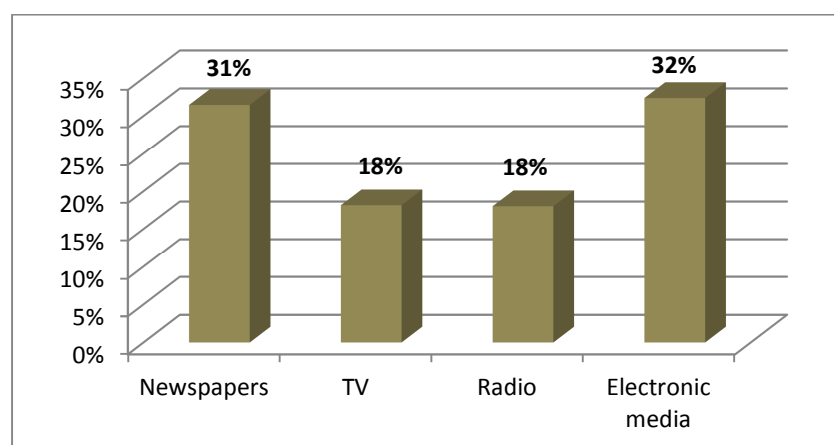
Press-conference in Volynska oblast council

Third quarter 2011 provided plenty of opportunities for organizing public/media events. These were: regional and rayon seminars, press-conferences for announcement of results of rayon and village/city council selection, partners and donors' visits. During the reporting period, 212 media events were organized in all oblasts. The most active were Rivnenska, Zaporizka, Mykolaivska, Luhanska, Donetsk, and Dnipropetrovska oblasts. These events include regional and rayon seminars.

## 5.2 | MEDIA COVERAGE

During third quarter 2011, 766 cases of media coverage were registered across Ukraine. Though not all of the media coverage could be registered by the Project due to lack of its capacity to collect report on media coverage taking place throughout the county. Among all, electronic media dominated the dissemination on CBA activities, followed by newspapers.

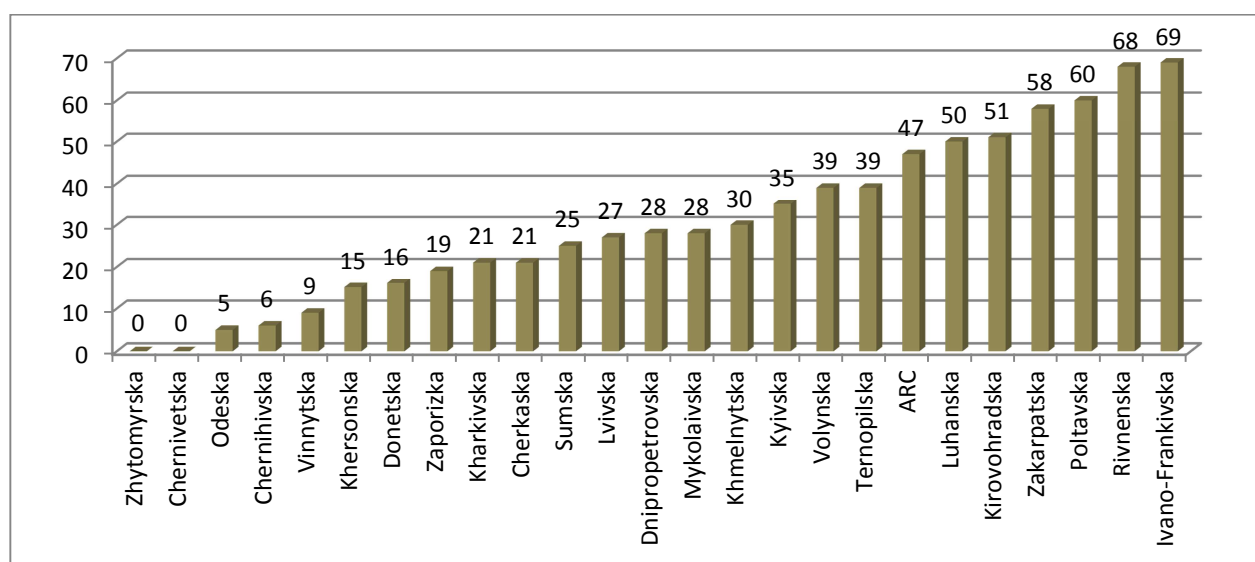
**Chart - III: Distribution of Media Coverage in 3<sup>rd</sup> Quarter 2011**



In general, the reporting was focused on launching the second phase of the Project, communicating results of the first phase reflecting the process of rayons and village councils' selections, partners' visits to communities.

Media coverage was found to be the highest in Ivano-Frankivska, Rivnenska, Poltavaska, and Zakarpatska oblasts. Zhytomyrska and Chernivetska oblasts were the last due to absence of staff in these regions, which kept activities at the low level of intensity.

**Chart- IV: Media Coverage of CBA Activities in 3<sup>rd</sup> Quarter 2011 in Oblasts**



### 5.3|DISSEMINATION OF INFORMATION ABOUT CBA

In order to disseminate information about the Project among stakeholders, stakeholders, potential participants and wider public audience, regional implementation units published regular newsletters. Usually, newsletters were published quarterly or dedicated to an event (regional, rayon seminar, LDF or RCC sitting, etc.). In third quarter 2011, 24 issues of newsletters were published in 15 oblasts. They were disseminated both electronically (1142) and printed copies (4783) according to the distribution lists including oblast administrations, oblast councils, rayon administrations and councils, village councils, local communities, local media, and NGOs (see Table - XIV).



**Table - XIV: Publication and Dissemination of CBA Regional Newsletters**

Number of Issues published	Distributed	
	In hard copies	Electronically
24	4783	1142

Oblastwise details in Annex - XII



## 5.4|DONOR/PARTNER VISITS

During third quarter 2011, 6 visits of the donors and partners to the CBA project sites were organized. These visits proved useful in that they offered the opportunity to observe utilization of resource and its impact on life of the people. On the other hand, such visits empower local communities and enhance their confidence to keep undertaking community activities together. Visits organized in the third quarter 2011 are listed below.

On 15 July, Mr. Jose Manuel Pinto Teixeira, Head of Delegation of the EU to Ukraine, and Mr. Olivier Adam, UN Resident Coordinator / UNDP Resident Representative in Ukraine participated in Odessa Regional launching seminar. During the event the results of first phase of CBA Project were summarized, and visions for CBA-II was presented. A tripartite agreement between Odeska OSA, OC and UNDP was signed.

On 17 July, Mr. Olivier Adam, UN Resident Coordinator / UNDP Resident Representative participated in the Regional launching seminars in Kyivska oblast and signed Partnership Agreement between UNDP, Kyivska oblast state administration and Kyivska oblast council. Mr. Adam pointed out significant results achieved during the first phase of CBA Project in Kyivska oblast. He outlined expectations regarding continuation of successful cooperation between oblast authorities and CBA Project in the next 4 years.



On 22 July, Mr. Olivier Adam, UN Resident Coordinator / UNDP Resident Representative attended a ceremony of official signing of Partnership Agreement between UNDP, Verhovna Rada of ARC and the Council of Ministers of ARC. On behalf of AR Crimea, the Agreement was signed by Mr Konstantinov, Chairman of the ARC Supreme Council and Mr Burlakov, First Deputy Chairman of the ARC Council of Ministers.

Ms. Tetyana Matiychyk, Head of Unit, Department Of Cooperation With Verkhovna Rada and the Regions, CBA focal person from Secretariat of Cabinet of Ministers of Ukraine, participated in 4 Regional launching seminars (Ternopilska, Khmelnytska, Kharkivska and Poltavska, obalsts).

From 29<sup>th</sup> August to 2<sup>nd</sup> September, Mr. Olivier Adam, UNDP Resident Representative, Mr. Vasyl Tolkach, Project Manager and Mr. Sergei Volkov, Senior Programme Manager visited Volynska, Ternopilska, Khmelnytska, Chernovetska, and Ivano-Frankivska oblasts. During his visit, Mr. Adam met with heads of oblast state administrations, oblast councils, rayon state administration and councils to summarize results of the first phase of CBA, and to outline perspectives of further cooperation during CBA-II.

On 15 September, Mr. Olivier Adam, UN Resident Coordinator / UNDP Resident Representative and Kostantyn Baharev, First Deputy Head of Verkhovna Rada of ARC participated in sitting of Republican Coordination Council organized in Dzhankoj. During the sitting, partnership agreements between UNDP and 8 selected rayons were signed.

## CHAPTER SIX

# KNOWLEDGE MANAGEMENT

National level application of community based approach to local development methodology produces substantial effect on the local development processes. To make the best use of knowledge gained and lessons learned, CBA aims to (a) document and systematize the regional experience; (b) introduce community based approach in specific courses of higher education; (c) transfer Ukrainian experience to the target audience in other counties.

### 6.1 | PARTNERSHIP WITH ACADEMIA

Within the framework of its knowledge management component, CBA Project plans to establish a network of academic institutions from all regions of Ukraine so as to build capacity in term of teaching/curriculum as local sustainable development with people's participation. Activities to this end include curriculum development, small research, internship, linkage with local communities, etc.

On 12 September 2011, Mr. Olivier Adam, UN Resident Coordinator / UNDP Resident Representative and Mr. Yuriy Kovbasiuk, President of the National Academy of Public Administration signed the Memorandum of Understanding. Together with Municipal Governance and Sustainable Development Programme, CBA Project will work on modules related to community based development approach to be introduced to the system of training and re-training of civil servants in Ukraine.

Training module on social mobilisation for NAPA was prepared. The module will be used along with 5 other training modules (dedicated to decentralisation, strategic planning and so on) being developed by MGSDP and SDC/Skat-DESPRO Project.



Mr. Adam and Mr. Kovbasiuk signing MoU

### 6.2 | KNOWLEDGE MANAGEMENT HUB

In context of establishing a Knowledge Management Hub, meeting was held between CBA/IPM and executive head of Ukrainian Association of Oblast and Rayon Councils (UAORC), Yuriy Andriychuk, to discuss perspectives of cooperation. Secretariat office of UAORC was found to have adequate logistic facilities, IT equipment, and competent human resource to plan for establishing knowledge management hub. It was assumed to make further assessment and hold further dialogue with the UAORC to reach concrete understanding on this endeavor.

### 6.3 | PUBLICATION

**Publication:** During third quarter, a set of publication on results/experience of first phase of CBA Projects were prepared.

- **'Small dreams, Big Achievements. Involving Communities to Local Development: Success Stories within Joint EU\UNDP 'Community Based Approach to Local Development Project'** – is a

collection of success cases, telling stories of empowered communities, extraordinary leaders, successful partnerships, resolved problems, and socially responsible businesses.

- **Community Based Approach to Local Development: Regional Experience. Results and Achievements of Joint EU\UNDP Project 'Community Based Approach to Local Development'** – is a documentation of regional experience. The publication aims to consolidate the numerical results of the first phase of the CBA Project in each region. An attempt is made to highlight the particular know-how of the regional application of CBA methodology, draw conclusion and formulate a future perspective.
- **Community Based Approach to Local Development: Results and Impact. On Results of Sociological Research.** Publication presents a consolidated assessment of the impact of the Community Based Approach to Local Development Project (CBA). Based on results of sociological research conducted by Kyiv International Institute of Sociology (KIIS) and data collected by the monitoring department of CBA Project, an assessment of community based development methodology, and the overall efficiency of CBA Project was conducted.
- **Community-based Local Development: Conditions and Obstacles.** Publication is based on results of research conducted by International Center for Policy Studies. It assesses existing legislative and institutional conditions and obstacles to community based local development, based on previous experience at UNDP Ukraine and other international technical assistance projects.

## 6.4|KNOWLEDGE DISSEMINATION

- **Presentation about CBA:** Communication and Monitoring Specialist presented CBA Project and community based approach to Oxford volunteers.
- **Raising Awareness Among Elected Authorities:** Support was provided to UAORC during August 2011 for organisation of national roundtable that focused, including others, on decentralisation and community based approach to local development approach. The support was in terms of publishing relevant manual for the participants, who represented oblast & rayon council from across the country. This activity is expected to further promote the principles of participatory governance and community based local development across the country;
- **Country Case Study:** Support was provided to relevant departments of UNDP on country cases studies preparation. Case of Ukraine on community based approach scaling up was recognised to be a good case for global dissemination.

## CHAPTER SEVEN

# ADVISORY AND MANAGEMENT

CBA project is under direct execution of UNPD. UNDP ensures quality of management, resource utilization and timely achievement of results. Besides, partners and donors independently monitor the implementation of CBA Project, and regional 'local authorities provide feed-back for effective implementation of the initiative. In this context, following actions took place in third quarter.

### 7.1|CLOSURE OF CBA-I/COMMENCEMENT OF CBA-II

Various documents (e.g. final financial report, detail narrative report, updating of information on Atlas etc.) were prepared and submitted to UNDP and EU in context of closing CBA-I Project. Also during the quarter, preparations were made to set CBA-II to get into action.

### 7.2|PROJECT REGISTRATION AND BOARD

Process was initiated during the quarter for registration of the Project in the Ministry of Economy and Trade of Ukraine. Secretariat of Cabinet of Ministers and partner regional authorities sent their recommendation to the Ministry for registration of CBA-II. It is expected that the Project will be registered in a few months.

During July 2011, minute of the 4<sup>th</sup> Steering Committee Meeting (held in June 2011) was disseminated to the SC-members

Also through the Secretariat of Cabinet of Ministers of Ukraine, a process for constituting CBA Board and CBA Steering Committee was initiated.

### 7.3|HUMAN RESOURCES

In third quarter, 2 community development officers were hired for Chernovetska and Zhytomyrska oblast. Two vacancies were announced for CDO in Odeska and Zaporizka oblasts. In the reporting period, 6 drivers were hired - for Dnipropetrovska, Zaporizka, Cherkaska, Ivano-Frankivska, Khersonska oblasts and ARC. Also vacancies were announced for energy and environmental specialist, and small business development officer. To maximize outreach and enable potential candidates from the oblasts to access information easily, vacancy announcements were made across selected oblasts through regional/rayon newspapers, besides placing it on several internet sites, including UNDP web-site.

### 7.4|PROCUREMENT

Upon request of UNDP management and approval from EU, all equipment of CBA-I was transferred to CBA-II in order to save resources for other activities of CBA-II. In third quarter, tender for air-conditions for project vehicles was announced. Procurement procedure for vehicle for ARC was completed. Process was initiated for procurement of IT equipment for central office of the Project.

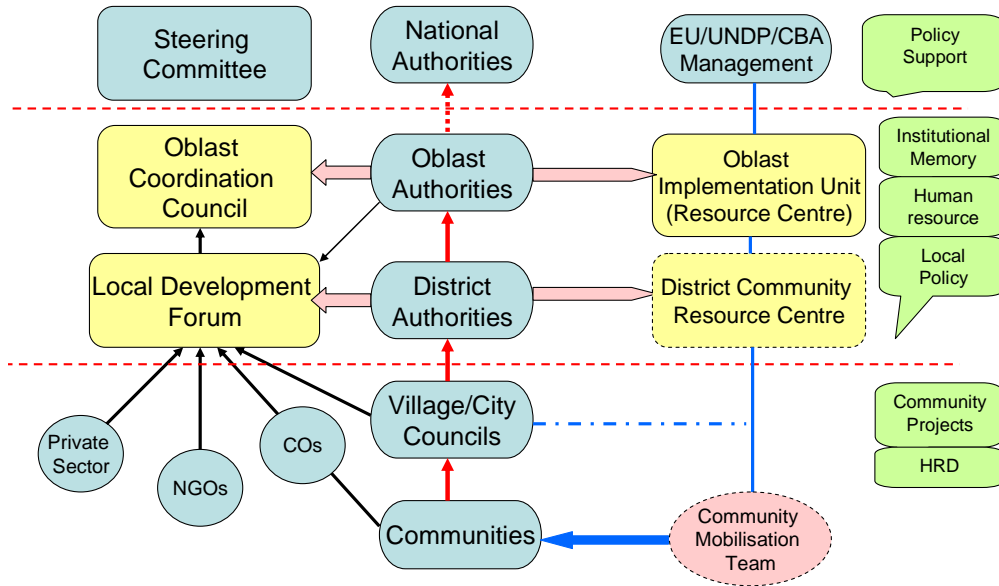
## 7.5|DELIVERY

As of end of third quarter 2011, 658 764 USD (762 452 Euro) was delivered for different Project activities. Output-wise details of expenditures is given in Table - XV

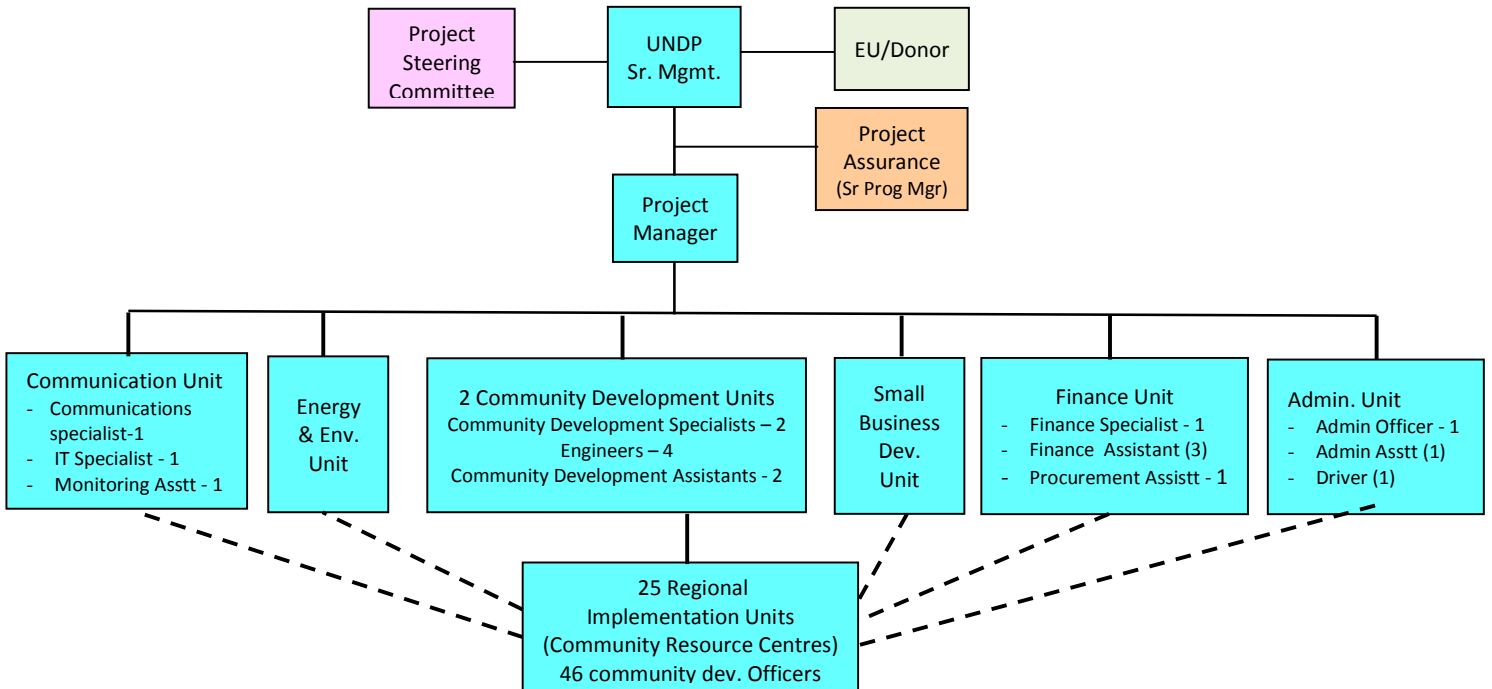
**Table - XV: Project Delivery as of End of Third Quarter 2011 in US \$ (Euro)**

Main Outputs	Budget for 2011	Delivered by 3 <sup>rd</sup> Quarter
Enhanced capacity of local communities and local authorities to initiate and maintain participatory process on local sustainable development and public services delivery	1 032 098 (724 532.7)	52 859 (37 107)
Improved energy efficiency at local level through promotion of energy saving technology, public awareness and joint efforts of local communities and local authorities	277 849 (195 050)	0
Locally owned and managed repository and network of good practices and knowledge on community mobilization and participatory governance created	1 767 171 (1 240 554)	605 905 (425 345)
<b>Total</b>	<b>3 077 119</b> <b>(2 160 137)</b>	<b>658 764 (462 452)</b>

Annex – I (A)  
**CBA Implementation Model**



Annex – I (B)  
**CBA Management Structure**





## Annex - II

Rayon Quota, Selected and Reserve Rayons as of End of 3<sup>rd</sup> Quarter

	# of applications	Allocated Quota	Pilot Rayons			In reserve
			From CBA-I	New	Total	
ARC	13	7	5	3	8	2
Cherkaska	20	9	3	6	9	3
Chernihivska	44	7	3	3	6	3
Chernivetska	20	6	0	0	0	0
Dnipropetrovska	44	9	3	6	9	2
Donetska	17	10	4	6	10	4
Ivano-Frankivska	17	9	5	4	9	3
Kharkivska	46	6	2	4	6	3
Khersonska	16	8	4	4	8	2
Khmelnyska	20	8	5	3	8	3
Kirovohradska	21	8	4	4	8	5
Kyivska	15	6	3	3	6	2
Luhanska	18	9	3	6	9	3
Lvivska	38	8	5	3	8	3
Mykolaivska	17	9	4	5	9	7
Odeska	23	8	2	6	8	3
Poltavska	21	8	3	5	8	4
Rivnenska	15	8	4	4	8	2
Sumska	18	9	5	4	9	3
Ternopil'ska	15	9	5	4	9	3
Vinnyska	24	6	4	2	6	4
Volynska	16	9	5	4	9	3
Zakarpatska	13	9	5	4	9	3
Zaporizka	19	9	5	4	9	3
Zhytomyrska	36	6	3	3	6	0
<b>Total</b>	<b>566</b>	<b>200</b>	<b>94</b>	<b>102</b>	<b>194</b>	<b>73</b>

## Annex – III

## List of Selected Rayons, and Their Distance from Oblast Center

Rayon	Category	Distance from oblast center	Rayon	Category	Distance from oblast center	Rayon	Category	Distance from oblast center
<b>ARC</b>			<b>Cherkaska oblast</b>			<b>Chernihivska oblast</b>		
Dzhankoiskyy	CBA-I	83	Zvenygorodskyy	CBA-I	110	Shchorskyy	CBA-I	72
Kirovskyy	CBA-I	110	Khrystynivskyy	CBA-I	213	Kulykivskyy	CBA-I	40
Krasnoperekopskyy	CBA-I	120	Umanskyi	CBA-I	195	Korjukivskyy	CBA-I	101
Sakskyy	CBA-I	45	Lysjanskyy	New	143	Bahmatskyi	New	145
Bilogirskyy	New	42	Mankivskyy	New	186	Horodjanskyy	New	54
Nizhgirskyy	New	95	Shpoljanskyy	New	105	Chernihivskyy	New	0
Pervomaiskyi	New	97	Korsun-Shevchenkivskyy	New	75	<b>Donetska oblast</b>		
Simpheropolskyi	New	0	Sminlianskyy	New	30	Ambrosiivskyy	New	82
<b>Dnipropetrovska oblast</b>			Katerynopilskyy	New	125	Volodarskyi	New	110
Mezhivskyy	New	180	<b>Ivano-Frankivska oblast</b>			Krasnolymanskyy	New	156
Nikopolskyi	New	120	Kalus'kyi	New	32	Mar'inskyy	New	33
Apostolivskyy	New	190	Dolynskyy	New	58	Pershotravnevyi	New	130
Magdalynivskyy	New	58	Kosivskyy	New	113	Velykonovosylkivskyy	New	90
Solonjanskyy	New	29	Tys'menytskyi	New	10	Vuglegirsk	New	
Verkhniodniprovskyy	New	76	Kolomyiskyy	CBA-I	65	Yuzhnokomunarivsk	New	62
Tomakivskyy	CBA-I	90	Tlumatskyi	CBA-I	25	Artemivskyy	CBA-I	82
Pavlogradskyy	CBA-I	80	Gorodenkivskyy	CBA-I	75	Krasnoarmijskyi	CBA-I	76
Vasytkivskyy	CBA-I	98	Halytskyi	CBA-I	25	Starobeshevskyy	CBA-I	30
<b>Kharkivska oblast</b>			Rohatynkyi	CBA-I	65	Novoazovskyy	CBA-I	120
Dvorichanskyy	New	131	<b>Khmelnyska oblast</b>			<b>Kirovohradska oblast</b>		
Borivskyy	New	165	Novoushytskyi	New	107	Uztynivskyy	New	85
Krasnogradkyi	New	100	Volochys'kyi	New	69	Onufriivskyy	New	135
Balarliivskyy	New	90	Vin'kovetskyi	New	70	Kirovohradskyy	New	0
Velykoburlutskyy	CBA-I	108	Bilogirskyy	CBA-I	110	Dolynskyy	New	65
Izjumskyi	CBA-I	127	Letchivskyy	CBA-I	51	Novomyrhorodskyy	CBA-I	80
<b>Khersonska oblast</b>			Izjaslavskyy	CBA-I	116	Oleskandrivskyy	CBA-I	48
Novovorontsovskyy	New	165	Krazylivskyy	CBA-I	36	Hajvoronskyi	CBA-I	240
Nyzhnjosirohozs'kyi	New	169	Derazhnianskyi	CBA-I	50	Maslovskivskyy	CBA-I	62
Beryslavskyy	New	68	<b>Kyivska oblast</b>					
Verkhnjorohachytskyi	New	220	Tarashchanskyy	New	115	Perejaslav-Khmelnyskyi	CBA-I	87
Hornostavivskyy	CBA-I	129	Polis's'kyi	New	110			
Velykoeksandrivskyy	CBA-I	139	Baryshivskyy	New	60			
Novotroitskyi	CBA-I	170	Skvyrs'kyi	CBA-I	100			
Holoprystanskyy	CBA-I	45	Tetijvskyy	CBA-I	150			

Luhanska oblast			Lvivska oblast			Mykolaiivska oblast		
Lutuhynskiy	New	22	Svolivskiy	New	109	Novobuz'kyi	New	105
Trojtskyy	New	255	Zhovkivskiy	New	22	Kazankivskiy	New	130
Slov'janoserbs'kyi	New	32	Brodivskiy	New	102	Vradiivskiy	New	180
Milovskiy	New	142	Starosambirskiy	CBA-I	95	Bashtanskyy	New	60
Krasnodonskyy	New	48	Sambirskiy	CBA-I	74	Pervomajskyy	New	180
Novopskovskyy	New	130	Turkivskiy	CBA-I	140	Berezneguvatskyy	CBA-I	95
Anratsytivs'kyi	CBA-I	74	Peremyslhjanskyy	CBA-I	45	Domanivskyy	CBA-I	130
Popasnjanskyy	CBA-I	90	Bus'kyi	CBA-I	50	Voznesentkyy	CBA-I	97
Svativskyy	CBA-I	160	Poltavska oblast			Snigurivskyy	CBA-I	75
Odeska oblast			Kobeljatskyy	New	75	Rivnenska oblast		
Izmailskyy	New	241	Chutivskyy	New	50	Volodymyrets'kyi	New	150
Baltskyi	New	234	Hadjatskyy	New	116	Kostopilskyy	New	40
Ljubashivskyy	New	173	Poltavskyy	New	0	Dubenskyy	New	45
Mykolaivskyy	New	153	Zinkivskyy	New	82	Bereznivskyy	New	66
Rozdilnjanskyy	New	153	Kotelevskyy	CBA-I	70	Koretskyy	CBA-I	64
Renijskyy	New	340	Semenivskyy	CBA-I	132	Sarnenskyy	CBA-I	90
Bolgragskyy	CBA-I	234	Lokhvutskyy	CBA-I	180	Dubrovutskyy	CBA-I	112
Ivanskyy	CBA-I	75	Ternopil'ska oblast			Hoshchanskyy	CBA-I	33
Sum'ska oblast			Pidhajetskyy	New	70	Vinnytska oblast		
Lebedynskyy	New	55	Shumskyy	New	105	Chernivetskyy	New	120
Nedryhailivskyy	New	71	Kremenetskyy	New	75	Tomashpil'skyy	New	96
Hlukhivskyy	New	136	Borshchivskyy	New	113	Teplytskyy	CBA-I	130
Shostkynskyy	New	189	Zborivskyy	CBA-I	36	Khmilnytskyy	CBA-I	63
Lypovodolynskyy	CBA-I	120	Pidvolochskyy	CBA-I	42	Zhmerynskyy	CBA-I	38
Burynskyy	CBA-I	90	Kozivskyy	CBA-I	36	Bershadskyy	CBA-I	151
Krasnopil'skyy	CBA-I	40	Husjatynskyy	CBA-I	78	Zaporizka oblast		
Velykopysarivskyy	CBA-I	96	Lanivetskyy	CBA-I	57	Kam'jansko-Dniprovskyy	New	134
Seredyno-Buzskyy	CBA-I	220	Zakarpatska oblast			Jakymivskyy	New	120
Volyn'ska oblast			Mukachivskyy	New	47	Orikhivskyy	New	56
Rozhyshchenskyy	New	25	Irshavskyy	New	83	Pryazovskyy	New	143
Ljubomylskyy	New	127	Vynogradivskyy	New	98	Berdjanskyy	CBA-I	200
Kivertsivskyy	New	14	Khustskyy	New	101	Kujbyshivskyy	CBA-I	132
Kamin Kashyrskyy	New	135	Vynogradivskyy	New	98	Novomykolaiivskyy	CBA-I	60
Turijskyy	CBA-I	80	Berehivskyy	CBA-I	71	Zhytomyr'ska oblast		
Ratnivskyy	CBA-I	133	Perechynskyy	CBA-I	22	Yemelchynskyy	New	125
Kovelskyy	CBA-I	67	Svaljavskyy	CBA-I	70	Volodarsko-Volynskyy	New	50
Lokachivskyy	CBA-I	55	Tjachivskyy	CBA-I	136	Zhytomyrskyy	New	0
Volodymyr-Volynskyy	CBF-I	75	Mizhhirskyy	CBA-I	154	Olevskyy; Korostyshivskyy	CBA-I	186; 89
						Chervonoarmijskyy	CBA-I	47

## Annex – IV

**Number of Selected Rayons, Rayon seminars and Signed PA, 3<sup>rd</sup> Quarter 2011**

	<b>Number of selected rayons</b>	<b>Number of rayon seminars</b>	<b>Number of signed PA</b>
ARC	8	8	8
Cherkaska	9	9	9
Chernihivska	6	6	6
Chernivetska	0	0	0
Dnipropetrovska	9	9	9
Donetska	10	10	9
Ivano-Frankivska	9	9	9
Kharkivska	6	6	6
Khersonska	8	8	8
Khmelnyska	8	8	8
Kirovohradska	8	8	8
Kyivska	6	6	6
Luhanska	9	9	9
Lvivska	8	8	8
Mykolaivska	9	9	9
Odeska	8	8	8
Poltavska	8	8	8
Rivnenska	8	8	8
Sumska	9	9	9
Ternopilska	9	9	9
Vinnytska	6	5	5
Volynska	9	9	9
Zakarpatska	9	9	9
Zaporizka	9	9	8
Zhytomyrska	6	0	0
<b>Total</b>	<b>196</b>	<b>189</b>	<b>185</b>

Annex - V  
List of Selected Village/City Councils

	# of applications	Pilot Village/Town Councils		
		From CBA-I	New	Total
ARC	82	9	23	32
Cherkaska	171	4	34	38
Chernihivska	79	3	22	25
Chernivetska	0	0	0	0
Dnipropetrovska	84	6	31	37
Donetska	109	4	36	40
Ivano-Frankivska	197	6	30	36
Kharkivska	67	4	22	26
Khersonska	73	7	25	32
Khmelnyska	187	8	20	28
Kirovohradska	128	8	25	33
Kyivska	59	6	18	24
Luhanska	98	4	32	36
Lvivska	105	8	30	38
Mykolaivska	125	5	31	36
Odeska	0	0	0	0
Poltavska	95	8	25	33
Rivnenska	145	8	20	28
Sumska	109	11	27	38
Ternopilska	165	5	32	37
Vinnytska	72	7	26	33
Volynska	152	8	32	40
Zakarpatska	100	8	28	36
Zaporizka	22	4	4	8
Zhytomyrska	0	0	0	0
<b>Total</b>	<b>2424</b>	<b>141</b>	<b>573</b>	<b>714</b>

Annex - VI

**Dialogues in CBA Methodology**

Dialogue is a two-way transparent communication between regional implementation unit and community members, where regional implementation unit delivers its messages to the participants with logic, facts, figures and so forth, while the audience tries to understand relevance of the message into their lives, process to be followed and seek clarification if required. Methodology of community based approach assumes four dialogues during all time of cooperation with a selected community:

- During the '**first dialogue**' the community mobiliser motivates the community members to forge partnership among themselves and get organised to form a community organisation.
- During the '**second dialogue**' the community mobiliser unleashes the potential of the community members to address their needs in the limit of their potentials by expanding vision.
- During the '**third dialogue**' the community mobiliser reinforces the principles of accountability and transparency through signing of grant agreement.
- During the '**fourth dialogue**' the social mobiliser assists community members in shaping their future vision based on the lessons of the past.

During 3<sup>rd</sup> quarter of 2011, dialogues with communities were held as follows:

Oblast	Number of first dialogues	Number of second dialogues
ARC	0	0
Cherkaska	0	0
Chernihivska	11	3
Chernivetska	0	0
Dnipropetrovska	0	0
Donetska	0	0
Ivano-Frankivska	0	0
Kharkivska	0	0
Khersonska	0	0
Khmelnyska	0	0
Kirovohradka	0	0
Kyivska	3	0
Luhanska	0	0
Lvivska	0	0
Mykolaivska	0	0
Odeska	0	0
Poltavska	0	0
Rivnenska	0	0
Sumska	0	0
Ternopilska	3	2
Vinnytska	0	0
Volynska	8	6
Zakarpatska	0	8
Zaporizka	0	0
Zhytomyrska	0	0
<b>Total</b>	<b>25</b>	<b>19</b>

Annex – VII

**Brief Account of PAS**

Participatory Assessment System (PAS) involves assessing CO in terms of its governance and the services it delivers to the members. PAS may be conducted on half-yearly basis. The assessment is participatory, whereby each member opines on a set of indicators (related with soundness of the CO and its governance, quality of its activities and impact it produces) and gives value to each based on his/her own judgement. Average of the scores shows the empowerment status of the CO. Similarly, a comparison of the PAS of each COs in the VC/CC/rayon helps the RIU-team to identify the COs that require special attention for desired level of community empowerment. PAS involves 6 major indicators and 30 sub-indicators mentioned in Table - V (below).

**TABLE - V: INDICATORS AND SUB-INDICATORS FOR COMMUNITY ASSESSMENT**

	Main Indicators	Sub-indicators				
		1	2	3	4	5
1	Organisation Development	Level of household participation	Regularity of meeting	Level of attendance CO-members in the meetings	Participation in discussion	Level of CO-members' awareness about decisions made
2	Leadership Development	Leaders' evaluation mechanism	Level of shared leadership	Leadership rotation	Remuneration for leaders	Leaders' performance quality
3	Organisational Sustainability	Collection of membership fee and CO-sustenance fees	Clarity about utility of CO	Quality of account book	Trust in safety of amount collected	Following of rules and regulations
4	Micro-projects	No. of community initiatives undertaken	Confidence gained to undertake similar projects	Sustainability mechanism in place	Priority given to vulnerable groups	Level of CO-members benefiting
5	Change in living quality	Health post related services	Energy saving measures	Environment improvement measures	Water management	Employment opportunity
6	Participatory Planning and Linkage	Linkage of CO with local authorities	Linkage of CO with other development agencies	Linkage with similar COs on the territory	Participation of CO-members in CO-planning process	Level of participation of CO/CO-members in planning process of local councils



Annex – VIII

**Brief of Community Organisation**

**Grafting** takes place in community mobilisation:

- When two similar organisations are mixed into one to serve the same purpose;
- When an existing organisation is fine-tuned to suit the requirement of another supporting organisation to serve the similar purpose
- If the *community* is already organized as CO, then effort is made to graft the same rather than forming a new one.

Following elements are considered while grafting an organisation:

1. Amend the statute and operational procedures of the CO to meet the key principles of participatory governance/good governance;
2. Ensure *Equity in Participation* by involving 80% or more of the apartments/houses in the CO;
3. Institute *Participative Decision-making* by making room for frequent (fortnightly/monthly) General Assembly meeting to make all key decisions approved by 80% or more members
4. Ensure that the genuine leaderships are given chance to lead the CO
5. Make *executive board* to function on the participatory decisions
6. Ensure women and vulnerable sections are not excluded from participation in decision-making and benefits. If necessary, make special provision for them
7. Adopt principles for consensus-based decision-making. Voting should be followed if consensus does not occur.
8. Standard clause for electing/re-electing officials of the executive body should be there with provision for expelling an officials anytime he/she is found guilty or he/she is found not performing satisfactorily
9. Provide room to ensure that decisions made are known to all members;
10. Institute *transparent accounting* system and the provide room for the members to regularly/frequently know about income-expenditure and whereabouts of the balance cash/kind owned by the CO;
11. Incorporate a system of public audit and participatory assessment of the activities of the CO;
12. Institute mandatory *membership/commitment fee* - to be collected from the members to ensure ownership and sustainability of the CO;
13. Make members and executive body accountable to the decisions made and loss occurred
14. The General Assembly of the CO must ratify the amendments and commit for observance of the norms/principles of good governance
15. If necessary, the statute of CO is re-registered with the concerned agency

Annex - IX  
**Community Organizations, as of End of 3<sup>rd</sup> Quarter**

<b>Oblast</b>	<b>COs from CBA-I</b>	<b>New COs</b>	<b>Total</b>
ARC	0	0	0
Cherkaska	4	0	4
Chernihivska	3	0	3
Chernivetska	0	0	0
Dnipropetrovska	0	0	0
Donetska	0	0	0
Ivano-Frankivska	0	0	0
Kharkivska	0	0	0
Khersonska	0	0	0
Khmelnyska	7	0	7
Kirovohradska	0	0	0
Kyivska	6	0	6
Luhanska	4	5	9
Lvivska	3	0	3
Mykolaivska	0	0	0
Odeska	0	0	0
Poltavska	0	0	0
Rivnenska	0	0	0
Sumska	0	0	0
Ternopil'ska	5	0	5
Vinnyska	7	0	7
Volynska	6	0	6
Zakarpatska	8	0	8
Zaporizka	18	0	18
Zhytomyrska	0	0	0
<b>Total</b>	<b>71</b>	<b>5</b>	<b>76</b>

Annex – X  
Local Development Forums as of End of 3<sup>rd</sup> Quarter

Oblast	LDF from CBA-I	LDF created in CBA-II	Number of sittings held
ARC	5	0	0
Cherkaska	3	0	0
Chernihivska	3	0	0
Chernivetska	0	0	0
Dnipropetrovska	3	0	1
Donetska	4	0	0
Ivano-Frankivska	5	0	0
Kharkivska	2	0	0
Khersonska	4	0	0
Khmelnyska	5	0	0
Kirovohradska	4	0	0
Kyivska	3	0	0
Luhanska	3	0	0
Lvivska	5	0	0
Mykolaivska	4	1	1
Odeska	2	0	0
Poltavska	3	0	0
Rivnenska	4	0	0
Sumska	5	0	0
Ternopilska	5	0	0
Vinnytska	4	2	2
Volynska	5	0	0
Zakarpatska	5	0	0
Zaporizka	5	0	0
Zhytomyrska	3	0	0
<b>Total</b>	<b>94</b>	<b>3</b>	<b>4</b>

Annex - XI  
Community Resource Centres as of End of 3<sup>rd</sup> Quarter

Oblast	Number of pilot rayons	RCRC (functional from CBA-I)	RCRC (created in CBA-II)	Total
ARC	8	0	0	0
Cherkaska	9	3	6	9
Chernihivska	6	10	0	10
Chernivetska	0	0	0	0
Dnipropetrovska	9	2	1	3
Donetska	10 (+2)	0	0	0
Ivano-Frankivska	9	0	0	0
Kharkivska	6	2	0	2
Khersonska	8	4	0	4
Khmelnyska	8	0	0	0
Kirovohradska	8	4	0	4
Kyivska	6	3	0	3
Luhanska	9	6	0	6
Lvivska	8	5	0	5
Mykolaivska	9	4	3	7
Odeska	8	0	0	0
Poltavska	8	3	0	3
Rivnenska	8	0	0	0
Sumska	9	5	0	5
Ternopil'ska	9	0	0	0
Vinnytska	6	4	0	4
Volynska	9	5	3	8
Zakarpatska	9	5	0	5
Zaporizka	9	5	0	5
Zhytomyrska	6	0	0	0
<b>Total</b>	<b>196</b>	<b>70</b>	<b>13</b>	<b>83</b>

Annex - XII  
Dissemination of Newsletters

	Issues	Distributed	
		In hard copies	Electronically
ARC	0	0	0
Cherkaska	0	0	0
Chernihivska	1	0	30
Chernivetska	0	0	0
Dnipropetrovska	2	0	68
Donetska	0	0	0
Ivano-Frankivska	2	650	42
Kharkivska	0	0	0
Khersonska	0	0	0
Khmelnyska	1	280	11
Kirovohradska	1	100	100
Kyivska	2	310	7
Luhanska	2	1710	64
Lvivska	0	0	0
Mykolaivska	2	720	170
Odeska	0	0	0
Poltavska	2	220	100
Rivnenska	1	20	34
Sumska	0	0	0
Ternopilska	2	22	55
Vinnytska	2	100	100
Volynska	1	1	1
Zakarpatska	2	550	350
Zaporizka	1	100	10
Zhytomyrska	0	0	0
<b>Total</b>	<b>24</b>	<b>4783</b>	<b>1142</b>