

**Community Based Approach to Local Development Project – II**

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**II QUARTERLY PROGRESS REPORT**

**April – June 2013**

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| Project is financed  byEuropean Union |  | Description: C:\Documents and Settings\iuliia.iakusha\Local Settings\Temporary Internet Files\Content.Outlook\UU13M9A8\UNDP_new_logo.jpg  Project is cofinanced  and implemented by UNDP |

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**LIST OF ABBREVIATIONS**

|  |  |
| --- | --- |
| ARC | Autonomous Republic of Crimea |
| CBA | Community Based Approach to Local Development Project |
| CDO | Community Development Officer (CBA staff in the region) |
| CDP | Community Development Plan |
| CO | Community Organisation |
| EE | Energy Efficiency component of CBA-II |
| EU | European Union |
| FP | Focal Person |
| KM | Knowledge management component of CBA-II |
| KMH | Knowledge management hub |
| LED | Local economic development component of CBA-II |
| LDF | Local Development Forum |
| MoU | Memorandum of Understanding (similar to PA) |
| MPP | Micro Project Proposal |
| MP | Micro Project (Community Project) |
| OC | Oblast Council |
| OCRC | Oblast Community Resource Centre (same as OIU) |
| OIU | Oblast Implementation Unit (same as OCRC) |
| OSA | Oblast State Administration |
| PA | Partnership Agreement (similar to MoU) |
| PMU | Project Management Unit (CBA head office in Kyiv) |
| RC | Rayon Council |
| RCC | Region Coordination Council |
| RCRC | Rayon Community Resource Centre |
| RM | Replication of CBA methodology |
| RSA | Rayon State Administration |
| UADRC | Ukrainian Association of District and Regional Authorities |
| UNDP | United Nations Development Programme |
| VC/CC | Village Council/City Council |

**EXECUTIVE SUMMANRY**

**Project Background**

The second phase of the Community-Based Approach to Local Development (CBA-II) Project commenced with the signing of an agreement between the Government of Ukraine and the European Union (EU) on 31 May 2011. CBA-II is funded by the EU and co-financed and implemented by UNDP, with the support of the Government of Ukraine and in partnership with local executive bodies/bodies of self-governance. CBA-II aims to promote a community-based approach to strengthen the process of participatory governance, to promote the idea of sustainable development and to enhance energy efficiency at local level. The project’s total budget is €17 million, with a 98.4 percent contribution from the EU and 1.6 per cent cost-sharing from UNDP. The project’s time-frame is four years (June 2011 to May 2015).

To achieve the objectives, the project utilizes social mobilization tools to mobilize local communities and local authorities for joint decision-making, cost-sharing, implementation of community projects and establishment of sustainable mechanisms. Establishment/strengthening of the necessary support structures to this end include community organizations (COs), Local Development Forums (LDFs), Regional Coordination Councils (RCCs) and rayon/regional-level Community Resource Centres (CRCs). The capacity of stakeholders is enhanced through training, exposure visits etc. Community projects are used as another tool for capacity-building, with basic needs – namely, health, the environment, energy efficiency, water supply and rural economic development – considered priority areas.

The project is being implemented in all 25 regions of Ukraine. Its target is to cover 200 rayons, 900 Village/City Councils (VCs/CCs) with populations of less than 10,000 people and 900 local communities. Other targets include: 900 COs and 200 LDFs formed; 900 community projects supported; 16000 community members and 2000 state/elected officials trained; 17 cooperatives established; and a knowledge management hub established.

**Activity During 2nd Quarter 2013**

Activities during 2nd quarter of 2013 focused on micro-project, knowledge management, methodology replication and cooperative development and energy efficiency component. Details of project activities are given hereunder.

**Regular component**

* ***Partnership:*** Partnership was established with 200 rayon authorities and 852 village/city councils during the reporting period.
* ***Support structure development:*** Support structures are the institutional mechanism through which the project’s objectives are achieved. Since inception, 844 community organizations were formed/grafted. To facilitate the bottom-up planning process and participatory decision-making at rayon level, 200 local development forums (LDFs) were formed or strengthened under the chair of rayon leadership. To support the function of LDFs, 200 rayon community resource centres (CRCs) were created and strengthened. Thus the target for support structure development was accomplished.

Since inception, 462`651 men and women were mobilised from 300`720 households to join the process of CBA with 57% female participation. This total household constitutes 83.3% of total target households in the project area. It reflects fulfillment of the CBA norm of 50% female participation and 80% household coverage.

* ***Capacity-building:*** In a bid to enhance the skill of the human resources involved in CBA-II implementation, 393 CO-executives and 131 state/elected officials were trained during the reporting period. Since inception, 7659 CO-executives and 2471 local officials were trained.
* ***Community planning:*** During the reporting period, 1 COs prepared community development plans. Since inception, 836 CDPs were developed and 813 of them were mainstreamed.
* ***Micro-projects (communal infrastructure):*** During the reporting period, 19 micro-project proposals were approved for funding with 693 micro-projects approved since inception. The total cost of these micro-projects was UAH 122.6 million, which was shared by COs (7.7 percent), local authorities (48.5 percent), the CBA Project (42.2 percent) and private sponsors (1.6 percent).

Sectoral analysis of micro-projects indicates that 77.6 percent of them are related to saving energy, 11.0 percent to health, 10.7 percent to water supply, and 0.7 percent to the environment. Furthermore, 66.9 percent of these micro-projects are related to schools or kindergartens, 19.6 percent to health facilities and 13.5 percent to the community – for example, street lighting, water supply, waste management. A total of 788`469 people are expected to benefit from these micro-projects.

**Methodology replication component**

* CBA partners in the regions are encouraged to internalise CBA methodology with support of the Project so that they could utilise this methodology to implement their small grant programme with higher effectiveness. It will also ensure sustainability of CBA approach in long term perspective.
* During the reporting period, 2 new rayons joint activities on methodology replication; 3 village\city councils were selected; 19 COs were grafted/formed and 2 new LDF established. Since inception, 216 COs were formed, 55 LDF created and 56 resource centres were established. The COs were formed by 74297 men and women from 56919 households in the CBA communities thereby demonstrating higher level of female participation and near 80% household coverage, as stipulated by CBA.
* Also since inception, a total of 1361 CO-executives and 468 local officials were trained and 141 micro-projects were supported. Of these micro-projects, 126 belonged to standard type and 15 belonged to energy efficiency category. Total cost of these micro-projects was UAH 16.6 million that was shared among CO (7.3%), local budget (74.4%), CBA (16.8%) and private sponsors (1.5%).

***Challenges:*** Key challenges that hampered the implementation of replication component include (a) new rules introduced by the Government regarding the construction/rehabilitation of communal infrastructure that requires use of certified contractors only. However, number of such contractors is highly limited in the region and (b) difficulty in co-financing from local budget due to lack of resource.

**Rural economic development component**

* CBA-II envisions support to small-scale economic initiatives of rural communities. The main focus is on promoting collective actions that could lead to income generation and employment creation in rural areas. The project is expected to assist low-income households to organize and form 17 cooperatives to bring added value to local products through efficient production, packaging and shared marketing.
* During the reporting period 11 agricultural service cooperatives were formed making it total 15 cooperatives since inception. These ASCs were formed by 1095 men and women from 1095 households, which represent 54.4% of the target households in the communities. It is expected that gradually more households will join the ASCs, especially upon first round of concrete results achieved by the ASCs.
* During the reporting period 322 coop-members and 90 local authorities were trained making it total 420 Coop-members and 109 state/elected officials since inception. Local authorities provided office premises to these ASCs. CBA supported strengthening of two technical information centres through equipment, furniture and communication logistics. By June 2013, 3 ASCs developed their economic development plan and 2 of the plans were supported by CBA through small grant etc. Nature of the micro-projects belongs to equipment purchase, and vegetables production. Total cost of the micro-projects was UAH 0.4 million which was shared by the community (33%), CBA (55.2%), local authorities (11.8%). It is expected that a total of 1095 Households will receive direct benefit from the micro-project support.

***Challenges:*** Key challenges that hampered the implementation of economic component include (a) confusion created by new legislation (2012) on ASC; (b) old mindset of people and authorities on cooperative in light of poor-focused vision and member-based operational methodology of CBA; (c) Relatively high level (30%) cost sharing from members and lack of clear procedure for cost sharing from local budget.

**Energy efficiency component**

* The overall objective of the EE component of CBA-II is to enhance local capacity by enabling citizens and local authorities to learn about energy efficiency technologies and use them jointly to solve their energy problems sustainably. The project envisions to achieve this objective through (a) implementation of 300 micro-projects; (b) updating of energy strategy in 6 regions; (c) supporting larger investment on energy efficiency in 6 regions; and (d) raising skill and public awareness on energy efficiency.
* During the reporting period 8 micro-projects were supported making it 153 in total since inception; Total cost of these micro-projects is UAH 25.3 million shared among community (5.5%), local budget (49.8%), CBA (43.9%) and private sponsors (0.8%). As estimated 165,821 persons will receive direct/indirect benefit from this support besides 41 schools and 10 hospitals.
* During the reporting period, energy strategy of 3 oblasts was updated making it 6 strategies in total. The regional authorities of these oblasts were engaged in developing concept for preparation of technical documentation that would lead to larger investment for enhancing energy efficiency in the region - in line with the recommendation made in the energy strategy document. A roundtable was organised in June 2013 on international financing. Representatives of 7 oblast authorities and 2 city councils learned about mechanism and scope of co-financing from European Investment Bank.
* 60 training/backstopping events were organised during the reporting period to enable CBA partners undertake energy efficiency activities. A total of 388 CO-executives and 104 elected/state officials received training during 2nd quarter. Since inception, 79 training events were held from which 488 CO-executives and 157 elected/state officials were trained. Besides various awareness materials (leaflet, video film) were initiated.

***Challenges:*** Key challenges that hampered the implementation of energy efficiency component include (a) new rules introduced by the Government regarding the construction/rehabilitation of communal infrastructure that requires use of certified contractors only. However, number of such contractors is highly limited in the region and (b) difficulty facing the local authorities in developing technical document for micro-projects and co-financing of micro-projects due to lack of budget; (b) new rules of the Government that requires lengthy and bureaucratic procedure for approval of technical documents

**Knowledge management**

* Knowledge hub established during 2012 was further supported with training, equipment and expert services during reporting period to bring the hub to full scale of operation over the period of 2013;
* During the reporting period partnership was established with 2 universities, making it total 23 since inception. Students were supported with training, debates, internship and small research projects on CBA-related themes. 14 winners of small research and debate were provided with additional training through a ‘summer school’ organised by CBA. Also, activity was initiated to develop supplementary teaching /reference materials including cases studies, monographs and short video films.

**Information and communication**

* In the reporting period, 34 media events were organized (including regional, rayon seminars, press conferences etc.), 340 cases of media coverage were registered, 152 publications on websites was registered and 12 issues of regional newsletters were disseminated. In addition, 1 donor visit was hosted during the reporting period. Since inception, 485 media events, 3988 media coverage, 1798 publications on websites and 218 issues of regional newsletters were undertaken. All these ensure high degree of transparency in the activities carried out by CBA.

***Management***

* During the reporting period, financial progress of € 980,900 took place making it 29.3% of the annual target for 2013. Key reasons of the low delivery during the first half of the year are lack of conducive environment for ensuring timely co-financing from local budget.
* Since inception. € 9.3 million worth financial progress took place. With this, the project achieved 54.7% of its total financial target.

**STATISTICAL ABSTRACT**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target All Years** | | **Achievements** | | | | **Total Achievement** |
| **Unit** | **Qty** | **2011** | **2012** | **2013** | |
| **Qr-1** | **Qr-2** |
| 1 | Partnership agreement signed with regions | No. | 25 | 25 | - |  |  | 25 |
| 2 | Rayons selected & partnership established | No. | 200 | 200 | - |  |  | 200 |
| 3 | Village/City Council selected & partnership | No. | 900 | 833 | -1 | 16 | 4 | 852 |
| 4 | Communities selected for local action | No. | 900 | 782 | 53 | 14 | 4 | 856 |
| 5 | Community organizations |  |  |  |  |  |  |  |
| CO formed/grafted | No. | 900 | 702 | 134 | 8 | - | 844 |
| CBA-I community | No. | - | 133 | 8 | - | - | 141 |
| New community | No. | - | 569 | 126 | 8 | - | 703 |
| COs formalisation | No. | - |  |  |  |  |  |
| Enrolled with VC/CC | No. | - | 658 | 118 | 2 | 6 | 844 |
| Legally registered | No. | - | 617 | 219 | 2 | 6 | 844 |
| Household participation/membership |  |  |  |  |  |  |  |
| Target households | No. | - | 210`785 | 145`794 | 3494 | 940 | 361013 |
| Participated households | No. | - | 155`232 | 139`023 | 5525 | 940 | 300720 (83.3%) |
| CO members (total) | No. | - | 228`149 | 221463 | 12099 | 940 | 462651 |
| CO members male (%) | No. | - | 43 | 43 | 43 | 43 | 43 |
| CO members female (%) | No. | - | 57 | 57 | 57 | 57 | 57 |
| 6 | Local Development Forums (formed/grafted) | No. | 200 | 182 | 18 | - | - | 200 |
| LDF sittings held |  | - | 226 | 623 | 115 | 91 | 1055 |
| 7 | Regional Coordination Councils grafted | No. | 25 | 11 | 13 | 1 | - | 25 |
| RCC sitting held |  | - | 11 | 40 | 11 | 7 | 69 |
| 8 | Community Resource Centers formed/grafted | No. | 223 | 170+23 | 30+0 | - | - | 223 |
| Capacity of CRCs strengthened | No. | 100 | 0+19 | 73+6 | - | - | 98 |
| 9 | Human Resource Development |  |  |  |  |  |  |  |
| Training conducted | No. | - | 648 | 644 | 90 | 83 | 1465 |
| Participants of the trainings | No. | 18000 | 5262 | 4231 | 253 | 524 | 10200 |
| CO-members | No. | 16000 | 3795 | 3376 | 95 | 393 | 7659 |
| Local authorities | No. | 2000 | 1397 | 785 | 158 | 131 | 2471 |
| *Project staffs* |  |  | *70* | *-* | *-* | *-* | *70* |
| 10 | Community development planning |  |  |  |  |  |  |  |
| COs with CDP prepared | No. | 900 | 516 | 319 | - | 1 | 836 |
| CDP approved and mainstreamed | No. | 900 | 321 | 489 | 2 | 1 | 813 |
| 11 | Micro-Project supported | No. | 600 | 112 | 667 | 18 | 19 | 693\* |
| Sectoral distribution |  |  |  |  |  |  |  |
| *Energy saving* | % | - | *85.7* | 76.4 | n.a. | 73.1 | 77.6 |
| *Water supply* | % | - | *5.4* | 11.5 | n.a. | 13.0 | 10.7 |
| *Health posts* | % | - | *8.0* | 11.4 | n.a. | 13.2 | 11.0 |
| *Environment* | % | - | *0.9* | 0.7 | n.a. | 0.7 | 0.7 |
| Total cost of approved MPPs | UAH mln | - | 20.4 | 115.8 | 3,1 | 3.6 | 122.6\* |
| *Shared by COs* | % | 5 | 8.2 | 7.3 | 7,0 | 5,9 | 7,7 |
| *Shared by local, rayon, regional authorities* | % | 45 | 49.4 | 48.2 | 49,2 | 54,4 | 48,5 |
| *Shared by CBA* | % | 50 | 40.4 | 42.8 | 42,6 | 38,4 | 42,2 |
| *Shared by others (private sponsors)* | % | - | 2.0 | 1.7 | 1,2 | 1,3 | 1,6 |
| Beneficiary characteristics of approved MPs |  |  |  |  |  |  |  |
| *Beneficiary population* | No. | - | 146,704 | 749748 | 20536 | 22661 | 788469 |
| *Institutional beneficiary* | No. | - | 112 | 667 | 18 | 19 | 693\* |
| *School/kindergarten (cum.)* | % | - | 73.1 | 65.4 | 63.0 | 63.2 | 66.9 |
| *Healthpost (cum.)* | % | - | 9 | 11.7 | 21.0 | 21.1 | 19.6 |
| *Local communities (cum.)* | % | - | 17.9 | 22.9 | 16.0 | 15.8 | 13.5 |
| 12 | Energy Efficiency Component |  |  |  |  |  |  |  |
| Micro-project supported | No. | 300 | - | - | 145 | 8 | 153 |
| Total cost of approved MP | UAH mln | - |  |  | 8.0 | 17.3 | 25.3 |
| Supporting energy efficiency strategic plans | No. | 6 | - | - | - | 6 | 6 |
| Supporting technical design development | No. | 6 | - | - | - | 0 | 0 |
| 13 | Agro/Service Cooperative Developed | No. | 17 | - | - | 4 | 11 | 15 |
| Economic micro-projects supported | No. | 17 | - | - | - | 2 | 2 |
| 14 | Knowledge Management |  |  |  |  |  |  |  |
| Partnership with academia | No. | 10 | 5 | 20 | 1 | 2 | 23 |
| Curriculum introduced in academia | No. | 2 | - | 13 | - | - | 13 |
| Knowledge management hub | No. | 1 | - | 1 | - | - | 1 |
| 15 | Information campaign and media strategy |  |  |  |  |  |  |  |
| Media events | No. | - | 269 | 144 | 38 | 34 | 485 |
| Media coverage | No. | - | 1282 | 1883 | 483 | 340 | 3988 |
| Publications (web sites) | No. | - | 587 | 853 | 206 | 152 | 1798 |
| Newsletters | No. | - | 71 | 112 | 23 | 12 | 218 |
| 16 | Financial Progress (estimated) | Mill. $/€ | 23.3/17.1 | 1.7/1.1 | 8.6/6.5 | 0.98/0.75 | 1.3/0.98 | 12.6/9.3 |

\*130 approved MPs of regular component were moved to energy efficiency component.

**STATISTICAL ABSTRACT**

(Replication component)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target All Years** | | **Achievements** | | | **Total Achievement** | |
| **2012** | **2013** | | |  |
| **Unit** | **Qty** | **Qr-1** | **Qr-2** | |
| 1 | Rayons selected & partnership established | No. | 60 | 61 | - | 2 | | 63 |
| 2 | Village/City Council selected & partnership | No. | 240 | 243 | 5 | 3 | | 251 |
| 3 | Communities selected for local action | No. | 240 | 239 | 9 | 1 | | 249 |
| 4 | Community organizations |  |  |  |  |  | |  |
| CO formed/grafted | No. | 240 | 179 | 18 | 19 | | 216 |
| CBA-I CO | No. | - | 41 | 4 | - | | 45 |
| New CO | No. | - | 138 | 14 | 19 | | 171 |
| COs formalisation | No. |  |  |  |  | |  |
| Enrolled with VC/CC | No. | - | 174 | 19 | 15 | | 208 |
| Legally registered | No. | - | 169 | 21 | 18 | | 208 |
| Household participation/membership |  |  |  |  |  | |  |
| Target housejolds | No. | - | 52949 | 9291 | 6543 | | 68783 |
| Participated households | No. | - | 39026 | 8151 | 6366 | | 56919 |
| CO members (total) | No. | - | 52401 | 16234 | 5662 | | 74297 |
| CO members male (total) | No. | - | 42.5 | 43% | 43% | | 43% |
| CO members female (total) | No. | - | 57.5 | 57% | 57% | | 57% |
| 5 | Local Development Forums (formed/grafted) | No. | 60 | 47 | 6 | 2 | | 55 |
| LDF sittings held |  | - | 111 | 55 | 45 | | 211 |
| 7 | Community Resource Centers formed/grafted | No. | 60 | 52 | 4 | 0 | | 56 |
| Capacity of CRCs strengthened | No. | - |  |  |  | |  |
| 8 | Human Resource Development |  |  |  |  |  | |  |
| Training conducted | No. | - | 195 | 68 | 45 | | 308 |
| Persons trained (without repetition) | No. | - | 1257 | 326 | 246 | | 1829 |
| CO-members | No. | - | 930 | 244 | 187 | | 1361 |
| Local authorities | No. | - | 327 | 82 | 59 | | 468 |
|  |  |  |  |  |  | |  |
| 9 | Community development planning |  | 240 |  |  |  | |  |
| COs with CDP prepared | No. | - | 139 | 56 | 47 | | 242 |
| CDP approved and mainstreamed | No. | - | 130 | 24 | 57 | | 211 |
| 10 | Micro-Project supported | No. | 240 | 67 | 15 | 59 | | 141 |
| Sectoral distribution |  | - |  |  |  | |  |
| *Energy saving* | % | - | 74.8 | 79.2 | 83.1 | | 78.7 |
| *Water supply* | % | - | 9.9 | 10.4 | 10.2 | | 7.8 |
| *Health posts* | % | - | 13.0 | 9.9 | 6.7 | | 12.1 |
| *Environment* | % | - | 2.3 | 0.5 | 0 | | 1.4 |
| Total cost of approved MPPs | UAH mln | - | 8.7 | 0.8 | 7.1 | | 16.6 |
| *Shared by COs* | % | - | 6.0 | 7.1 | 7.1 | | 7.3 |
| *Shared by local, rayon, regional authorities* | % | - | 67.2 | 74.4 | 74.4 | | 74.4 |
| *Shared by CBA* | % | - | 20.8 | 16.7 | 16.7 | | 16.8 |
| *Shared by others (private sponsors)* | % | - | 6.0 | 1.8 | 1.8 | | 1.5 |
| Beneficiary characteristics of approved MPs |  | - |  |  |  | |  |
| *Beneficiary population* | No. | - | 77420 | 14135 | 66830 | | 158385 |
| *Institutional beneficiary* | No. | - | 67 | 15 | 59 | | 141 |
| *School/kindergarten (cum.)* | % | - | 43 | 13 | 41 | | 97 |
| *Healthpost (cum.)* | % | - | 11 | 2 | 14 | | 27 |
| *Local communities (cum.)* | % | - | 13 | 0 | 4 | | 17 |

\* 15 replication MPs were moved to energy efficiency component.

**chapter One**

**1.1 Background**

CBA-II Project is funded by the European Union and is co-financed and implemented by UNDP, with the support of the Government of Ukraine and in partnership with local executive bodies/ bodies of self-governance. The Project’s total budget is €17.125 million (23.3 million US $) with 98.4% contribution from EU and 1.6% cost sharing from UNDP. Project time frame is 4 years (7 June 2012 – May 2015).

**1.2 Objectives**

***Overall objective*** of the CBA Project is to promote sustainable socio-economic development at local level by strengthening participatory governance and encouraging community-based initiatives throughout Ukraine.

***Specific objectives*** of the Project are to***:***

* promote community based approach to local governanceand sustainable development;
* enhance energy efficiency at local level;
* support the creation of the locally owned and managed repository and network of good practices and knowledge on community mobilization and participatory governance;

**1.3 Implementation Methodology**

The strategic goal of the CBA is to build capacity of the local communities and local authorities for participation into joint decision making process and use this capacity for multi-stakeholders cooperation and multi-sectoral interventions with ensured local ownership of the process. The process is ***bottom-up*** and involves stakeholders from grassroots, meso and macro level in the process as it moves upward. CBA Implementation process involves a series of activities and action points that ultimately yields results intended by the Project.

At national level the project works with line ministries, parliamentary committees, national associations of local councils and other counterparts under overall coordination of the Secretariat of the Cabinet of Ministers (SCMU) of Ukraine. At regional level Project cooperates with oblast state administrations (OSAs) and oblast councils, OCs (in case of AR Crimea – with ARC Council of Ministers and Verkhovna Rada of AR Crimea). At rayon level, CBA partners with rayon state administrations (RSAs) and rayon councils (RCs) . At local level, partnership is established with village / city councils (VCs/CCs).

Selected rayons, village/city councils and local communities form the functional area of the Project. Their selection is done through open ***competition based*** on the criteria of socio-economic hardship especially in the area of health, water supply, energy supply and environmental situation. Through the selection process, CBA reaches the most suffering areas/population of the region/rayon.

Local level activities of CBA are carried out under the framework of ***partnership***with the stakeholders. It is based on willingness and commitment of the partners (communities, village/city councils, rayon authorities, regional authorities, academia, associations of local self-governments, private sector) for cost sharing and joint decision-making.

The project uses ***social mobilisation tool*** to mobilise stakeholders and create environment (support structures) for joint decision-making and joint implementation of activities. Community organisation (CO) is formed by representation of 80% or more households on the selected community to reflect common community vision and implementation of community priorities; local development forum (LDF) is developed at rayon level for joint decision-making, resource mobilisation, local coordination; regional coordination council (RCC) is developed at regional (oblast/ARC) level to monitor CBA activities in the region, to resolve issues related with local policies/procedures, to support programming and resource mobilisation. At national level there is a steering committee to ensure national level coordination and advisory support.

***Capacity*** of the COs is built in such a way that they are able to make joint decision with local authorities, mobilize resources, implement local priorities and sustain the result. Capacity of the partners (VC/CC, rayon/oblast etc.) is strengthened in terms of human resources to implement participatory approach propagated by the Project. Training, exposure visits, dialogues and small grants (for community projects), appropriate institutional mechanisms etc. are used as tools for building capacity.

CBA-II supports pilot rural communities in solving the most pressing local development problems by implementing community initiatives (micro-projects) within major project priority:

* Health (local health posts);
* Environment (waste utilisation, sewage/drainage etc.);
* Energy (energy saving, energy efficiency);
* Water supply;
* Rural economic development
* Knowledge management
* Methodology replication

**1.4 Management Arrangement**

The Project is managed by UNDP/Ukraine under overall guidance of Country Director and under direct supervision of Senior Programme Manager. Execution of the Project is done by an implementation team with a central body in Kyiv and 25 regional implementation units, one in each region. Oblast Implementation Units (Regional Community Resource Centres) are further supported by oblast authorities in terms of office premises and human resources. Similarly, each rayon partner has deputed one official for coordination and implementation purpose and has established modest form of district community resource centre. They all together implement local component of the CBA activities. LDF and RCC serve as a guiding body at local and regional level and steering committee of the Project serve as a guiding body at the national level. For timely and quality output, appropriate ‘quality supervision committee’ (QSC) and ‘management information systems’ (MIS) are established to make the stakeholders directly involved in the process of monitoring and assessment of the Project activities. Flow of information is bottom-up and participatory. Various forms of media outlets are encouraged for raising public awareness about activities of CBA with a purpose to ensure transparency.

**1.5 Project Area**

CBA Project works in all oblasts of Ukraine and Autonomous Republic of Crimea covering total of 200 rayons (6-10 rayons in average) and 900 village/city councils (4-5/rayon in average) with less than 10,000 population.



**LEGEND**

= Oblast/Region

 = Rayon

= Village/City Council



**Map – I: CBA Project Area**

**Chapter Two**

Establishment of partnership with local/regional authorities through signing of partnership agreement is essential to formalize the role and commitment of the partners and to provide an official basis to work with community organizations. Target of the Project includes partnership with 25 regional authorities, 200 rayon authorities and 900 village/city councils.

As of 2012, partnership with all 25 regions, 200 rayons and 832 village\city councils was established. During 2nd quarter 2013 partnership was established with 2 new village councils within frameworks of energy efficiency component making it total 852 village councils (18 of them are within energy efficiency component) since inception.

Details on partnership establishment are described hereunder:

**2.1 Establishing Partnership with Regional Authorities** was accomplished in 2011, reaching 100% of the target. Memorandums of Understanding (MoU) were signed with all 25 regional authorities. In general the MoUs were tripartite involving regional state administration, regional council and UNDP.

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| **Box – I: Opinions of Oblast Authorities on Cooperation with CBA Project**  ‘We consider CBA Project as a synonym of positive changes that have been happening on the territory of Ivano-Frankivska oblast’  *Ostap Dzetsa, Deputy Head of Ivano-Frankivska OSA*  ‘One of the most important aspects of CBA methodology is the mechanism of ‘bottom-up’ planning which allows community to choose priority problem on its own. By donating their personal money, community members demonstrate their intention to solve the problem’  *Ihor Zvarych, Deputy Head of Ivano-Frankivska OSA*  ‘Within second phase of CBA Project in Donetska oblast, a system of cooperation between local authorities and community organizations that were initiated during first phase of the Project, was worked out. Thanks to the Project on the territory of pilot communities. Tools of social mobilization were successfully implemented which allowed to create favorable environment for collective decision making and implementation of community initiatives’.  *Adriy Fedoruk, Head of Donetsk oblast council*  ‘Uniqueness of the Project is in terms of different trainings, consultations and teaching. Coordinators of the Project help us to pass all the steps necessary for micro-project implementation (starting from CO registration and ending with public audit and handover). And this is beyond financial support. This is very important for us’.  *Oleksandr Smirnov, Deputy Head of Committee on Regional Development, Planning , Budget, Finance Resources and Investment, Mykolaivska oblast council,* |

**2.2 Establishing Partnership with Rayon Authorities** was completed in 2011, reaching 100% of the target: 200 rayons were selected for regular piloting and partnership agreements were signed with all 200 pilot rayons. Details on establishing partnership with rayon authorities are given in Annex – I.

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| **Box – II: Opinions of Rayon Authorities on Cooperation with CBA Project**  "CBA Project has presented very interesting methodology of community mobilization. For citizens of Berenivskyi rayon this approach is completely new and very interesting.  *Mykhailuyk Oksana, Head of Department of Economic, Bereznivska Rayona Administration, Rivnenska oblas*t  After participation in first phase of CBA Project, Volyn oblast council started its own competition of small grants for communities for implementation of local initiatives. Of 32 competing communities, 20 had participated in CBA Project. After all the applications were evaluated, CBA’s impact became visible. 20 applications from former (or current) CBA communities described how they planned to solve prioritized problems in cooperation with authorities.  *Yuriy Lobach, First Deputy Head of Rayon Council, Volynska oblast*  ‘Thanks to examples of successful implementation of micro-projects in cooperation with CBA Project, quite a few communities in our rayon became interested in possibilities to get financial assistance from different programs on competition basis. As a result, 20 communities and initiative groups became winners of oblast micro project competition, 34 communities became winners of oblast competition on educational project, and 2 communities got grants from international organizations.  *Petro Herman, Advisor of Sambirska rayon council, Lvivska oblast* |

**2.3 Establishing Partnership with Village/City Councils**

In 2nd quarter, partnership was established with 4 village councils that became partners within energy efficiency component. In total, 852 village councils became CBA partners since inception (including 18 village councils from energy efficiency components).

In the reporting period, 4 seminars took place with participation of oblast, rayon and local authorities. Details are given in Table – I.

**Table – I: Participation in CBA Launching Seminar in VC/CC**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Year** | | **# of VC/CC Seminar** | **Participants** | | | | | | | |
| **Oblast Authorities** | **RSA/RC heads/deputy heads** | **Other officials of RSA/RC** | **VC/CC heads** | **NGO** | **Media** | **Others** | **Total** |
| **2011** | | 762 | 174 | 754 | 1818 | 836 | 8372 | 286 | 15129 | 27369 |
| **2012** | | 70 | 7 | 47 | 88 | 54 | 375 | 22 | 1846 | 2439 |
| **2013** | **Q1** | 16 | 3 | 5 | 14 | 6 | 37 | 5 | 28 | 98 |
| **Q2** | 4 | 2 | 5 | 10 | 4 | 23 | 3 | 30 | 77 |
| **Total** | | **852** | **186** | **810** | **1930** | **900** | **8807** | **316** | **17033** | **29983** |

During the seminar, details about effectiveness of CBA-I at local level were shared, often with the help of CBA film, leaflets and success cases. Process of CBA-II implementation, process of community selection and terms of partnership with VC/CC and beneficiary communities was elaborated. In average, 35 persons participated in a village seminar.

Usually, VC/CC heads signed Partnership Agreement in course of seminar, or at a later date. In the reporting period, 2 PAs were signed with VC/CC. Since inception, 852 PAs were signed (including 18 within energy efficiency component). Details are given in Annex – I.

About 90.6 percent of the partner local councils belonged to village council category followed by town council (8.3%) and city council (1.1%).

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| **Chart - I: Typology of Local Councils** |

**Chapter Three**

Establishing support structures is one of the important elements of the community based development approach methodology. Appropriate support structures are developed for participatory decision-making and monitoring at all levels of project implementation. Key support structures include community organisation (CO) at local level, local development forum (LDF) and community resource centre at rayon level, regional coordination council (RCC) and community resource centre at regional level, .

**3.1 Community Organisation Development**

Community organisation (CO) is the foundation block for community based approach. It enables community members to get their potentials unleashed through collective action. It also helps governance at local level to become more participatory.

CO development involves (a) selection of pilot communities, (b) assisting the community members to get organised into CO and (c) getting the CO formalised. Details on these steps are given hereunder:

1. ***Selection of pilot community:*** Following VC/CC level seminar, selection of community for local action was initiated in each village/city council. A community selection committee comprising of village/city council head, CBA staff and headed by rayon focal person selected the community to be supported in the VC/CC. The selection was based on key criteria including (a) level of problems with basic infrastructure and services with regard to CBA-II priorities facing the community; (b) willingness of the community to undertake joint decision-making and actions to solve their problems on collective and self-help basis; performance during CBA-I (for old COs only).

During the reporting period, 4 communities (within energy efficiency component) were selected. In total, 856 communities were selected for partnership since inception (including 18 within energy efficiency component).

**Table – II: Selection of Pilot Communities\***

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| 1 | Selected for partnership | 782 | 53 | 17 | 4 | 856 |
| *Old (CBA-I)* | *145* | *8* | *2* | *-* | *155* |
| *New* | *637* | *45* | *15* | *4* | *701* |
| 2 | Selected for reserve | 568 | 122 | - | - | 690 |

\* Including 18 communities of energy efficiency component. Regionwise details in Annex – I, Annex - XIII(A, B)

1. ***Formation of CO:*** Following the community selection, dialogues were held between the selected communities and the community mobilisation team. During the 1st dialogue, the community members were familiarised about CBA principles and methodology and were motivated to go for it since they will be able to improve their living condition by following the participatory approach recommended by the Project.

The communities which accepted the principles of collective action were encouraged to form their COs. Each CO elected an executive body (CO management team, COMT), consisting of five members (head, treasurer, secretary, active members). The existing COs (from CBA-I or otherwise) went through special assessment before getting grafted for CBA-II. An assessment of the CO was carried out in participation of the members to know its strengths and weaknesses in term of such factors of participation, leadership, governance, micro-project implementation, sustainability of CO and its activities, coordination and linkage with local authorities and other development agencies. In case the assessment score was below satisfactory level in one or more criteria, the CO was required to make necessary improvement to become eligible for CBA-II.

In total, since inception 844 COs were formed/grafted (including 6 COs for EE component) in participation of 462`651 persons from 300`720 households. In average, gender distribution of CO members is 43% of male and 57% of female. Also, 83% of the target households joined their COs, ensuring full compliance of CBA norm of 80% participation.

About 81% of the COs were new reflecting the fact that CBA-II succeeded in making a wider outreach over CBA-I coverage of communities.

**Table – III: Formation of Community Organizations**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| 1 | No. of COs formed/grafted | 702 | 134 | 8 | - | 844 |
| *CBA-I or existing ones* | *133* | *8* | *-* | *-* | *141 (16.7%)* |
| *Newly formed* | *569* | *126* | *8* | *-* | *703 (83.3%)* |
| 2 | Household participation |  |  |  |  |  |
|  | *Target households* | 210`785 | 145`794 | 3494 | 940 | 361013 |
|  | *Participated households* | 155`232 | 139`023 | 5525 | 940 | 300720 *(83.3%)* |
| 3 | Membership | 228`149 | 221`463 | 12099 | 940 | 462651 |
|  | *Male* | 43% | 43% | 43% | 43% | 43% |
|  | *Female* | 57% | 57% | 57% | 57% | 57% |

\* Regionwise details in Annex – II (A, B)

***c) Formalisation of CO:*** The formed COs enrolled with their respective village/city councils and went through legal registration with relevant agencies. Status of a legal entity is essential for the COs to carry out business in a formal mode and to open a bank account for financial transactions. This status also makes them eligible to receive grants from national/international agencies.

As of 2nd quarter 2013, 844 COs were registered in their respective VC/CC and got legal status. More COs (who became partner of the Project recently within energy efficiency component) are expected to be legalized in next quarter.

**Table – IV: Legal Forms of COs**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Number** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| ACMB | 0 | 0 | - | - | 0 |
| Public organization | 588 | 193 | 6 | - | 787 *(93.2%)* |
| BSP | 12 | 23 | 2 | - | 37 *(4.4%)* |
| Cooperatives | 4 | 0 | - | - | 4 *(0.5%)* |
| Other | 13 | 3 | - | - | 16 *(1.9%)* |
| **Total** | **617** | **219** | **8** | **-** | **844** |

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| Thanks to CBA Project territorial communities have opportunity to become active participators of ‘people’s education’, moving education forward in cooperation with local authorities and other communities. And this gives an opportunity to develop education further on.  *Mykola Sukhyi, Head of Department of Education, Rozdilnanska RSA, Odeska oblast* |

Most COs choose public organization as their legal form. Each legal form has its own specificity and is suitable in a particular context. For example, ACMB is appropriate for multi-storey buildings where one building resembles one community. It has financial autonomy to form income and expenditures and accept communal property on its balance. Public organisation is relatively open to mobilising resources from various donors but has limitation in accepting communal property on its balance. BSP functions relatively under control of the local authorities and a cooperative has several benefits of administrative and financial rights as it can make profit and distribute dividends among its members.

**3.2 Local Development Forum**

Local development forum (LDF) is created at rayon level and is expected to facilitate dialogue, coordination, planning and decision-making at local level between the oblast and rayon authorities and communities for promoting community driven local development. Usually LDF consists of RSA and RC heads, heads of village/city councils, chiefs of relevant departments in RSA/RC, representatives (focal person) of oblast authorities, and heads of COs formed within CBA.

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| https://fbcdn-sphotos-b-a.akamaihd.net/hphotos-ak-prn1/226618_482691768478346_149092173_n.jpg |
| LDF meeting of Sambyrskyi rayon held in Sadkovychi village, Lvivska oblast |

Target on LDF establishment was fulfilled in 2012 with establishment of 200 LDFs. In the 2nd quarter, 91 sittings of LDF were held to discuss local development issues such as mainstreaming of community plans, mobilising resources for implementation of community priorities, providing guidance and technical backstopping to the COs during community project implementation etc. Since inception of CBA-II, 1055 sittings of LDF were organized.

**Table –V: Formation of Local Development Forums**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| No. of partner rayons | 200 | - | - | - | 200 |
| LDF grafted from CBA-I | 96 | 4 | - | - | 100 |
| New LDF | 86 | 14 | - | - | 100 |
| No. of sittings held | 226 | 623 | 115 | 91 | 1055 |

\* Regionwise details in Annex – II (A), (B)

**3.3 Regional Coordination Council**

Regional coordination council (RCC) is created at region level with an aim to coordinate and facilitate financing of micro-projects. It serves to disseminate information about the Project among officials, monitor activity of the Project in the region and provide consultations on strategic issues of micro-projects’ implementation. RCC is chaired by deputy head of oblast state administration or deputy head of oblast council[[1]](#footnote-1) and consists of representatives of rayon administration/council heads, appropriate departments of OSA/OC, selected village/city council heads, CO, NGO and private sector.

During first phase of CBA, 25 RCC were established in all oblasts of Ukraine and in ARC. By first quarter of 2nd phase of CBA, all 25 RCCs were reconstituted. In second quarter 2013, 7 sittings of RCCs were held in 6 oblasts (Chernihivska, Dnipropetrovska, Ivano-Frankivska, Kharkiv, Khmelnytska, and Odeska). In total, 69 sittings of RCC were held since inception of CBA-II.

**Table – VI: Formation and Grafting of Regional Coordination Councils**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| RCC grafted | 11 | 13 | 1 | - | 25 |
| Sittings held | 11 | 40 | 11 | 7 | 69 |

Most of the sittings held during the reporting period were focused on the following issues:

* Involvement of OSA heads of departments into preparation of micro-project proposals and acceleration of the procedure of their approval;
* Review of the progress of micro-projects’ implementation;
* Organization of CBA methodology replication;
* Participation in the energy efficiency and rural economic development components.

**3.4 Community Resource Centres**

To facilitate the functioning of LDF and RCC, a community resource centre is established by the partner rayon authorities and regional authorities. For this purpose, they provide premises and depute a focal person. CBA provides logistic facilities as necessary and trains the focal persons. Resource centres are also important in that they support non-CBA communities in carrying out local development activities in line with CBA methodology – something sought valuable from the perspective of methodology replication.

1. **Rayon resource centre:**By 2012, all 200 rayons had their community resource centers established. Often the resource centres were managed by an official deputed by the rayon leaderships. The resource centres were also provided with premises, equipment and logistic required to run the centre effectively. The deputed officials were qualified specialists or senior officials (e.g. department head) of the rayon authorities. In some cases, more than one officials (as high as 4) were deputed to carry out the tasks of the centres as these centres went beyond CBA Project in serving communities and attracting resources. CBA provided training to the deputed specialists. It also provided equipment and logistics to about 36% of the centres on competition bases.

**b) Regional resource centre:**  23 regional authorities established community resource centre (regional implementation unit) during CBA-I (except in Kyiv and Crimea, where the centre is located in CBA and UNDP Crimea sub-office respectively). For CBA-II purpose, all the resource centres were resumed with addition of logistics and facilities by 2012. Some regions decided to move the Centre from outside to inside of administration/council building or related departments. Some regions added number of rooms or allocated bigger space for the Centre while some regions continued with the same premises that existed during CBA-I. In majority of the cases, CBA compensates cost on utilities.

**Table – VII. Creation of Resource Centres**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Level of Resource Centre** | **Target** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| 1 | Regional Community Resource Centre | 23 | 23 | 2 | - | - | 23 |
| 2 | Rayon Community Resource Centre | 200 | 170 | 30 | - | - | 200 |

\* Regionwise details in Annex – I I (A), (B)

**Chapter Four**

Capacity building involves such activities as training, exposure visits and other techniques utilized for skill enhancement/knowledge transfer. The purpose is to ensure effective implementation of CBA-II, to enable local communities, local authorities and other partners to adopt and practice the approach promoted by the Project. Following activities were carried out during the reporting period in context of capacity building:

**4.1 Trainings for COs’ and Representatives of Rayon/Regional Authorities**

In the reporting period, 83 trainings for COs executives and local authority representatives were conducted. From the trainings 393 CO executives and 131 local authority representatives were trained on CO management, accounting, MP preparation and implementation, PAS and public audit etc. In total, since inception, 1465 trainings were conducted for 7659 CO executives, and 2471 representatives of local authority.

**Table – VIII: Trainings Organised**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Participants** | **No. of training held** | | | | | **Persons trained** | | | | |
| **2011** | **2012** | **2013** | | **Total** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** | **Q1** | **Q2** |  |
| 1 | CO executives | 637 | 639 | 90 | 83 | 1449 | 3795 | 3376 | 95 | 393 | 7659 |
| 2 | Officials of rayon/regional authorities | 1177 | 710 | 158 | 131 | 2176 |
| 3 | CBA-II Project personnel | 1 | 1 | - | - | 2 | 70 | 70 | - | - | 70 |
| 4 | Regional/rayon focal persons  (for replication component) | 10 | 4 | - | - | 14 | 220 | 75 | - | - | 295 |
|  | **Total** | **648** | **644** | **90** | **83** | **1465** | **5262** | **4231** | **253** | **524** | **10200** |

\* Regionwise details in Annex – IV (A)(B)

|  |
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| **Box – III: Opinions of CO Training Participants**  ‘I was very pleased to take part in the training. I would like to propose our rayon authority to conduct this kind of training on leadership and planning for all village heads. Pilot CBA communities are happier than others in the terms of possibilities. It would be nice at least to invite other village heads for these trainings in order to disseminate mechanism of common work with communities’.  *Larysa Dubinchuk, Head of Novodvirska village, Volynska oblast* |

**Chapter Five**

To support improvement of living conditions in rural areas, strengthening participatory governance and for enhancing energy efficiency, CBA supports implementation of local priorities termed as community ‘micro-projects’ through small grants based on equity, do-ability, sustainability and cost sharing criteria. Following key sub-activities took place in this regard:

**5.1 Community planning and mainstreaming of plans**

Upon creation of community organization, community members prioritize problems of their village they want to solve. Through second dialogue, CO-members are familiarized with the need and process of participatory planning and bottom-up planning process. The CO-members identify their development needs, set priority and prepare community development plan (CDP). CDP is in form of conceptual proposal. Upon its approval from village/city councils, it is submitted to the LDF for review and approval. CDPs from various communities are debated at the LDF meeting and most appropriate plans are approved along with recommendations for rayon budget allocation.

In the second quarter, 1 community organizations prioritized its problems and shaped them as CDP. In total, 836 communities prepared their CDP since inception. Energy sector occupied highest place in the priority of the COs with about 76.3% of the COs having prioritized energy saving in the first place of priority involving such needs as windows and doors replacement, replacement or repair of heating systems, street lighting, etc. It was followed by health protection involving repair of healthposts, purchase of medical equipment etc. (12.4% COs preferred it). Water supply (repair or renovation of water supply systems) was chosen as priority by 10.5% of COs while environmental protection was chosen by 0.8% of COs.

Priority of identified plans were set by the CO-members based on such criteria as *intensity* of need (80% or more households felt it as the first priority), *do-ability* (the micro-project is simple enough to be implemented within capacity of the CO-members), *resource availability* (willingness of donors, community members and local authorities to support the priority), *sustainability* (the beneficiary community should be able to sustain the object/services created under support of the Project.

In general, energy saving is the most popular direction among all over Ukraine. However, in some oblasts, other types of needs are more pressing due to special geographical and economic conditions of the oblast. For example, communities of Sumska, Zaporizka, and Mykolaivska oblasts quite often choose water supply project. Problems related to health protection were more common in Cherkaska (12), Ivano-Frankivska (10) and Volynska (12) oblasts.

**Table – IX: Community Development Plan**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Details** | **Unit** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| 1 | CDPs prepared/grafted | No. | 516 | 319 | - | 1 | 836 |
| 2 | Sectoral distribution of top priority |  |  |  |  |  |  |
| *Energy saving* | % | *76.1* | *80.7* | *-* | *1* | *76.3* |
| *Water supply* | % | *13.4* | *0.6* | *-* | *-* | *10.5* |
| *Health* | % | *9.1* | *18.7* | *-* | *-* | *12.4* |
| *Environment* | % | *1.4* | *0.0* | *-* | *-* | *0.8* |
| 3 | CDPs approved at VC/CC | No. | 484 | 343 | - | 2 | 829 |
| 4 | CDPs approved at LDF | No. | 321 | 489 | 2 | 1 | 813 |

\* Regionwise details in Annex – V

During the quarter, two CDPs prepared by COs were discussed at VC/CC level and one got approved at LDF. In total since inception, 829 CDPs were discussed at VC/CC level with 813 of them got mainstreamed upon discussion and approval at LDF level.

**5.2 Approval of Micro-Project Proposals**

In the second quarter, 13 COs developed micro-project proposals and submitted them to CBA. A typical proposal includes a set of documents such as technical documentation, cost estimate with proposed cost sharing, commitment letter from stakeholders, protocols of decisions made at various point of time, statute of the CO, bank account evidence, micro-project proposal, result of participatory assessment and/or maturity index, sustainability mechanism etc.

Central office of CBA appraises the proposals and approves them if satisfactory. In the reporting period, 19 micro-project proposals were approved, making it 693 MPPs approved since inception of CBA-II. Total cost of the approved micro-projects exceeds 122.6 mln UAH, shared among COs, CBA, local/regional authorities and private sponsors as follows (Chart - II).

|  |
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| **Chart – II: Micro-project Cost-sharing** |

Sectoral distribution of the approved micro-projects (in 2nd quarter 2013) is as follows:

* Energy saving 73.1%
* Health 13.2%
* Water supply 13.0%
* Environment 0.7%

The approved project is expected to benefit more than 788,469 persons (directly & indirectly). In terms of institutional beneficiaries, distribution of approved micro-projects is as follows:

* Schools/kindergartens 66.9%
* Healthpost 19.6%
* Local communities 13.5%

**5.3 Implementation of Micro-project**

All micro-projects approved in the second quarter 2012 were put in process of funding/implementation. It included signing of a grant agreement between CO and UNDP and release of the first tranche into the account of the beneficiary CO. Signing of grant agreement by responsible CO-official, often took place in an environment of transparency (e.g. during the general meeting). It develops a strong sense of ownership and accountability among the CO-members regarding the resource utilization and timely completion of the work. In total, 693 micro-projects were approved/supported by June 2013.

**Table – X: Implementation of Micro-Projects**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Activity** | **2011** | **2012** | **2013** | | **Total** | **Remarks** |
| **Q1** | **Q2** |
| 1 | MP Proposal Submitted by COs | 117 | 669 | 7 | 13 | 806 |  |
| 2 | MPPs Approved | 112 | 667 | 8 | 19 | 693\* | 117 MPs moved to energy efficiency component |
| 3 | MPs completed (works done) | - | 445 | 82 | 111 | 539\* | 99 MPs moved to EE component |
| 4 | Public audit conducted | - | 346 | 129 | 85 | 468\* | 94 MPs moved to EE component |
| 5 | O&M funds established | 72 | 670 | 41 | 32 | 617\* | 54 MPs moved to EE component |
| 6 | Project handed over | - | 291 | 32 | 125 | 448 |  |

\*\* Regionwise details are given in Annex – VI (A),(B), (C)

Following the release of the first tranche, the COs starts the process of necessary preparation for implementation. They form a functional group and a tender committee, and announce a tender for selection of contractor. The best bid is chosen by the CO and contract is signed for implementation of the micro-project. As the implementation proceeds, quality supervision committee monitors the works from time to time. Based on the work completion, reporting and verification, total grant amount is released in three tranches.

|  |  |
| --- | --- |
| https://fbcdn-sphotos-d-a.akamaihd.net/hphotos-ak-frc3/971773_485740248173498_1669722387_n.jpg | https://fbcdn-sphotos-e-a.akamaihd.net/hphotos-ak-ash4/1044199_567870516597127_428036956_n.jpg |
| Tender procedure in Drohobytskyi rayon, Lvivska oblast | Tender procedure in Trostjanetskyi rayon, Sumska oblast |

|  |
| --- |
| **Box – IV: Opinions on Micro Project Implementation**  ‘Before community realized micro-project, school spent 12-13 thousands of cubic meters of gas (depending on weather). Now school uses 8 to 9 thousands, therefore, economy is significant. We counted that as of beginning of February 2013 saving of school budget was around 35`000 UAH. We are very glad to know this. This is a very good result’.  *Roman Serba, Head of CO ‘Obrij’, Manachynska village, Khmelnytska oblast*  ‘Even though financial part of micro project paced not as quickly as we would like and expected, we have to realize that the most important for communities is to change people’s attitude to their own life. From our side we guarantee that our part of cost sharing will be paid out. At the same time we expect that community is able to implement micro project in time.  *Oleksandr Lypchenko, Deputy Head of Trostianetska rayon council, Sumska oblast* |

**5.4 Post-completion Activities**

Issue of sustainability of the object created/repaired through micro-projects was ensured at the outset by getting the COs and relevant local authorities reach a mutual agreement to jointly carry out operation and maintenance of the object. Of the total 693 micro-project approved until end June 2013, all had sustainability arrangement built-in.

By June 2013, implementation of 539 micro-projects got completed. 468 of the completed projects underwent public auditing and 448 of them were handed over to the object owner (local councils or rayon authorities) (Table – X).

**5.5 Experience**

Budget constraint facing the partner authorities posed the challenge of micro-project implementation same as before. In some cases, the contractors used their capital and completed the project on time with an anticipation of reimbursement once the fund becomes available with the authorities. In other case, the contractors did not/could not advance services from their side until they received money. In such case, delay occurred in completion of the projects.

**Chapter Six**

*Concrete of methodology replication was launched in regions during 2012. In the reporting period, a series of activities were undertaken namely in the area of capacity building, planning and micro-project implementation. Majority of the targets related with replication are achieved.*

CBA-II envisions that the community based development methodology will be gradually internalised into the national framework of local development. From this perspective, there is a provision for capacity building support to competent partners at regional/local level. According to the project document, the action period for this component is from the 14th to the 42nd month of the project. Target for replication component is 60 rayons, 240 village councils and 240 communities and 240 micro-projects. Details about the replication component is given at the site: <http://cba.org.ua/en/activities/methodology-revival>

Under the component of methodology replication, it is expected that oblast and rayon focal persons will be intensively involved in model implementation, with technical support and backstopping from CBA team. Grant for community projects is based on special cost sharing - local/rayon/regional authority – 70%, CBA Project– 25% (up to USD 2600 equivalent in UAH) and community organisation–5%.

Methodology replication component was launched in 20 selected oblasts during first half of 2012. By June 2013, partnership was established with 63 rayons, 260 village councils and 249 communities following the standard practice of ‘competition based partnership’.

Focus of the component in the reporting period was devoted to initiation of micro-project implementation, which will continue through 2013 and beyond. Key activities and consequent results achieved during reporting period are described below:

**6.1 Establishment of Partnership**

During the reporting period, partnership was established with 2 rayon authorities and 3 village councils (in Poltavska and Vinnytska oblasts). During the same period, 2 VCs terminated partnership. This change took place due to the fact that one of the existing partner rayons could support only one (out of 4) micro-project. It was agreed to distribute remaining three quotas to other rayons. Consequently, two new rayons were selected from reserve list. As per CBA’s norm, rayon seminar was held in new rayon and competition was announced for participation of village/city councils. As a result of competition, 3 VC/CC were selected for partnership. In total, partnership was established with 63 rayons and 251 VCs since inception (Table - XI). With this, target of partnership with rayons and village councils was achieved in full.

**6.2 Developing Support Structures**

During the reporting period, 2 new communities were selected (in Poltavska oblast) for local action while partnership with one community was terminated in Donetska oblast. Also, 20 communities were mobilised to form COs (in 3 oblasts) while one CO terminated partnership (in Donetska oblast) during the same period making it total 249 communities. Of them, 216 communities formed COs, thus reaching 90% of the target.

**Table – XI: Support Structure Development Under Methodology Replication**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target All Years** | | **Achievements** | | | **Total Achievement** |
| **2012** | **2013** | |
| **Unit** | **Qty** | **Q-1** | **Q-2** |
| 1 | Rayons selected and partnership established | No. | 60 | 61 | - | 2 | 63 |
| 2 | Partnership with Village/City Councils | No. | 240 | 243 | 5 | 3 | 251 |
| 3 | Community selection | No. | 240 | 239 | 9 | 1 | 249 |
| 4 | COs formed | No. | 240 | 179 | 18 | 19 | 216 |
| Household participation/membership | No. | - |  |  |  |  |
| Target households | No. | - | 52949 | 9291 | 6543 | 68783 |
| Participated households | No. | - | 39026 | 8151 | 6366 | 56919 |
| CO members (total) | No. | - | 52401 | 16234 | 5662 | 74297 |
| CO members male (total) | No. | - | 43% | 43% | 43% | 43% |
| CO members female (total) | No. | - | 57% | 57% | 57% | 57% |
| 5 | Local Development Forums (formed/grafted) | No. | 60 | 47 | 6 | 2 | 55 |
| LDF sittings held | No. | - | 111 | 55 | 45 | 211 |
| 6 | Community Resource Centres formed | No. | 60 | 52 | 4 | 0 | 56 |

\* Regionwise details in Annex – VIII (A), (B)

During the reporting period 2 LDFs were formed (one each in Khmelnytska and in Odeska oblast) making it total 55 since inception. During the quarter 45 sittings of the LDFs took place (total 211 since inception) to discuss and decide upon various issues. Also, 2 community resource centres were established by partner rayons with two centres getting closed during the quarter, leaving 56 resource centres in function.

**6.3 Capacity Building**

45 trainings were organised during the quarter to build the capacity of stakeholders in the replication pilot areas. In particular 187 community members and 59 representatives of local authorities were provided with training in such areas as planning, micro-project implementation, participatory assessment, public auditing, MP-handover and sustainability. In total since inception, 1361 community members and 468 representatives of local authorities were trained through 308 training events.

**Table – XII: Capacity building under methodology replication**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Target All Years** | | **Achievements** | | | **Total Achievement** |
| **2012** | **2013** | |
| **Unit** | **Qty** | **Qr-1** | **Qr-2** |
| Training conducted | No. | - | 195 | 68 | 45 | 308 |
| Persons trained (without repetition) | No. | - | 1257 | 326 | 246 | 1829 |
| CO-members | No. | - | 930 | 244 | 187 | 1361 |
| Local authorities | No. | - | 327 | 82 | 59 | 468 |

\* Regionwise details in Annex – IX (A), (B)

**6.4 Community Projects**

Micro-project support to a CO entails a series of steps namely preparation of community development plan; mainstreaming of community plan through approval at LDFs; technical document preparation; micro-project proposal; appraisal and approval of micro-project proposal by CBA; signing of grant agreement, implementation of micro-projects; quality supervision; commissioning and handover.

During the reporting period, 47 COs prepared CDPs. During the same period, 39 CDPs were approved by their respective VCs/CCs and 57 CDPS were approved at LDFs. Since inception, 242 CDPs were prepared by COs, 227 of them were approved by respective VCs/CCs and 211 CDPs were mainstreamed at LDFs for funding.

**Table – XIII: Micro-project support under methodology replication**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target All Years** | | **Achievements** | | | **Total Achievement** |
| **2012** | **2013** | |
| **Unit** | **Qty** | **Qr-1** | **Qr-2** |
| 1 | Community development planning |  |  |  |  |  |  |
| COs with CDP prepared | No. |  | 139 | 56 | 47 | 242 |
| CDP approved and mainstreamed | No. |  | 130 | 24 | 57 | 211 |
| 2 | Micro-Project supported | No. |  | 67 | 15 | 59 | 141 |
| Sectoral distribution |  |  |  |  |  |  |
| *Energy efficiency* | % |  | 74.8 | 79.2 | 83.1 | 78.7 |
| *Water supply* | % |  | 9.9 | 10.4 | 10.2 | 7.8 |
| *Health posts* | % |  | 13.0 | 9.9 | 6.7 | 12.1 |
| *Environment* | % |  | 2.3 | 0.5 | 0 | 1.4 |
| Total cost of approved MPPs | UAH mln |  | 8.7 | 0.8 | 7.1 | 16.6 |
| *Shared by COs* | % |  | 6.0 | 7.1 | 7.1 | 7.3 |
| *Shared by local,rayon,regional authorities* | % |  | 67.2 | 74.4 | 74.4 | 74.4 |
| *Shared by CBA* | % |  | 20.8 | 16.7 | 16.7 | 16.8 |
| *Shared by others (private sponsors)* | % |  | 6.0 | 1.8 | 1.8 | 1.5 |
| Beneficiary characteristics of approved MPs |  |  |  |  |  |  |
| *Beneficiary population* | No. |  | 77420 | 14135 | 66830 | 158385 |
| *Institutional beneficiary* | No. |  | 67 | 15 | 59 | 141 |
| *School/kindergarten (cum.)* | No. |  | 43 | 13 | 41 | 97 |
| *Healthpost (cum.)* | No. |  | 11 | 2 | 14 | 27 |
| *Local communities (cum.)* | No. |  | 13 | 0 | 4 | 17 |

\* Regionwise details in Annex – X (A), (B)

During the reporting period 59 micro-projects were supported by CBA (141 MPs since inception). With this 58% of the target was achieved. Total cost of 141 micro-projects was UAH 16.6 million shared by COs (7.3%), local budget (74.4%: VC/CC- 18.5%, rayon – 44.6% and oblast 11.3%), CBA (16.8%) and private sponsor (1.5%).

About 78.7% of the micro-projects were dedicated to energy saving followed by health protection (12.1%), by water supply (7.8%) and environment (1.4%). Hospitals were the main recipient of micro-project support (as 68.8% of the micro-projects were used for hospitals) followed by schools/kindergartens and communities in general. About 158,385 population is expected to benefit from these micro-projects directly or indirectly.

Among the supported micro-projects, 13 were completed during the quarter with public audit conducted for 11. Micro-projects and 9 objects handed over to the relevant authorities. In total since inception, 32 MPs were completed, 25 public audit conducted and 25 objects handed over to the authorities.

Besides above mentioned 141 micro-projects, 15 replication COs received micro-projects for energy efficiency purpose details of which are given in Chapter – 8.

**6.6 Experience**

***Problems and solutions***

Effectiveness of methodology replication fully depends upon initiatives and financial capacity of the partner rayons and oblast authorities. Since, replication component requires more active role and larger share of cost, not all rayons, which showed keen interest at the outset could sustain their enthusiasm at the later stage.

* Lack of budget with the rayon authorities was identified as the biggest challenge. In a few cases related village council and oblast authorities were able to supplement a part of the cost from their budget. In some other cases, this support was not available and thus caused delay in initiation and implementation of micro-project. A few rayons terminated partnership due to lack of budget. The Project had to find substitution. CBA Project, on its part released its share in an accelerated pace so as to enable completion of the micro-project, wherever the contractors agreed to complete the task with an understanding that local authorities would disburse their part of the cost at a later date upon availability of the budget.
* New Ukrainian legislation caused centralization of technical document approval process and thus the procedure of MPP preparation took longer than expected. In a few cases, rayon/regional authorities were able to influence the speed of approval. In general it was not possible. So far no immediate solution to this problem is foreseen.

***Opportunity***

Majority of regional and local partners seem to have recognised value of methodology replication as is clear from their strong support to replication activities of CBA. It is also found that several of these authorities have adopted CBA methodology while executing their ‘small grant’ programme.

|  |
| --- |
| **Box – V: Opinions About Methodology Replication**  Participation of Kominternivskyi rayon in replication component of CBA Project allows village dweller not only replicate experience and methodology of the Project, but also systematically work on raising activity regarding solving important problems of village life and development. Only in case of community unity, its cooperation with authorities we can reach sustainable development in our rayon.  *A.Shykhalieva, Head of Department of Economic Development and Investment, Komintarnivska RSA,*  ‘During first community meeting, village citizens becomes so confident in their own power and capability, that started to work on solving selected problem even before micro-project proposal was prepared and approved. So confident we were.  *Vasyl Shavuk, Head of CO ‘Viktoria’, Volynska oblast*  ‘We founded community organization in 2011 as soon as we got know about CBA Project and its impressive results. We hoped to be selected for participation in regular component of the second phase of the Project, but our rayon got only replication quota. At first we were disappointed that CBA Project will provide us only small support (CBA part in cost sharing is 2600 US dollars). However, later on we understood that thanks to participation of the Project even in this small part, rayon and oblast authority will provide us their support as well. And at the end we understood that even in this case we will succeed in replacement of windows in village secondary school’.  *Ihor Vlasov, member of CO ‘Perlyna Bugu’, Lupolove village, Kirovohradka oblast* |

**Chapter Seven**

*Formation of 15 agricultural service cooperatives was initiated in 2012. A total of 15 cooperatives were formed until June 2013. Change in cooperative law and lack of understanding among the target population and authorities were key factors affecting the target achievement.*

Under the rural economic development (RED) component CBA-II envisions supporting small-scale economic initiatives of rural communities. The main focus is on promoting collective actions that could lead to generating income and creating employment opportunities in rural areas. The project is expected to assist low-income households to become organized and form cooperatives to bring added value to local products through efficient production, packaging and shared marketing.

The envisioned duration of the RED component of CBA-II was from the 8th to the 30th month of the project. It was launched in mid-March 2012. This year was devoted to laying foundation for cooperative development. It included model development, operational manual preparation, selection of 8 pilot oblasts, training of CBA staffs and focal persons of pilot regions, and selection of 14 Pilot communities. Key steps of RED implementation are given below.

Formation of initiative group (IG)

Regional launching of RED

Sensitization of community

Training of IG

Community Selection

Capacity building

(Trg.,TIC, link with LAs)

Statute development

Registration of ASCs

Identification of target HHs and economic activity

HH survey by IG

Business planning

& mainstreaming

MP proposal development

MP appraisal and approval

O & M

Service delivery

Resource mobilisation

Implementation

**Chart - III: Steps of CBA Type Cooperative Development**

Details activities of RED component are given hereunder.

* 1. **Selection of pilot communities**

During the quarter, one community was selected for partnership in Kirovogradska oblast, as a replacement of one community which declined to register cooperative due to sudden conflict in the community. Since inception, 15 communities were selected in 8 oblasts for cooperative development (Table - XIV). Selection of a community is based on such criteria as (a) level of unemployment and poverty, (b) level of CO-members/community members willing to join cooperative and undertake economic activities, (c) past performance while working with CBA (only in case of CBA/CO), and (d) commitment of rayon authority to support cooperative activities.

* 1. **Cooperative development**

During the quarter, 11 cooperatives were developed through standard CBA process (Chart - III) making it total 15 since inception. These cooperatives were registered during the reporting period in form of non-profit multi-functional agricultural service cooperatives making it total 14 since inception.

A total of 1095 (low income) target households joined the cooperatives (average 73 households) since inception. They represented 54 % of target households. As CBA methodology aims to support not less than 80% of target low income population, it requires more intensive social mobilization and awareness raising of community members. In some communities in Sumska, Dnipropetrovska, Donetska, Cherkaska and Kirovogradska oblasts, community members decided not to continue with cooperative creation therefore other communities from reserve list were selected for the implementation of RED component. It is expected that more households will join the cooperatives once concrete results and benefit become visible.

**Table – XIV: Cooperative development**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Unit** | **Target**  All Years | **Achievement** | | | |
| **2012** | **2013 Q1** | **2013Q2** | **Total** |
| Community selected | Number | 17 | - | 5 | 11 | 16 |
| *CBA community* | Number |  | - | 1 | 11 | 12 |
| *Non-CBA community* | Number |  | - | 4 | - | 4 |
| Cooperative development | Number | 17 | - | 4 | 11 | 15 |
| *Target households* | Number | - | - | 619 | 1392 | 2011 |
| *Participated households* | Number | - | - | 320 | 775 | 1095 |
| Cooperative registered | Number | - | - | 3 | 11 | 14 |
| Priority area of the cooperative |  |  | - |  |  |  |
| *Dairy (milk collection, chilling, supply)* | Number | - | - | 1 | 1 | 2 |
| *Vegetable farming (equipment, storage)* | Number | - | - | 2 | 1 | 3 |
| *Fruit cultivation (equipment, storage)* | Number | - | - | 1 | - | 1 |
| Training organised | Number | - | - | 16 | 32 | 48 |
| Coop-members trained | Number | - | - | 98 | 322 | 420 |
| Local authorities trained | Number | - | - | 19 | 90 | 109 |
| Technical information centre supported | Number | - | - | 1 | 1 | 2 |

\* Regionwise details in Annex – XII (A), (B)

**Map – II: Cooperative Pilot Region**



**Table – XV: RED pilot areas**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **№** | **Oblast** | **Type of Pilot** | **Rayon** | **Village** | **Name of Coop.** |
| 1 | Donetska | Normal | Artemivskiy | Berestove | Vidridgennia |
| Kostiantynivskyi | Olexandro-Kalinove | Eneida |
| 2 | Kirovogradska | Normal | Olexandrivskiy | Pidlisne | Mykhayilivka |
| Dolinskiy | Gurievka | Gurivka maibutnogo |
| 3 | Mykolaivska | Normal | Snigurivskiy | Barativka | Ellada |
| Bashtanskiy | Novopavlivka | Impuls |
| 4 | Sumska | Normal | Burinskiy | Chernecha Sloboda | Chernechoslobidske |
| Shostkinsky | Vovna | Vovniansky |
| 5 | Ternopilska | Normal | Pidvolochiskiy | Koshliaky | Zelena Krinitsa |
| Kremeneckiy | Losiatin | Dobrobut |
| 6 | Cherkaska | Special | Zgashkivskiy | Kryvchunka | Feniks |
| Zvenigorodskiy | Majdanetska | Prolisok |
| 7 | Chernivetska | Special | Storogenetskiy | Ropcha | Cerenkutsa |
| Kitsmanskiy | Orshivtsi | Orhidea |
| 8 | Dnipropetrovska | Special | Petrykivskyi | Petrikivka | Petrivska |

* 1. **Capacity Development**

|  |
| --- |
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| Training of initiative group in Mykolaivska oblast |

***a) Training of coop-executives and local authorities:*** During the quarter, 32 trainings were organised to build capacity of cooperative management team and officials of partner authorities. Areas of training were conducting research among households that potentially might become members of cooperative, identification of the main activity of the cooperative, business- plan development, micro-project development. Services of local expertise on the subject were sought for this purpose, including support from local authorities (e.g. treasury, tax, registration offices) and universities. Since inception, 322 coop-executives and 90 officials of local authorities were trained in various subjects related with RED. In total since inception, 48 trainings were held in participation of 420 coop-members and 109 local authorities (Table – XIV).

***b) Strengthening capacity of technical information centre (TIC):*** All cooperatives developed under support of CBA are expected to establish a technical resource centre so as to secure and disseminate technology/information beneficial to economic wellbeing of the members. CBA supports such TICs in term of equipment/logistics and facilitates linkage with technology/information providers.

As of the quarter, 2 TICs were supported with equipment, furniture and communication materials worth UAH 8,000 in average.

|  |  |
| --- | --- |
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| Brainstorming with cooperative members, Mykolaivska oblast | Head of Bashtanshky RSA with coop-executives |

1. ***Seeking legal opinion:*** A legal expert was hired during the quarter to look into following key issues related with ASCs and activities they could carry out in light of new legislation:

* To define taxation provision for the key economic activities undertaken/planned by CBA/cooperatives;
* To identify set of documents required for obtaining license/permission and insurance to run economic activities by CBA/cooperatives;
* To find out most plausible and alternative co-financing mechanism to enable local budget transfer to CBA/cooperatives;
* To explore status of ownership over the assets created by ASCs on their own and/or with grant support from donors and/or local budget

Result of the study is expected in July 2013.

* 1. **Micro-project**

A series of activities are involved in implementation of micro-projects as described below:

1. ***Economic development plan (EDP):*** At the outset, the cooperatives identified their economic needs and ranked them in order of priority. Key criteria of priority were feasibility and proportion of target households that would derive benefit. In the quarter, 3 cooperatives prepared EDP (total 3 EDPs prepared since inception). Priority direction of the EDPs were reflected as follows:
2. ***EDP mainstreaming:*** EDPs prepared by cooperatives were discussed and agreed at respective VC/CC and LDF, especially in the case the local authorities were willing to make a cost sharing in the proposed micro-project. During the quarter, 3 EDPs were mainstreamed, making it total 3 Since inception.
3. ***MPP preparation:*** ASCs utilize services of local expertise, support of local stakeholders and CBA to prepare business plan pertaining to their first priority. Based on the business plan, they prepare micro-project proposal and submit to regional office of CBA project along with necessary documents required by CBA. As of the quarter, 3 ASCs submitted MPPs to CBA for approval.

**Table – XVI: Micro-project Implementation**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Unit** | **Target**  All Years | **Achievement** | | | |
| **2012** | **2013 Q1** | **2013Q2** | **Total** |
| Economic development plan prepared | No. | - | - | 3 | 3 | 6 |
| EDP mainstreamed at LDF | No. | - | - | - | 3 | 3 |
| MP proposal submitted to CBA | No. | - | - | 1 | 2 | 3 |
| MP proposal appraised and approved | No. | 17 | - | - | 2 | 2 |
| *Purchase of equipment* | No. | - | - | - | 1 | 1 |
| *Others* | No. | - | - | - | 1 | 1 |
| Total cost of micro-projects | UAH ‘000 | - | - | - | 366.1 | 366.1 |
| *Shared by cooperative* | % | 30 | - | - | 33.0 | 33.0 |
| *Shared by CBA* | % | 70 | - | - | 55.2 | 55.2 |
| *Shared by private sponsors* | % | - | - | - | 0.0 | 0.0 |
| *Local budget contribution* | % | - | - | - | 11.8 | 11.8 |
| MP implementation completed | No. | - | - | - | - | - |

1. ***Micro-project approval:*** Upon due appraisal of the MPPs received from the regions, CBA/central office approved 2 MPPs during the quarter making it 2 total since inception. Total cost of these micro-projects was UAH 366`100 (average cost of UAH 183`050`). This cost was share by the cooperatives (33%), CBA (55.2%), and local authorities (11.8%) respectively.
2. ***Micro-project implementation:*** For the approved MPPs, grant agreement was signed with ASCs and tranches were released. On its part, ASCs mobilised local resources, called tender and selected vendor(s) as per financial procedures of UNDP.
3. ***Expected results:*** Business plans of the approved MPs reflect that the ASC-members will received direct benefit from the projects in terms of – (i) reduced cost of service received (i.e. savings), (ii) increase in production due to expansion of business, timely availability of inputs/services and better advice/technology, (iii) higher price of the products. Initial results are found to be promising.

**7.5 Experience**

***Problems and Solutions***

Study of ongoing/traditional cooperative activity in Ukraine shows that CBA methodology differ significantly in that (a) it targets low income households residing on the same territory, (b) 80% participation of target households, (c) proposes multi activities to capture economic potential of all members, (d) high degree of transparency in decision making, (e) financial commitment of members to ensure ownership. These elements, although appreciated, are not easily understood by community members as well as other stakeholders and lot of hesitation was observed during the dialogues the conditions proposed by CBA might cause exclusion of smart and non-poor families and the steps of cooperative formation proposed by CBA were considered as cumbersome and time taking. Training and sensitization efforts were to be repeated again and again to make the concept and methodology clear.

Low income households found it difficult to contribute share amount or find source of borrowing to run economic activities, despite their willingness to get involved. Since pro-poor banking sector is yet to develop in the country, many target households lost interest in joining the cooperative.

Serious challenge appeared in understanding of the newly enacted legislation on cooperative. Authorities in different regions/rayons interpreted concept of ‘profit’ v/s ‘non-profit’ ASC differently. As a result many communities failed to get their cooperatives registered under ‘non-profit format.

Enthusiasm among regional/rayon authorities and local councils was observed at the level more than expected and room was created for cost sharing from them. Role offered by the Project to the authorities for economic activities in the remote parts of the region was positively perceived by them . As a result, more output could be expected. However, new legislation makes it too difficult for the authorities to fund a cooperative.

It is expected that the cooperatives will submit micro-projects of size more than expected. In lack of cost-sharing from local budget and in absence of pro-poor credit mechanism, CBA may have to increase its share to enable low-income members to undertake economic activities. Therefore, original target of 17 cooperatives ought to be reduced to 15.

Pace of target participation in the cooperative development is lower than expected due to following factors:

* Risk avert behavior of low-income households make them reluctant in making financial commitment compared to ‘donation’ for public infrastructure rehabilitation;
* Low income households demonstrated dependency syndrome which is natural as traditionally they have depended upon non-poor households. As a result, they took more time to come forward;
* It was found that better-off households/businessmen in some communities succeeded in motivating the low income households to avoid joining cooperative;
* The process of cooperative formation and registration requires only a few persons to start with as founder members. Others join gradually once the cooperative gets into function and demonstrates scope for benefit;
* Often target households differ in economic portfolio due to difference in resource ownership, competence and interest. As a result, the target households come under pressure to accept the activity which offer benefit to majority of the households. The remaining households are offered second chance if the cooperative succeeds in getting resources. In such a situation, those households which miss to receive benefit in the first round choose to become passive.

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| **Box – VI: Opinion of cooperative members about establishment of agriculture service cooperative**  ‘Our people have both strength and desire, however, it is not possible to force them to unite and solve their problems together. Therefore, if they come to common opinion and decision by their own, we always will support them in their activity’.  *O. Volovych, Head of village council, Yavkynske village, Mykolaivska oblast*  ‘The most important result is unification of community of Yavkynske village, its activation and organization. The formula of our success is quite simple – cooperation of community and authority’  *O. Kornienko, activity of CO ‘Chas zmin’, Yavkynske village, Mykolaivska oblast* |

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| **Box - VII: ASC in Mykolaivska oblast: A Case of Success**  History of cooperative in s.Yavkyno - begins with the information villagers received about the new trend in the activity of CBA Project that involves economic development of villages.  Through participation in the design and creation of the CO "Time of Change", people achieved a lot together. They resolved 4 development questions out of 6-planned. Economic welfare of the villagers, however, remained a challenge. After seeing the strength of working together, the community members expressed a desire to participate in the project.  In the village there are many people who are engaged in agricultural activities. Motivated by CBA project officials and officials of local authorities, village activists conducted survey to explore inteerest of the villagers in creating a nonprofit cooperative to resolve local economic problems. 98 low-income households responded that they were ready to join the cooperative. Key areas of activities in which people were really interested were:   1. Provision of environmental services through disposal of territory garbage; 2. Services of tillage and mowing including farm ploughing for crop cultivation, lawn mowing transportation of equipment; 3. Provision of transportation services including transportation of water barrels and removal of debris   Charter was developed and in February 2013 residents finally approved the charter, elected governing bodies of the cooperative and registered the cooperative, "Yakynskyy snowdrop" as a non-profit agricultural service cooperative (ASC). Also, executive staffs were hired to implement the vision of the Charter. The staffs were trained by CBA Project in accounting and management of the ASC. An office was established at the premises provided by the local council. Also, a technical information centre (TIC) was establised in the premises provided the local school. ASC members worked for a week and made necessary repair to create comfortable environment for what ASC professionals can work, collect information in the area of interest of the ASC members and disseminate to the members. CBA project provided furtniture, computer and related equipments to facilitate the work of the TIC. It is expected that  in 2014 ASC "Yavkynskyy snowdrop" ASC will be premanently transferred to its own premises as agreed at the level of the village and district councils.  Currently, the ASC members are actively working on preparing business plan and implement economic activities that will fulfil their dream. |

**Chapter Eight**

*Implementation of the energy efficiency (EE) component in the reporting period was devoted to updating regional energy strategy, initiating implementation of micro-projects and developing energy awareness materials. By June 2013, 6 regional energy strategies were updated and 153/300 micro-projects were supported. The major part of the activities will take place during the second half of 2013 through 2014.*

Overall objective of the EE component of CBA-II is to enhance local capacity by enabling citizens and local authorities to learn about energy efficiency technologies and use them jointly to solve their energy problems in a sustainable way. This is to be achieved though (a) review and improvement of regional energy efficiency strategies in 6 oblasts; (b) support to 6 regional authorities for preparation of technical documentation; (c) support to 300 pilot micro-projects of energy efficiency based on introduction of micro-scale innovative technology and renewable energy sources; (d) awareness raising campaign.

During the reporting period, the following activities were carried out to achieve the intended results:

1. ***Launching of EE component at the regional level was completed***

During June 2013, launching seminars of EE component was organised in Zhytomyrska oblast (the last region in target). During the seminar, terms of partnership, action plan and competition among CBA rayons (in the oblast) was announced. Through competition, 3 rayons will be selected in 3rd quarter for implementation of EE component. Criteria of competition of pilot rayons are given in Box - VIII.

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| **Box – VIII : Criteria for Selection of Pilot Rayons for Implementation of EE Component**   * Potential of renewable energy sources in the rayon; * No. of rural communities lacking gas supply; * No. of rural communal infrastructures facing serious energy inefficiency; * Availability of special programme & budget to exploit renewable energy opportunities; * Level of technical capacity (in term of human resource) to work on renewable energy sector; * Level of pro-activeness (performance) in implementing CBA methodology |

1. ***Selection of pilot rayons:*** By reporting period, 82 rayons, from among CBA-II rayons, were selected jointly by CBA and regional authorities to participate in piloting of energy efficiency. Of them, 24 belonged to advanced pilot regions and 58 belonged to normal pilot regions.

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| **Map – III. Area of implementation of EE component** |

1. ***Launching of EE component at the rayon level:*** Introductory seminars were organized in the selected rayons in order to present the terms of participation in the EE component and announce the competition of micro-project ideas (as described in Box - IX) and selection of pilot communities in the rayon.

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| **Box - IX: Micro-project Idea for Finding Most Potential Project**  Under EE component, opportunity is given to all communities in the rayons selected for EE component. A community (CBA-II or otherwise) that has a sound idea of energy efficiency micro-project could become eligible for competition. The idea includes a clear estimate of the nature (energy saving, innovative technology, renewable energy) of the energy project the community would like to implement along the cost estimation and cost sharing vision. Among all applicants from the selected rayons, two MP-ideas per rayon are selected for support based on following criteria:   * Nature of MP Idea (energy production and/or saving) * Nature of Technology (innovative, renewable, mixed) * Energy Independence (contributes, consumes, both) * Level of Coverage (comprehensive, partial) * Level of Expected Benefit (pollution reduction, energy saved/added) * Proposed cost sharing arrangement * Past performance of CO * Potential of demonstration effect   In case, the selected community is not a CBA-II community, then the process is initiated to bring the community into CBA-II framework through implementation of all the steps required to this end, before extending concrete support towards implementation of micro-project idea.  Review of MP ideas revealed that the local authorities and local communities had not well understood the idea behind energy efficiency. Despite CBA’s presentations during roundtables/launching seminars and dialogues, they strongly carried the experience on energy saving and heavily reflected this component in the MP-idea making energy efficiency component just a token in the proposal. CBA staffs had to work with the communities and authorities to get the MP-ideas improved. It was learnt that need of energy saving component is so big in the communal buildings of the rural areas that villagers and authorities could hardly imagine anything innovative. CBA however, persisted with its vision and initiated an orientation training for all potential communities and relevant authorities in each region before they developed MP-idea. The initial result was encouraging. Several communities decided to change their idea altogether. This process caused delay in executing the component. Besides, 16 communities were new and did not have CBA-II CO. They required to go through the whole process of institutional development, maturity and participatory planning before undertaking the MP. This situation caused further delay. |

1. ***Community selection and CO-formation:*** Based on the selection of micro-project idea (MPI), 24 communities were selected (20 CBA-II and 4 new) for CBA partnership. Since inception 110 communities (92 CBA-II and 18 new) were selected. As mentioned in Box - IX, the new communities went through the process of mobilisation and CO formation, before they could qualify for EE support. CBA-II COs were grafted to match the requirement of EE component.

During the quarter, 25 COs were formed/grafted making it total 102 since inception. 44018 men and women from 34`397 households that took part in EE component through these COs (Annex – XIIA, XIIB).

**8.3 Capacity Building**

***Trainings:*** In order to develop necessary capacity of COs and local/regional authorities in piloting of EE, 60 trainings were organized on EE implementation during the quarter in participation of 388 CO-executives and 104 state/elected officials. In total since inception, 79 trainings were held for 488 CO-executives and 157 state/elected officials (Annex – XIIA, XIIB).

**8.4 Micro-project Support to Local Communities**

Under EE-component, micro-project support is provided to local communities carry three features:

* Complex type EE- micro-project that strictly observes norm of innovative energy efficiency/ renewable energy technology, energy auditing (before and after implementation of MP) and complex technical documentation that go through sophisticated approval process. CBA shares 70% of the cost up to $ 20,000 while local cost sharing is 30% including 5% from CO and 25% from local budget;

*MPI approval – Mainstreaming – Energy audit - TD preparation – TD approval (from investment expertise in region) – TD approval (from state agency on EE in Kyiv) – MPP preparation – MPP approval – MP implementation – Public audit & handover – Energy audit*

* Standard type EE- micro-project that observes normal form of energy efficiency technology and standard technical documentation, approval and funding mechanism. Thus, CBA shares 50% of the cost up to $ 10,000 while local cost sharing is 50% including 5% from CO and 45% from local budget;
* Replication type EE-micro-project that observes normal form of innovative energy efficiency technology and normal technical documentation and standard approval and reduced funding mechanism. Thus, CBA shares 25% of the cost up to $ 2,600 while local cost sharing is 75% including 5% from CO and 70% from local budget;

Followings are set of activities undertaken for EE micro-project implementation:

1. ***Community development plan:*** During the quarter 25 CDPs were prepared by COs, all of which were discussed and approved at LDF level. In total, 83 CDPs were prepared and 77 CDPs were mainstreamed since inception. CDPs were prepared upon approval of MPIs.
2. ***Implementation of complex type EE micro-projects***

During the quarter 8 micro-projects of complex nature were supported. They all involved innovative technology and solar power. 6 of them belonged to street lighting, one for school/kindergarten and one for hospital. Total cost of these projects was UAH 1.8 million which was shared by CO (5%), CBA (59.9%), local budget (34.7%) and private sponsors (0.4%).

1. ***Implementation of standard type EE micro-project***

During reporting period, 75 standard type micro-projects were approved and funded for implementation. In total since 2011, 130 micro-projects were supported (Table - XVII). Most used technology was modernization of heating system followed by street lighting. A total of UAH 25.3 million was foreseen for these micro-projects, which was shared by local budget (48.9%), CBA (44,9%), COs (5,5%) and private sponsors (0,7%).

1. ***Implementation of replication type EE micro-project***

As of reporting period, 15 replication type micro-projects were approved and funded for implementation (Table - XVII). Most used technology was street lighting followed by modernization of heating system. A total of UAH 1.8 mln was foreseen for these micro-projects, which was shared by local budget (75.2%), CBA (16.6%), COs (5.4%) and private sponsors (2.8%).

**Table – XVII: Energy efficiency micro-project implementation\***

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Unit** | **Complex type** | | | **Standard type** | | | **Replication type** | | | **Grand Total** |
| **Q-1** | **Q-2** | **Total** | **Q-1** | **Q-2** | **Total** | **Q-1** | **Q-2** | **Total** |
| Micro-projects approved | No. | 0 | 8 | 8 | 55 | 75 | 130 | 0 | 15 | 15 | 153 |
| Nature of EE micro-projects |  |  |  |  |  |  |  |  |  |  |  |
| *Innovative technology* | % |  |  |  | 54 | 74 | 128 |  | 15 | 15 | 153 |
| *Renewable energy* | % |  | 8 | 8 | 1 | 1 | 2 |  | - | - | 10 |
| *Both – innovative + renewable* | % |  |  |  | 0 | 0 | 0 |  | - | - | 0 |
| Total cost of approved MPs | UAH ml |  | 1.8 | 1.8 | 8.0 | 13.7 | 21.7 |  | 1.8 | 1.8 | 25.3 |
| *Shared by COs* | % |  | 5.0 | 5.0 | 5,6 | 5,4 | 5.5 |  | 5.4 | 5.4 | 5.5 |
| *Shared by local budget* | % |  | 34.7 | 34.7 | 47,6 | 49,6 | 48.9 |  | 75.2 | 75.2 | 49.8 |
| *Shared by CBA* | % |  | 59.9 | 59.9 | 45,8 | 44,4 | 44.9 |  | 16.6 | 16.6 | 43.9 |
| *Shared by private sponsors)* | % |  | 0.4 | 0.4 | 1.0 | 0,6 | 0.7 |  | 2.8 | 2.8 | 0.8 |
| Beneficiary characteristics |  |  |  |  |  |  |  |  |  |  |  |
| *Beneficiary population* | Number |  | 16500 | 16500 | 45758 | 93258 | 139016 |  | 10305 | 10305 | 165,821 |
| *Institutional beneficiary* | Number |  | 8 | 8 |  |  | 130 |  | 15 | 15 | 153 |
| School/kindergarten (cum.) | % |  | 1 | 1 | 10 | 27 | 37 |  | 3 | 3 | 41 |
| Health facility (cum.) | % |  | 1 | 1 | 1 | 8 | 9 |  | 0 | 0 | 10 |
| Local communities (cum.) | % |  | 6 | 6 | 44 | 40 | 84 |  | 12 | 12 | 102 |
| Micro-project completed | Number |  | 0 | 0 | 27 | 9 | 36 |  | 0 | 0 | 36 |

**\*** Regional details in Annex – XIII A, B

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| **Box - X: Village Council Shares Value of Energy Efficiency Project**  Village head, Victor S., of s.Rivne Novoukrainskiy area shares his experience:  “Rivnyanska district hospital is located in the village - exactly at a distance of - 20 km from Novoukrayinka (district center). This hospital serves the 12000 residents of seven village councils, including 2031 children. Hospital provides inpatient and outpatient care of these specialties - therapeutic, surgical, dental, clinical laboratory diagnostics, general practice family medicine. I, as the village head, has long wanted to make our district hospital had aesthetic appearance, and most importantly, that it provided qualitative medical services to patients in a cozy warm room. In winter the temperature in the hospital premises used to run between 10 and 18 degrees Celsius. Then what quality health care we talk about?  Fortunaterly, we successfully competed for the quota of energy efficiency project offered by CBA Project. We got a lot of information about alternative heating such as pyrolysis boilers and received training to prepare our own micro-project. We have begun to develop our project. Our developed technical documentation that is required to pass examination, that is taking time and money. But we all are striving for good health care and therefore we have decided not to retreat before difficulties.” |
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**8.5 Updating of Regional Energy Strategy in Advance Pilot Regions**

During the quarter, updating of regional energy strategy was carried out in 3 oblasts, namely Zakarpatska, Dnipropetrovska and Kharkivska. Since inception, 6 regional strategies were updated thus accomplishing the target in full. Following steps were undertaken in the realm of improvement\development of regional energy efficiency strategy:

* + Basic information collection and analysis by the consultant
  + Presentation of vision and methodology of updating regional energy strategy by the consultant in a round table organised in each oblast
  + Collection of additional data and information as necessary with the help of oblast working group on energy strategy
  + Field visit, by the consultant and oblast authorities, to gather first had experience at the local level;
  + Preparation of the draft report by the consultant and review of the report by CBA specialists;
  + Discussion of the draft report at an oblast level roundtable involving regional experts, officials, private sectors, local councils, NGOs and media
  + Finalisation of the report and formal submission to the regional authorities
  + Discussion of the report/recommendations at oblast council meeting and decision of the oblast authorities to utilise the recommendations during current phase and/or during the forthcoming strategy phase 2015-20

The energy strategy improvement support produced a positive impact on the vision and programme of the oblasts. In principle they recognised the necessity of including into their strategy the micro-level interventions in the rural areas to promote innovative energy technology renewable energy sources in community participation. Besides, they approved small programme to support this vision in current strategy period.

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| **Box - XI: Preliminary Recommendations for Improving Regional Energy Strategy**   * Analyze corresponding sectoral programs approved by oblast council for 2013 and make changes to suit the vision of energy component of CBA Project. * Development and approval of project documentation on the objects of urban development (building, reconstruction, capital repair), alternative and renewable energy sources should receive priority; * Analyze rationality of a system of collecting, transportation, recycling of communities’ economic activities waste products with following utilization of recycled products; * Calculate potential of annual accumulation of households’ organic waste for possible recycling for biofuel; * Develop proposition of legislative stimulation of territorial village/ urban village communities, for storage and recycling of wastes for biofuel. * Analyze rational use of water resources by local territorial communities (for the purpose of micro-hydro power). * Analyze efficiency of current energy sources use in village communities calculating of [unit of superficial heating area](http://www.multitran.ru/c/m.exe?t=3231828_1_2&s1=%E5%E4%E8%ED%E8%F6%E0%20%EF%EB%EE%F9%E0%E4%E8) and hot water in calculation for thousand cubic meters. * Analyze possibility of establishing cooperative relation between agricultural enterprises and households on joint processing and applying of organic waste products under the terms of cooperation and providing of joint propositions. * Provide propositions on the structure of electronic system (including acting) monitoring and analyzing of sustainable development of region; * Prepare propositions for adoption of changes to the regional programme on increasing energy efficiency in oblast for 2010-2015 including study on creation of the system of alternative energy use by local communities of oblast. * Submit proposal on adoption of changes to the regional programme on increasing of energy efficiency in oblast for 2015 and development of the proposals to the next period (2015-2020) with the principle of rational use of renewable energy sources; * Submit proposals on adoption of changes to regional programme, acting on the oblast territory, which are related to implementation of infrastructure and investment projects/programmes supported by budget costs, with involvement of local territorial communities co-financing share in amount of 15%, taking into account their material conditions, as of main beneficiaries, in accordance to the Strategy. * Oblige the heads of rayon state administrations, recommend to the heads of city and village councils to evaluate amount of necessary investments on each object, which is maintained by budget costs, to bring it up to energy efficiency standards and compare it to the amount of investments required with possible costs of new construction in accordance to modern technologies; to determine the feasibility of reconstruction or capital repair of the building, and public sector in comparison to the new construction. |

***Problems and solutions***

Energy strategy exists in each region in line with the national vision. However, their structure and content varies greatly from region to region. Also, vision on energy efficiency/innovative technology/renewable energy suitable for rural areas is almost non-existent. The same is true about concept of sustainability and people based implementation of energy efficiency concept. In course of roundtables and expert consultations, environment was developed in favour of this vision thanks to exposure of authorities with CBA methodology since past many years.

The existing strategy is for the period up to 2015. In 2014, the regional authorities will undertake the task of developing energy strategy for 2015-20 period. In this situation updating of current strategy in 2013 will have less value from the perspective of getting into implementation. Therefore, the regional authorities suggested to support strategy development in two steps: first prepare concept for 2015-20 strategy this time and then support strategy development for 2015-20 period upon approval of the concept from regional council. This proposition sounded appropriate and the Project carried out the task of energy strategy improvement in the same spirit.

**8.6 Technical Documentation Preparation**

Following to the updating of regional energy efficiency strategy, the oblast authorities were offered, during the quarter, with next level of vision i.e. to prepare technical documentation that would lead to larger investment in the area of energy efficiency and in line with recommendations in the updated energy strategy. This offer involves technical support from CBA to the oblast authorities to enable them to get technical document preparation on cost sharing basis. The oblast authorities are expected to develop their vision by end of July 2013.

To facilitate the vision of the oblast authorities, a roundtable was organised on 26 June 2013 on international financing. Representatives of 7 oblast authorities and 2 city councils learned about mechanism and scope of co-financing from European Investment Bank (Annex – XIV).

**8.7 Raising Public Awareness on Energy Efficiency**

It is visualized that public awareness on energy efficiency will be raised through various methods upon documentation of the results and experience gained through implementation of energy efficiency component. The methods include publication and airing of the experience in local/national media, roundtables, trainings etc. It is expected that this activity will gain start during 2nd half of 2013 and continue in 2014.

During the quarter, various activities were initiated/undertaken to raise public awareness on energy efficiency. Among them was EU Energy Week during which CBA central office as well as regional offices participated in public events to promote the idea of energy efficiency and renewable energy sources. Besides, following preparations were made during the reporting period towards raising public awareness on energy efficiency:

1. Information about best practice in energy saving and energy efficiency was regularly disseminated via mailing list and through CBA Facebook page: <http://www.facebook.com/cbaproject>;
2. CBA communities, local councils, rayon authorities and regional authorities are motivated to invite professional companies and organization for helping in study and choosing technology.
3. Draft leaflet was prepared on ‘energy for all’ in line with the UN publication on the subject and published for wider dissemination in 2nd half of 2013;
4. Materials were collected for preparing a video film on energy efficiency awareness; first draft of the film was prepared. The film will be finalised in 2nd half of 2013 and will be used widely for raising public awareness;
5. Vision was developed to adopt the document ‘Heat Is On’ prepared by Bratislava Regional Centre based on Odesa workshop to suit Ukrainian needs. First draft of the adopted version was prepared in June 2013. This publication is expected to come out in 2nd half of 2013 and undergo and bring out the adopted version for wider circulation.

**8.8 Working Group on Energy Efficiency**

During the quarter, a Working Group on energy efficiency was formed, in accordance with recommendation of the CBA Steering Committee, for cooperation with relevant institutions and organizations and for coordination of joint actions on promoting of energy efficiency and renewable energy in rural areas.

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On 19 June 2013, the first meeting of the Working Group was held at the UN House. Participants of the Working Group meeting included representatives of relevant ministries, government departments, universities, specialized agencies, professional associations related to the field of energy/ energy efficiency/ renewable energy and regional partners of CBA (agenda and list of participants given in Annex - XV ). The meeting was opened jointly by Ms. Elena Panova, UNDP deputy country director and Ms. Mira Didukh, sector manager (regional and local development) of Delegation of the EU to Ukraine.

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They highlighted the importance of energy efficiency and renewable energy in Ukraine and expressed commitment of their agencies to continue support to promote this area.

The Working Group took stock of the results of [the CBA Project on energy efficiency component](http://cba.org.ua/en/activities/energy-efficiency-component) including CBA’s work on the analysis of energy efficiency strategies of Zaporizhka, Dnipropetrovska, Kharkivska, Lvivska, Ivano-Frankivska and Zakarpatska regions. Besides CBA, professional/institutional level experience was shared by the participants in the subject at hand. Among the key findings of the Working Group meeting were:

* Vision on energy efficiency/innovative technology/ renewable energy suitable for rural areas is very weak;
* Energy strategy exists in regions. But they lack vision on rural, micro, participatory and sustainability dimensions;
* Need of energy saving component is so big in the communal buildings of the rural areas that local communities and authorities could hardly imagine innovative/renewable energy technologies;
* Supply and demand gap in the rural areas is high in the area of innovative technology and renewable energy related services;
* Government legislation (2012) on energy saving expertize of technical documentation severely hampers the pace of micro-project implementation;
* Budgetary constraint at local level exists

as negative factor.

Working Group members presented their recommendations and expressed their expectations and vision for future work in the area of energy efficiency as follows:

* Enthusiasm and commitment of CBA partners in the region renders ray of hope;
* Energy efficiency idea ought to be introduced in stepwise manner within the framework of local capacity;
* Search of funding for larger investment must take place;
* Possibility of decentralised approval of technical document must be explored;
* Potential demonstrated by public-private-partnership at local level carries huge scope and must be exploited by national authorities through policy and cost-sharing amount;
* CBA has contributed to the development of conducive environment but it has limitations. Therefore, role of proposed Working Groupis indispensable

**Chapter Nine**

*Foundations were laid for full operation of knowledge management hub established in 2012. Also, activities were initiated to enrich the curriculum on sustainable development and the community-based approach.*

National-level application of the community-based approach to local development methodology produces a tremendous effect on the local development process. To make the best use of knowledge gained and lessons learned, the knowledge management component of CBA activities aims to document and systematize the regional experience and lessons learned; to introduce the community-based approach to specific courses of higher education; and to transfer the Ukrainian experience to the target audience in other countries. Accordingly, a knowledge management hub was established at Ukrainian Association of District and Regional Councils (UADRC) in 2012.

During the reporting period agreement was signed with the for full operationalisation of knowledge management hub (KMH), partnership was established with 2 universities (total 23 since inception) for teaching the course on community based sustainable development and a series of activities were supported to promote research and teaching on the subject. Details of some activities are given hereunder:

**9.1 Operationalisation of Knowledge Management Hub**

During the quarter, arrangement was made for full operationalization of the KMH. This task would cost UAH 416,000 to achieve the followings:

* A full fledge KM hub, which becomes a strong tool for networking and information exchange for various stakeholders in area of self-governance, local territorial and community development, and legal background for these activities;
* At least, 500 users of KMH portal are sharing information and opinions;
* UADRC KMH is fully equipped, to provide a forum for stakeholders;
* At least, three policy recommendations are generated in area of self-governance and territorial/community development, on the basis of the supported KM hub.

To this end following activities were undertaken during the quarter:

1. ***Partnership with UADRC:*** Partnership with UADRC was extended to jointly bring KMH to full operation. An agreement worth UAH 416,000 was signed on 14 May 2013 between UNDP and UADRC to this end. Share of CBA in UAH 310,000 and that of UADRC, it is UAH 106,000. In spirit of the agreement, UAH 260’000 was released to UADRC for establishment of KMH office, purchase of equipment and recruitment of personnel (knowledge manager, IT specialist etc.);
2. ***Training of KMH-team and UADRC-official:*** During the quarter, an orientation and working meeting was organised in participation of Ms. Iryna Korduba, deputy head of the Secretariat of UADRC and KMH team including knowledge manager, legal expert and IT specialist. During this event, the participants were familiarised with CBA and its field level realities; a linkage between CBA partners in the regions and KMH was discussed and an action plan was developed to reach the objectives of KMH operationalization. Detail training plan is given in Annex – XVI.

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| DSCN1979 | DSCN2007 |
| Interaction between representatives of UADRC, CBA project and officials of Malovyskivskyi rayon, Kirovohradska oblast | |

**9.2 Curriculum Development**

Within the framework of its knowledge management component, CBA Project plans to establish a network of academic institutions from all regions of Ukraine so as to build their capacity in term of teaching/curriculum on local sustainable development with people’s participation. Activities to this end include curriculum development, small research, internship, linkage with local communities, etc. Following activities were carried out during the reporting period on this aspect:

1. ***Partnership with academia:*** Memorandum of Understanding was signed with 2 academic institutions, namely Vernadskyi Tavriya National University and Sumy National Agrarian University. Objective of this cooperation is three folds: teaching course on sustainable development, exposure of students to field realities through internship and small research. Total number of partnerships with universities reached 23 since inception. List of CBA-II partner universities is given in Annex – XVII.

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| Students of Zaporizkyi National University during internship in community of Novosolone village |

1. ***Student’s internship:*** In the 2nd quarter, 12 students underwent traineeships in 5 regional offices of CBA. As part of their internship, they got familiarised with CBA methodology, conducted small research and wrote research articles/essays. Time-schedule for internship is provided in Annex – XVIII.
2. ***Student debates:*** Under the leadership of Sumy State University an Inter-Regional Ukrainian Debate on Modern Issues of Local Community Development and Sustainable Development was organised during the quarter in participation of 10 universities:

Cherkasy State Technological University; Chernihiv State Technological University; Dnipropetrovskyi National University of Railway Transport; Khersonskyi State Agrarian Academy; National University ‘Ostrozka Academy’; Poltava State Agrarian Academy; Sumy State University; Uzhhorog National University; V. Dalh East-Ukrainian National University; Zaporizhzhia National University

In first round, of the event, each university held debate on the subject and identified winners. In the second round, 30 winners from these universities participated in inter-regional debate for final competition which was held on 30 April / 1 May, 2013 at Sumy State University. Proposed questions for final student debate were:

* Theory assumes that only privatelly owned resources may be used efficiently. Is it possible for local community use common resources?
* Team-presenter states that in order to increase efficiency of water resource usage it is necessary to increase price for water. Team-opponent states that it is possible to increase efficiency of water use even without increasing price.
* Development of alternative energy sources is a way to energy safety of local communities of Ukraine. Team-presenter states that development of alternative energy sources is an efficient strategy of energy supply for local communities of Ukraine. Team-opponent states that alternative to nuclear and heating energy does not exist in Ukraine
* Head of community organization that was created in a village proposes community members to collect money to repair village water supply system. A part of community members is against the proposition. The main argument is that they pay taxes to state budget; therefore it is the state authority that has to repair the water supply system.
* Team-presenter states that only those societies that has active and developed local communities can be successful. Team-opponent argues that economic development undermines community basis which causes increase of isolation inside a community, and weakens connections within community.

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| Team of Cherkasy Technological State University | Team of National University ‘Ostrozka Academy’, Rivne |

The teams defended their arguments on various issues related to sustainable development at local level. Social mobilisation, participatory governance and sustainability of local initiatives were among issues discussed. In the environment of hot debates, the team from Chernihiv State Technological University stood winner and the team from Cherkasy State Technological University secured runner position. Students from Luhansk, Rivne, Poltava and Sumy were among the most proactive and responsive participants of the event.

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| Spectators of the final of debates | Jury’s members comment the final of debates | |

The inter-regional debate was expected to sharpen the vision of students on this subject. To further broaden their knowledge, the winning teams were provided with an opportunity to participate in the summer school that was organised by CBA Project during June 2013 in ARC.

1. ***Support for research on community based development:*** With a view to encourage students to learn about community based local development, CBA Project launched a competition of students’ sciencific papers on the related subject. Four professors were contracted to evaluate and rank the papers based on following criteria:

* Relevance of and compliance with the idea of the project
* The scientific level of research: argumentation, thoroughness of analysis
* Systematic and complete description of the topic
* Soundness of conclusions and proposals, innovations
* Possibilities of implementation and practical utility of proposals
* Logic and intelligibility of presentation of materials, scientific style and compliance with academic requirements to paper design

In total 20 papers were reiewed. Of them, 15 best papers were selected for publication. The top three papers were:

1. Impact of implementation of community micro projects on energy saving and water supply’ by Alina Vlasenko, student from Poltava State Agrarian Academy.
2. ‘Experience of introducing ideas of sustainable development into curriculum of Chernihiv State Technological University’ by Yehor Brechko from Chernihiv State Technological University
3. Forms of self organisation of citizens in the context of community development by Tetyana Kapush from Poltava State Agrarian Academy

As an incentive, the authors were invited to participate in the summer school “Mobilisation of Communities to Improve Local Development”, scheduled for 23-26 June in Yevpatoriya, AR Crimea.

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| **Box – XII: Impressions of a jury member on students’ papers and research**  The level of papers presented for competition is significantly higher this year than it was in previous one. Therefore, it was much more difficult for the jury to choose the winners. Among papers presented for the competition there were both deep scientific research on local communities’ potential, and theoretically argued project of community development.  *Yuriy Petrushenko, Ph. D in Economics, Associate Professor of Sumy State University* |

1. ***Summer school:*** On 23-26 June 2013 Yevpatoriya branch of Dalh Eastern Ukrainian University (Luhansk) hosted best students of Ukrainian universities in Summer School for Students on “Mobiloisation of communities to improve local development”. 14 students from 9 universities participated in the event:

Poltava State Agrarian Academy, Zaporizhia National University, Chernihiv State Technological University, Sumy State Technological University, Hetman Kyiv National Economic University, Mykolaiv National Agrarian University, “Ostrozka Academiya” National University from Rivne, Vernadsky Tavriya National University, Dnipropetrovsk National University of Railway Transport

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At the opening of the event, Ms Elena Panova, Deputy Director of UNDP in Ukraine, presented global vision of further agenda for sustainable development and results of the national consultations on post-2015 development of Ukraine**.** Ms Panova touched three main issues in her lecture: millenium development goals and progress towards their achievement; process of developing global vision on post-2015 agenda and results of all-Ukrainian consultations regarding post-2015 agenda.

Ms Elena Panova admitted significant progress in achieving some of 7 goals set by Ukraine, namely, in education, maternity and child care, and overcoming tuberculosis and HIV/AIDs epidemies in the country. However, improvement of environemtal situation, poverty reduction and balancing gender equality are still on the agenda. Following the lecture, Ms. Panova held an active discussion with participants of the event, and answered questions from students and media.

During the course of the summer school, the students had an opportunity to hear, from various speakers, about global experience on theory and practice of social mobilisation, application of social mobilisation in Ukraine, results of research on social mobilisation in Ukraine and role of academia in promoting community based sustainable local development.

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| Summer school participants interacting with representatives of rayon and village authorities | |

On 25 June 2013, participants made visits to the community of Herojske village to witness community based local development in action. They learned how the CO-members of Herojske had renovated the health post, with support from the CBA-2 Project, and improved health service in the community. They students also visited Sakskiy rayon community resource centre and interacted with representatives of rayon authorities and village councils in the rayon regarding effectiveness of community based local development approach.

1. ***Teaching material development:*** During annual review cum workplanning meeting held in December 2012, representatives of the partner universities expressed a necessity to prepare supplementary teaching material to raise effectiveness of the curriculum. Accordingly, CBA project launched following activities during the quarter:
2. Monograph on community based sustainable development: A call was announced to partner universities to provide papers on modern issues and practical implementation of sustainable principles of local development. By the reporting period, 20 scientists submitted papers on their works. In 3rd quarter, a scientific consultant will be hired to edit the papers and support in publication of the sociological monograph. The monograph is expected to serve as a sound reference material on the subject. Among the provisional topics for the socilogical monograph proposed:

* community development: conditions, components, mechanisms, risks and perspective;
* world experience in successful community development;
* the essence of community based approach to local development;
* social mobilisation of community: role, mechanisms, economic potential;
* forms of social self-organisation of population within context of community development;
* community resource centres: role, perspective of sustainable functioning and development of their potential;
* cooperation between community and authorities: mechanisms, advantages and results;
* role of local self-governance in community development;
* implementation of community development micro-projects and their efficiency;
* private sector and local business as factor of community development;
* ways of community based approach replication with community participation, and others.
* Supplementary teaching materials: A consultant was hired during the quarter to prepare a set of case studies and videos covering various aspects of community based local development. These materials when presented in the class together with the theory, would provide the students an opportunity to understand practical dimension of the course on sustainable development. The materials will be ready in 4th quarter of 2013.

**Chapter Ten**

CBA Project aims to maximise the level of transparency of its implementation, and strives to involve media to inform about its milestones. Following activities took place during the reporting period in this regard:

**10.1 Media Events**

During the reporting period, opportunities for organizing public/media events occurred. These were: rayon seminars in the replication rayons, openings of micro-projects, partners’ and donors’ visits. Media events at local and regional level were often organised in coordination with authorities at the level. Very often the press departments of OSA/OC played significant role in inviting media at the level and coordinating the event. In total, 34 media events took place in the 2nd quarter. Since inception, 485 media events were organized (Table – XVIII).

**Table – XVIII: Media Events and Media Coverage**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| 1 | Media events | 269 | 144 | 38 | 34 | 485 |
| 2 | Media coverage | 1282 | 1883 | 483 | 340 | 3988 |
| 3 | Partners’ website | 587 | 853 | 206 | 152 | 1798 |

\* Regionwise details are given in Annex – XX

**10.2 Media Coverage**

During the reporting period, 340 cases of media coverage were fixed. The majority of cases are in newspapers (119), followed by electronic media (114), radio (57) and TV (50). Total number of the recorded media coverage since inception of CBA-II is 3,988 details of which are given in Annex – XX.

**Chart - IV: Media Coverage Distribution, 2nd Quarter 2013**

|  |
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Media covereage varied from region to region due to various factors including the pro-activeness of the media agency; activeness of local authorities, pro-activeness of CBA coordinators along with degree of tasks obligated to them. In general 3 regions demonstrated high level of media coverage followed by 15 regions falling to medium level and 7 regions to low level category (Chart-V).

Besides the regular and social media, CBA uses other available means of disseminating information. In particular, websites of partner oblast/rayon authorities were linked where possible for disseminating CBA related information, may it be tender or media events or CBA-newsletters. Cooperation of communication departments of these authorities was appreciative. During the 2nd quarter, 152 cases of publications on partners’ web-sites were noticed, making it 1,798 in total for CBA-II (Annex - XX)

**Chart - V: Distribution of Media Coverage by Regions, Since Inception**

**10.3 Regional Newsletters**

In order to disseminate information about the Project among stakeholders, potential participants and wider public audience, regional implementation units published regular newsletters. During the reporting period, 12 issues of newsletters were published in 10 regions. They were disseminated both electronically (481) and printed copies (1024) according to the distribution lists including regional administrations, regional councils, rayon administrations and councils, village/city councils, local communities, local media, and NGOs. Since inception, 218 issues of regional newsletters were produced, with 22836 hard copies disseminated among stakeholders, and 6208 copies disseminated electronically.

**Table – XIX: Distribution of CBA Regional Newsletters**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Issues** | **2011** | **2012** | **2013** | | **Total** |
| **Q1** | **Q2** |
| 1 | No. of issues published | 71 | 112 | 23 | 12 | 218 |
| 2 | No. of copies printed & distributed | 10020 | 10056 | 1736 | 1024 | 22836 |
| 3 | No. of copies sent electronically | 2620 | 2441 | 666 | 481 | 6208 |

\* Oblastwise details in Annex – XX

**10.4 Sustainable Energy Week-2013**

On 24 June 2013, the official opening of EU Energy Week took place in Zhytomir in the Park of Culture and Rest named after Gagarin.

|  |  |  |
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| http://cba.org.ua/images/stories/PROJECT_NEWS_2013/DSC07633.JPG | Z:\All folders 2013\EE COMPONENT\Activities\EnergyWeek 24.06.2013\DSCN3213.JPG | http://cba.org.ua/images/stories/PROJECT_NEWS_2013/DSC07644.JPG |

The program of the event included the official opening and public activities in the open air, in particular, thematic debates, presentations, concerts, quests and etc. CBA Project participated in this event. CBA representatives provided information about the Project in the "Sun Village" and informed the residents and visitors of Zhytomir city about its activities. Visitors of the "village"were interested in how energy efficient technologies and experience of the CBA Project in social mobilization of people can be used for solving of urgent problems.

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On June 24, 2013 journalists from leading Ukrainian media visited the community organization "Trust 1" s. Studenica, Korostyshiv district, Zhytomyr region on the occasion of the "European Sustainable Energy Week in Ukraine". The event was also attended by representatives of the European Delegation namely, Mr Walter Tretton, head of the department "Energy, Transport and Environment" and Harbriel Blanc, Sector Manager for Energy Efficiency and Renewable Energy Section’ of EU Delegation to Ukraine.

During this occasion the visitors were able to see the results of the work and process of implementation of CBA Project. They were told how the community and village council together enhance quality of health service by increasing energy efficiency, quality of medical equipments and premises of the healthpost thanks to joint support from EU and UNDP financed CBA Proect.

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Chief physician Kryvolapchuk Anatoly added that besides quality health service, the two micro-projects have cobtributed to a saving of up to UAH to 80 thousand in one heating season and it enables to direct this saving to other needs of the clinic.

Addressing community members and officials of local council, Mr Walter Tretton said that the implementation of these micro-projects represents a successful collaboration between the community, local government and donors and is a good example for other communities throughout Ukraine.

**10.5 Europe Day Celebration**

Under active support of CBA/coordinators in the regions, European Day was celebrated by CBA partner communities as a possibility to show results of joint efforts on local development, to share knowledge and information about EU-funded initiatives, and to appreciate support from the EU ad a CBA-2 donor.

|  |  |
| --- | --- |
| DSC 0417 | http://cba.org.ua/images/stories/ZAPORIZHIA_2013/6.JPG |
| Day of Europe in Bobrykove village, Luhanska oblast | Celebration of day of Europe in Zaporizka oblast |

**10.6 Community Water Objects Cleaning Initiatives**

In support of the UN initiative on paying special attention to water problems all over the world and on occasion of the Year of International Cooperation on Water Issues, 10 CBA partner communities joined a month-long campaign on cleaning water objects and territories around ponds, rivers, cstreams, lakes, and wells.

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| --- | --- |
| Z:\All folders 2013\COMMUNICATION UNIT\PHOTOS\Water Day\VL\21279_527829847268677_822521411_n.jpg | https://fbcdn-sphotos-b-a.akamaihd.net/hphotos-ak-ash3/21286_644042865621717_616683370_n.jpg |
| Cleaning of water stream in community of Volynska oblast | Communities of Zaporizhzhia joint to All-Ukrainian day of water |

**10.7 Donor/Partner Visits**

Regional/local level visits were organised for donors/partners during the reporting period in order to familiarize them with CBA process and results. On the other hand, such visits empower local communities and enhance their confidence to keep undertaking community activities together. Some of the events are mentioned as follows:

1. ***EU Ambassador Mr. Jan Tombinski visits Poltava region:*** On 7 June 2013 Head of the EU Delegation to Ukraine, Mr. Jan Tombìns′kì, visited Poltava oblast. During this visit he met with the Mayor of F. Mamaj and key officials of oblast authorities namely the head of oblast state administration Mr. O. Udovichenko, head of the oblast council Mr. I. Momot and deputy director of investment and international cooperation department of economic development (CBA focal person) Mr. D. Orlov.

After meeting with the oblast authorities, Mr. Tombìns′kì also met with the CBA coordinators. The coordinators briefed him about the implementation of CBA Project in Poltavaska region and its achievements including the way the joint work and common hope can change life for the better.

1. ***UNDP Country Director participates in the conference on ‘Problems of Social Work’:*** Faculty of Social Work of Chernihiv State Technological University, the partner of CBA Project, organised an international scientific conference "Social Work and Present: Trends , Challenges and Prospects” during 23-24 May 2013. Leading scientists and practitioners in the field of social work from Ukraine, Belarus and Russia gathered to update and mobilize available scientific, educational, professional and other social resources for the improvement of social work, the creation of conditions conducive to sustainable development and positive change in society. Ms. Ricarda Rieger, UNDP Country Director, opened the plenary session of the conference and stressed the importance of the event and touched upon the social dimension of sustainable development, the achievement of MDGs, gender equality and the need to reduce poverty in Ukraine.

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| Ms Ricarda Rieger at the conference on ‘Problems of Social Work’ | |

“The more we postpone the transformation, the higher will be the cost. In the medium and long term, new lifestyles, production and consumption patterns will emerge by necessity”. Ms. Rieger opined that Ukraine has the potential to become a regional leader and drive the change to sustainable development.”

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On this occasion, Ms. Rieger met with the deputy rector, dean and other officials of the university and got updated about the effort of the university in promoting social work in the region. Ms. Rieger also visited Chernihiv medical and social rehabilitation of disabled children "Renaissance", which conducts medical and social rehabilitation of children with organic lesions of the central nervous system and other diseases that lead to social exclusion. Students of the Faculty of Social Work constantly support the Centre on a voluntary basis.

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***c)******AR Crimea forum of donors***: Second Donors Forum of international technical assistance was carried out on 30 and 31 May 2013. The Forum was organized by the Council of Ministers of Crimea, the Ministry of Economic Development and Trade of the Autonomous Republic of Crimea, as well as the support of various donors, one of the most important of which, was the EU/UNDP CBA- II Project. The Forum was attended by representatives of diplomatic missions, development agencies, international foundations, projects and programs, community organizations, heads of local government. At the meeting there were sessions on key priorities for co-operation of  Crimea and the international organizations, the prospects for sustainable development in the region, the results of the implementation of projects in cities and regions.

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| Participants of the Crimea Forum of Donors visit community of Herojske village | |

The second day of the Forum was devoted to the practical aspects of international technical assistance projects, namely in the framework of CBA Project participants visited CO of Geroyskoe village of Saksky rayon, where CO implemented their 1st microproject “*Improvement of health post in Heroiske village”*and got a second chance within CBA-2 support to implement another microproject*“Innovative energy-efficient measures for street lighting in Geroyske village. Construction of an autonomous street lighting by using renewable energy sources (solar energy)”.*

***d)******UNDP Sr. programme manager opens the conference on “Economics for Ecology”:*** On 30 April 2013, Sergej Volkov, UNDP Seniour Programme Manager, participated in the opening of international conference on sustainable development “Economics for Ecology”, organized by Sumy State University under support of CBA Project. Over 80 students and young researchers from over 15 countries participated in the conference to present findings of their research on sustainable development.

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In his presentation Sergej Volkov reminded ‘Ukraine’s environmental challenges are significant and present one of the most complex areas for the country to address given the pressures of continues economic growth and social transition. The only feasible response to these challenges is transition to sustainable development’

## f) Roman Shpak, desk officer for Ukraine, visits CBA community: UN Department of Political Affairs, desk officer for Ukraine, Mr. Roman Shpak visited Selyshche village of Baryshivskiy rayon on 13 April 2013. Purpose of the visit was to observe CBA activities in action.

[](http://cba.org.ua/images/stories/KYIV_REGION_2013/15_%D0%92%D0%B8%D1%81%D1%82%D1%83%D0%BF_%D0%B3%D0%BE%D0%BB%D0%BE%D0%B2%D0%B8_%D0%9E%D0%93.JPG)On this occasion a round table was conducted with participation of rayon officials, village council heads and heads of local communities, where the discussed the results of CBA-II Project activities in the rayon.

Guests were positively inspired by the CO’s experience in implementation of the micro-project "Improvement of medical service, repair of the Health post and purchase of equipment". Guests highlighted that the community has implemented another initiative, with total spending of 100,000 UAH, without support from CBA Project. “This fact says us about CO development”, commented Mr Shpak.

**Chapter Eleven**

CBA Project is under direct execution of UNDP. UNDP ensures quality of management, resource utilization and timely achievement of results. Besides, partners and donors independently monitor the implementation of CBA Project, and regional/local authorities provide feed-back for effective implementation of the initiative. Following actions took place during the reporting period in respect of project management:

**11.1 EU Monitoring Mission**

In the framework of periodical monitoring of projects’ implementation, the first monitoring mission was commissioned by EU to assess the implementation of CBA Project. Main objective of the mission was to gather results-oriented information on projects in the field and to report on progress in order to maintain and where possible improve the quality of external cooperation activities through timely, independent, well-targeted information on projects implementation. The main criteria to be addressed were: Relevance and Quality of Design, Efficiency of implementation to date, Effectiveness to date, Impact to date, Potential sustainability, with particular attention on horizontal and cross-cutting issues.The mission took place during 5-13 June, 2013 under the leadership of Mr. Oleh Kyrienko

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| Mr Oleg Kyrienko, during his visit to Luhanska oblast | |

During the mission, Mr. Kyrienko reviewed documents on CBA Project implementation and visited CBA communities in ARC, Odeska and Luhanska oblasts to see results of CBA implementation. While in the regions, he interacted with community members, officials of local, rayon and regional level communities. In Kyiv, he held a meeting with CBA/coordinator Mr. V. Negoda, First Deputy Director of the Department of Coordination with the Parliament of Ukraine and Regions, Secretariat of Cabinet of Ministers and UNDP Country Director Ms. Ricarda Rieger. Some findings of the monitoring mission are as follows:

1. ***Effectiveness todate:*** Excellent level of achievement was noted.

All target group assess the usefulness of the project outputs highly and praise the quality of outputs the project achieved so far. Target groups are benefiting from the project activities as planned. An important unplanned positive effect of the project has been that in the communities/ rayons where project activities were carried out the efficiency of local authorities to take decision improved as attested by the community members

1. ***Impact todate:*** Excellent level of achievement was noted.

The project contributes to development and improvement of the related policies. The environmental and social impacts of the project are obvious. By supporting environment-friendly initiatives, the project contributes to protecting and preserving environment in the selected communities in a long run. By contributing to the development of regional strategies of the energy efficiency such contribution is made at the oblast level. By renovating social infrastructure (schools, kindergartens, village health posts), bringing people in communities together to solve common problems and creating trust among community members and to the local authorities, the project improves social situation in these communities, and this impact will have a long-term effect.

1. ***Sustainability:*** Excellent level of achievement was noted.

The action is characterised by high levels of ownership among the stakeholders. This has been achieved via deep involvement of the parties in the project implementation and decision making, provision of co-financing for the project implementation by all parties. The project enjoys support from the Ukrainian authorities at all levels: starting from the village council and reaching the level of the Prime Minister and the President who both acknowledged its achievements and positive impact in the regions. Capacity building is a major component of this operation being a backbone of its successful implementation and a guarantee of sustainability of its results.

**11.2 Advisory Support**

Advisory support was received from Secretariat of Cabinet of Ministers and EU Delegation Office from time to time regarding. Meetings with them were held in person or communications were made electronically as necessary.

**11.3 Donor Reporting**

Second annual narrative report was prepared for the period August 2012 – March 2013 and submitted to EU in context of report on utilisation of second tranche. The report noted that –

* € 3,057,596 was received as the first installment from EU for CBA-II implementation in first year. 101% of this amount was disbursed by 1 August 2012. During the first year of implementation, the Project laid foundations for the implementation of concrete project activities. The Project achieved all targets set forth for the first year in the area of partnership establishment, support structure development, training, micro-projects (standard), methodology replication and knowledge management. It lagged behind the target in the area of cooperative development and energy efficiency due long time needed to prepare necessary environment for implementation of these two components;
* Second installment of € 6,427,593    was received in November 2012 for project implementation. By March 2013, 82.4% of this amount was disbursed. Activities during 2nd year of implementation focused on micro-project, knowledge management, methodology replication and laying foundation for cooperative development and energy efficiency component.

**11.4 Financial Management**

During the reporting period, estimated expenditure constituted € 980`900 (US $ 1`270`600). It includes €7`760`400 as actual disbursement and US $ 10`744`900 committed for community projects. In total since inception, a financial progress of € 9.3 million occurred making it 54.7% of total project budget. Key activities that indicated low financial progress were: energy efficiency component, economic component and curriculum development. It is expcted that these three components will witness significant financial progress during 2nd half 2013. Details are given in Table – XIX below.

**Table – XIX: Itemwise Project Budget and Expenditure During June 2011 – June 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Total Budget** | | **Expenditure (2011)** | | **Expenditure (2012)** | | **Expenditure 1Q2013** | | **Expenditure 2Q2013** | | **Total Expenditure** | | **% Progress** |
| **€ '000** | **USD '000** | **€ '000** | **USD '000** | **€ '000** | **USD '000** | **€ '000** | **USD '000** | **€ '000** | **USD '000** | **€ '000** | **USD '000** |
| 1 | Human resource | 3,511.30 | 4,777.00 | 507 | 722 | 1,160.90 | 1,559.90 | 332 | 430.1 | 335.6 | 434.7 | 2,335.50 | 3,146.70 | 66.5 |
| 2 | Travel | 92.2 | 126 | 10.2 | 14.6 | 9.7 | 12.9 | 1.9 | 2.5 | 4.2 | 5.5 | 26.00 | 35.50 | 28.2 |
| 3 | Equipment & supplies | 97 | 132 | 60.9 | 84.7 | 17.1 | 22.6 | 1.3 | 1.6 | 0.6 | 0.8 | 79.90 | 109.70 | 82.4 |
| 4 | Local office | 1,016.20 | 1,383.00 | 217.3 | 309.5 | 295.8 | 414.9 | 53.7 | 69.5 | 73.5 | 95.1 | 640.30 | 889.00 | 63.0 |
| 5 | Other costs & services | 265.2 | 360 | 79.9 | 112.4 | 31.1 | 42.5 | 9.8 | 12.7 | 9.2 | 11.9 | 130.00 | 179.50 | 49.0 |
| 6 | Others | 11,023.60 | 14,998.00 | 205.4 | 292.7 | 4,544.50 | 6,010.60 | 307.2 | 406.8 | 493.7 | 639.5 | 5,550.80 | 7,349.60 | 50.4 |
| a | Seed grant (social/communal) | 4,357.80 | 5,929.00 | 118.9 | 169.4 | 4,023.30 | 5,319.00 | 235.5 | 305.1 | 334.4 | 433.2 | 4,712.10 | 6,226.70 | 108.1 |
| c | Seed grant (energy efficiency) | 5,190.00 | 7,061.00 | 0 | 0 | 289.8 | 375.4 | 44 | 57 | 27.1 | 35.1 | 360.90 | 467.50 | 7.0 |
| d | Seed grant (small business) | 474.8 | 646 | 0 | 0 | 0 | 0 | 0 | 0 | 17.2 | 22.3 | 17.20 | 22.30 | 3.6 |
| e | Approach internalization | 367.5 | 500 | 0 | 0 | 0 | 0 | 0 | 0 | 51.3 | 66.5 | 51.30 | 66.50 | 14.0 |
| f | Curriculum development | 96 | 131 | 6 | 9 | 3 | 5 | 0 | 0 | 0.4 | 0.5 | 9.40 | 14.50 | 9.8 |
| g | Training/visits | 177.5 | 242 | 50.2 | 71 | 122 | 169.1 | 16.4 | 21.2 | 24.2 | 31.3 | 212.80 | 292.60 | 119.9 |
| h | LDF/RCC/Review meetings | 225 | 306 | 23.2 | 33.1 | 31.3 | 41.7 | 10.5 | 13.7 | 20.6 | 26.7 | 85.60 | 115.20 | 38.0 |
| i | Capacity of CRCs | 70 | 95 | 7.1 | 10.2 | 52.4 | 68 | 0.8 | 9.8 | 0 | 0 | 60.30 | 88.00 | 86.1 |
| j | Knowledge management | 65 | 88 | 0 | 0 | 22.8 | 32.4 | 0 | 0 | 18.4 | 23.9 | 41.20 | 56.30 | 63.4 |
| 7 | Continegncy & Administrative | 1,120.40 | 1,524.00 | 88.6 | 127.3 | 409.3 | 581.6 | 40.9 | 53 | 64.2 | 83.1 | 603.00 | 845.00 | 53.8 |
|  | **Total** | **17,125.90** | **23,300.00** | **1,169.30** | **1,663.20** | **6,468.50** | **8,645.10** | **746.8** | **976.3** | **980.9** | **1,270.60** | **9,365.50** | **12,555.20** | **54.7** |

Annex – I

**Establishing Partnership: Status as of 2rd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Rayons selection** | | | | | | **PA signed by rayons** | **Rayon seminars** | **VC/CC selection** | | | | | | **PA signed by VC/CC** | **Community selection** | | | |
| **Allocated Quota** | **Application**  **Received** | **# of rayon selected** | **CBA-I rayons** | **New rayons** | **Rayons in Reserve** | **Allocated Quota** | **Application**  **Received** | **# of VC/CC selected** | **CBA-I VC/CC** | **New VC/CC** | **VCs/CCs in Reserve** | **Allocated Quota** | **Community selected** | **CBA-I communities** | **New communities** |
| ARC | 8 | 13 | 8 | 5 | 3 | 2 | 8 | 8 | 32 | 82 | 32 | 9 | 23 | 0 | 32 | 32 | 32 | 9 | 23 |
| Cherkaska | 9 | 20 | 9 | 3 | 6 | 3 | 9 | 9 | 39 | 171 | 39 | 3 | 36 | 0 | 39 | 38 | 39 | 4 | 35 |
| Chernihivska | 6 | 44 | 6 | 3 | 3 | 3 | 6 | 6 | 25 | 79 | 25 | 3 | 22 | 0 | 25 | 25 | 25 | 3 | 22 |
| Chernivetska | 6 | 11 | 6 | 6 | 0 | 3 | 6 | 6 | 24 | 53 | 24 | 6 | 18 | 17 | 24 | 24 | 24 | 5 | 19 |
| Dnipropetrovska | 9 | 44 | 9 | 3 | 6 | 2 | 9 | 9 | 37 | 84 | 39 | 6 | 33 | 24 | 39 | 36 | 39 | 5 | 34 |
| Donetska | 10 | 17 | 10 | 4 | 6 | 4 | 10 | 10 | 42 | 109 | 42 | 4 | 38 | 30 | 42 | 42 | 41 | 4 | 37 |
| I-Frankivska | 9 | 17 | 9 | 5 | 4 | 3 | 9 | 9 | 36 | 197 | 36 | 6 | 30 | 0 | 36 | 36 | 36 | 6 | 30 |
| Kharkivska | 6 | 46 | 6 | 2 | 4 | 3 | 6 | 6 | 26 | 67 | 26 | 4 | 22 | 18 | 26 | 24 | 26 | 4 | 22 |
| Khersonska | 8 | 16 | 8 | 4 | 4 | 2 | 8 | 8 | 32 | 73 | 32 | 7 | 25 | 0 | 32 | 32 | 32 | 7 | 25 |
| Khmelnytska | 8 | 20 | 8 | 5 | 3 | 3 | 8 | 8 | 32 | 187 | 32 | 8 | 24 | 33 | 32 | 32 | 32 | 6 | 26 |
| Kirovohradska | 8 | 21 | 8 | 4 | 4 | 5 | 8 | 8 | 33 | 128 | 33 | 8 | 25 | 27 | 33 | 33 | 33 | 8 | 25 |
| Kyivska | 6 | 15 | 6 | 3 | 3 | 2 | 6 | 6 | 24 | 59 | 24 | 6 | 18 | 12 | 24 | 25 | 24 | 6 | 18 |
| Luhanska | 9 | 18 | 9 | 3 | 6 | 3 | 9 | 9 | 37 | 98 | 37 | 5 | 32 | 62 | 37 | 36 | 37 | 5 | 32 |
| Lvivska | 8 | 38 | 8 | 5 | 3 | 3 | 8 | 8 | 38 | 105 | 38 | 8 | 30 | 0 | 38 | 38 | 38 | 8 | 30 |
| Mykolaivska | 9 | 17 | 9 | 4 | 5 | 7 | 9 | 9 | 36 | 125 | 36 | 5 | 31 | 0 | 36 | 36 | 39 | 2 | 37 |
| Odeska | 8 | 23 | 8 | 2 | 6 | 3 | 8 | 8 | 32 | 92 | 30 | 4 | 26 | 24 | 30 | 32 | 32 | 2 | 30 |
| Poltavska | 8 | 21 | 8 | 3 | 5 | 4 | 8 | 8 | 33 | 95 | 33 | 8 | 25 | 25 | 33 | 33 | 33 | 7 | 26 |
| Rivnenska | 8 | 15 | 8 | 4 | 4 | 2 | 8 | 8 | 32 | 145 | 32 | 8 | 24 | 17 | 32 | 32 | 32 | 6 | 26 |
| Sumska | 9 | 18 | 9 | 5 | 4 | 3 | 9 | 9 | 38 | 109 | 38 | 10 | 28 | 0 | 38 | 31 | 38 | 9 | 29 |
| Ternopilska | 9 | 15 | 9 | 5 | 4 | 3 | 9 | 9 | 37 | 165 | 37 | 5 | 32 | 37 | 37 | 37 | 37 | 5 | 32 |
| Vinnytska | 6 | 24 | 6 | 4 | 2 | 4 | 6 | 6 | 33 | 72 | 29 | 8 | 21 | -1 | 29 | 33 | 29 | 7 | 22 |
| Volynska | 9 | 16 | 9 | 5 | 4 | 3 | 9 | 9 | 41 | 152 | 41 | 7 | 34 | 27 | 41 | 41 | 41 | 7 | 34 |
| Zakarpatska | 9 | 13 | 9 | 5 | 4 | 3 | 9 | 9 | 36 | 100 | 37 | 8 | 29 | 0 | 37 | 36 | 37 | 8 | 29 |
| Zaporizka | 9 | 19 | 9 | 5 | 4 | 3 | 9 | 9 | 36 | 167 | 36 | 12 | 24 | 18 | 36 | 36 | 37 | 18 | 19 |
| Zhytomyrska | 6 | 36 | 6 | 3 | 3 | 0 | 6 | 6 | 24 | 84 | 24 | 2 | 22 | 12 | 24 | 24 | 24 | 3 | 21 |
| **Total** | **200** | **557** | **200** | **100** | **100** | **76** | **200** | **200** | **835** | **2798** | **832\*** | **160** | **672** | **382** | **832\*** | **824** | **837** | **154** | **683** |

Note: Since inception 835 village councils were selected and partnership agreements signed, however, due to different reasons 3 of them terminated partnership with the Project

\* excluding 18 village councils from energy efficiency component

Annex – II (A)

**Establishing Support Structures: Progress in 2rd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **CO Formation** | | | | | | | **LDF** | | | | **RCC** | | **Resource Centre** | | |
| **Allocated**  **Quota** | **CO Formed** | **Target HH** | **Participated**  **HHs** | **Total Members** | **Male** | **Female** | **LDF grafted from CBA-I** | **New LDF**  **formed** | **Total LDF** | **LDF sittings** | **RCC Grafted** | **RCC sittings** | **Rayon RC grafted** | **Rayon RC new** | **Total** |
| ARC | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| Chernihivska | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 0 |
| Chernivetska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 0 | 1 | 0 | 0 | 0 |
| Donetska | 42 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I-Frankivska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 1 | 0 | 0 | 0 |
| Kharkivska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 0 | 1 | 0 | 0 | 0 |
| Khersonska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 |
| Kirovohradska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 |
| Kyivska | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lvivska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 39 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Odeska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| Poltavska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| Rivnenska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 38 | 0 | 824 | 824 | 824 | 240 | 584 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 |
| Vinnytska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 41 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zakarpatska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 0 | 0 | 0 |
| Zaporizka | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zhytomyrska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **835** | **0** | **824** | **824** | **824** | **240** | **584** | **0** | **0** | **0** | **91** | **0** | **7** | **0** | **0** | **0** |

Annex – II (B)

**Establishing Support Structures: Status as of 2rd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **CO Formation** | | | | | | | **LDF** | | | | **RCC** | | **Resource Centre** | | |
| **Allocated**  **Quota** | **CO Formed** | **Target HH** | **Participated**  **HHs** | **Total Members** | **Male** | **Female** | **LDF grafted from CBA-I** | **New LDF**  **formed** | **Total LDF** | **LDF sittings** | **RCC grafted** | **RCC sittings** | **Rayon RC (grafted)** | **Rayon RC new** | **Rayon RC**  **Total** | |
| ARC | 32 | 32 | 13734 | 12172 | 41637 | 19259 | 22378 | 5 | 3 | 8 | 17 | 1 | 3 | 5 | 3 | 8 | |
| Cherkaska | 38 | 39 | 11081 | 10753 | 12413 | 5553 | 6860 | 3 | 6 | 9 | 59 | 1 | 3 | 3 | 6 | 9 | |
| Chernihivska | 25 | 25 | 13470 | 12122 | 26869 | 13353 | 13516 | 3 | 3 | 6 | 26 | 1 | 4 | 3 | 3 | 6 | |
| Chernivetska | 24 | 24 | 19505 | 19324 | 19505 | 8719 | 10786 | 6 | 0 | 6 | 13 | 0 | 1 | 6 | 0 | 6 | |
| Dnipropetrovska | 36 | 39 | 7030 | 4050 | 9838 | 3963 | 5875 | 3 | 6 | 9 | 40 | 1 | 4 | 3 | 6 | 9 | |
| Donetska | 42 | 42 | 36173 | 31526 | 31921 | 13554 | 18367 | 4 | 6 | 10 | 32 | 0 | 1 | 4 | 6 | 10 | |
| I-Frankivska | 36 | 36 | 7320 | 5927 | 5936 | 2600 | 3336 | 5 | 4 | 9 | 41 | 1 | 4 | 5 | 4 | 9 | |
| Kharkivska | 24 | 26 | 9922 | 8188 | 8555 | 3808 | 4747 | 2 | 4 | 6 | 50 | 1 | 3 | 2 | 4 | 6 | |
| Khersonska | 32 | 32 | 6679 | 5583 | 15676 | 7002 | 8674 | 4 | 4 | 8 | 59 | 1 | 1 | 4 | 4 | 8 | |
| Khmelnytska | 32 | 32 | 12771 | 10659 | 11382 | 4192 | 7190 | 5 | 3 | 8 | 43 | 1 | 3 | 5 | 3 | 8 | |
| Kirovohradska | 33 | 33 | 8524 | 4992 | 13402 | 5467 | 7935 | 4 | 4 | 8 | 62 | 1 | 3 | 4 | 4 | 8 | |
| Kyivska | 25 | 24 | 11768 | 9974 | 10020 | 4218 | 5802 | 3 | 3 | 6 | 43 | 1 | 1 | 3 | 3 | 6 | |
| Luhanska | 36 | 37 | 21289 | 15285 | 21452 | 8435 | 13017 | 3 | 6 | 9 | 45 | 1 | 3 | 3 | 6 | 9 | |
| Lvivska | 38 | 38 | 17418 | 11298 | 11682 | 5242 | 6440 | 5 | 3 | 8 | 34 | 1 | 4 | 5 | 3 | 8 | |
| Mykolaivska | 39 | 39 | 11633 | 7105 | 8460 | 3384 | 5076 | 4 | 5 | 9 | 41 | 1 | 2 | 4 | 5 | 9 | |
| Odeska | 32 | 32 | 16823 | 16552 | 21289 | 9584 | 11705 | 2 | 6 | 8 | 32 | 1 | 7 | 2 | 6 | 8 | |
| Poltavska | 33 | 33 | 13063 | 10805 | 28080 | 12774 | 15306 | 3 | 5 | 8 | 59 | 1 | 4 | 3 | 5 | 8 | |
| Rivnenska | 32 | 32 | 14357 | 12166 | 18431 | 8694 | 9737 | 4 | 4 | 8 | 89 | 1 | 2 | 4 | 4 | 8 | |
| Sumska | 38 | 38 | 11396 | 10886 | 10886 | 4698 | 6188 | 5 | 4 | 9 | 70 | 1 | 3 | 5 | 4 | 9 | |
| Ternopilska | 37 | 37 | 10243 | 10052 | 32934 | 15187 | 17747 | 5 | 4 | 9 | 44 | 1 | 2 | 5 | 4 | 9 | |
| Vinnytska | 33 | 29 | 25872 | 22315 | 26840 | 11712 | 15128 | 4 | 2 | 6 | 20 | 1 | 1 | 4 | 2 | 6 | |
| Volynska | 41 | 41 | 9892 | 9892 | 21386 | 7863 | 13523 | 5 | 4 | 9 | 22 | 1 | 4 | 5 | 4 | 9 | |
| Zakarpatska | 36 | 37 | 35815 | 29149 | 29230 | 9704 | 19526 | 5 | 4 | 9 | 54 | 1 | 3 | 5 | 4 | 9 | |
| Zaporizka | 37 | 37 | 2381 | 2199 | 9560 | 3845 | 5715 | 5 | 4 | 9 | 39 | 1 | 2 | 5 | 4 | 9 | |
| Zhytomyrska | 24 | 24 | 10010 | 5262 | 12583 | 5493 | 7090 | 3 | 3 | 6 | 21 | 1 | 1 | 3 | 3 | 6 | |
| **Total** | **835** | **838** | **358169** | **298236** | **459967** | **198303** | **261664** | **100** | **100** | **200** | **1055** | **23** | **69** | **100** | **100** | **200** | |

Annex – III

**CO Formalization Progress**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **During 2rd Quarter 2013** | | | | | **Total** | **Total Since Inception** | | | | | **Total** |
| **ACMB** | **Public organization** | **BSP** | **Cooperatives** | **Other** | **ACMB** | **Public organization** | **BSP** | **Cooperatives** | **Other** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 6 | 0 | 0 | 32 |
| Cherkaska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 0 | 0 | 0 | 39 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 0 | 0 | 0 | 25 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 0 | 0 | 0 | 24 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 31 | 0 | 0 | 8 | 39 |
| Donetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 42 | 0 | 0 | 0 | 42 |
| I-Frankivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | 0 | 0 | 0 | 36 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 0 | 0 | 0 | 26 |
| Khersonska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 2 | 0 | 0 | 32 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 0 | 0 | 0 | 32 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 0 | 0 | 0 | 33 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 0 | 0 | 0 | 24 |
| Luhanska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 0 | 0 | 0 | 37 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 2 | 0 | 6 | 38 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 35 | 0 | 2 | 2 | 39 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 22 | 0 | 0 | 32 |
| Poltavska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 0 | 0 | 0 | 33 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 0 | 0 | 0 | 32 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 0 | 0 | 0 | 38 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 35 | 2 | 0 | 0 | 37 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 29 | 0 | 0 | 0 | 29 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 3 | 0 | 0 | 41 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 0 | 0 | 0 | 37 |
| Zaporizka | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 35 | 0 | 2 | 0 | 37 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 0 | 0 | 0 | 24 |
| **Total** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **781\*** | **37** | **4** | **16** | 838 |

\* 6 COs from Qr-1 yet to be included

Annex – IV (A)

**Capacity Building: Progress in 2rd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Training** | | | | | | | | | | | | | | | | | | | |
| **No. of Trainings** | **Type of Training** | | | | | | | | | **Participants (with repetition)** | | | | | **Participants (without repetition)** | | | | |
| **CO Management** | **Planning** | **MP - Preparation** | **Financial Mgmt.** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** |
| ARC | 3 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 44 | 65 | 109 | 68 | 41 | 18 | 26 | 44 | 27 | 16 |
| Cherkaska | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 86 | 141 | 227 | 165 | 62 | 34 | 56 | 91 | 66 | 25 |
| Chernihivska | 15 | 0 | 0 | 0 | 4 | 1 | 2 | 5 | 3 | 0 | 64 | 74 | 138 | 126 | 12 | 25 | 29 | 55 | 50 | 5 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 27 | 0 | 0 | 0 | 8 | 0 | 1 | 9 | 9 | 0 | 34 | 102 | 136 | 90 | 46 | 14 | 41 | 54 | 36 | 18 |
| Donetska | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 5 | 20 | 25 | 20 | 5 | 2 | 8 | 10 | 8 | 2 |
| I-Frankivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kharkivska | 3 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 21 | 45 | 66 | 55 | 11 | 8 | 18 | 26 | 22 | 4 |
| Khersonska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 5 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 2 | 0 | 28 | 32 | 60 | 44 | 16 | 11 | 13 | 24 | 18 | 6 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kyivska | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 28 | 45 | 73 | 63 | 10 | 11 | 18 | 29 | 25 | 4 |
| Luhanska | 4 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 2 | 46 | 48 | 32 | 16 | 2 | 18 | 19 | 13 | 6 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 |
| Mykolaivska | 3 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 41 | 51 | 92 | 78 | 14 | 16 | 20 | 37 | 31 | 6 |
| Odeska | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 7 | 29 | 36 | 28 | 8 | 3 | 12 | 14 | 11 | 3 |
| Poltavska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Vinnytska | 3 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 20 | 25 | 45 | 31 | 14 | 8 | 10 | 18 | 12 | 6 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zakarpatska | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 20 | 10 | 30 | 23 | 7 | 8 | 4 | 12 | 9 | 3 |
| Zaporizka | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 46 | 78 | 124 | 87 | 37 | 18 | 31 | 49 | 35 | 15 |
| Zhytomyrska | 2 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 34 | 70 | 104 | 73 | 31 | 14 | 28 | 42 | 30 | 12 |
| **Total** | **83** | **0** | **0** | **0** | **30** | **4** | **5** | **16** | **23** | **5** | **480** | **833** | **1313** | **983** | **330** | **192** | **332** | **524** | **393** | **131** |

Annex – IV (B)

**Capacity Building: Status as of 2rd t Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Training** | | | | | | | | | | | | | | | | | | | |
| **No. of Trainings** | **Type of Training** | | | | | | | | | **Participants (with repetition)** | | | | | **Participants (without repetition)** | | | | |
| **CO Management** | **Financial Mgmt.** | **Planning** | **MP - Preparation** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** |
| ARC | 67 | 8 | 8 | 4 | 15 | 5 | 8 | 8 | 8 | 3 | 787 | 1151 | 1938 | 1595 | 343 | 314 | 461 | 775 | 638 | 137 |
| Cherkaska | 81 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 200 | 335 | 535 | 351 | 184 | 80 | 134 | 214 | 140 | 74 |
| Chernihivska | 46 | 6 | 6 | 6 | 4 | 1 | 14 | 5 | 3 | 1 | 243 | 316 | 559 | 511 | 48 | 97 | 127 | 224 | 204 | 20 |
| Chernivetska | 24 | 6 | 6 | 6 | 0 | 6 | 0 | 0 | 0 | 0 | 140 | 211 | 351 | 330 | 21 | 56 | 84 | 140 | 132 | 8 |
| Dnipropetrovska | 75 | 7 | 7 | 11 | 8 | 10 | 13 | 9 | 9 | 1 | 215 | 662 | 877 | 665 | 212 | 86 | 265 | 351 | 266 | 85 |
| Donetska | 72 | 10 | 10 | 10 | 1 | 6 | 10 | 11 | 11 | 3 | 633 | 1259 | 1892 | 1221 | 671 | 253 | 503 | 756 | 488 | 268 |
| I-Frankivska | 84 | 9 | 9 | 9 | 1 | 10 | 27 | 9 | 9 | 1 | 393 | 624 | 1017 | 833 | 184 | 157 | 250 | 407 | 333 | 74 |
| Kharkivska | 27 | 6 | 6 | 6 | 3 | 0 | 6 | 0 | 0 | 0 | 191 | 237 | 428 | 371 | 57 | 76 | 95 | 171 | 148 | 23 |
| Khersonska | 48 | 8 | 8 | 8 | 0 | 8 | 10 | 6 | 0 | 0 | 254 | 341 | 595 | 349 | 246 | 101 | 137 | 238 | 169 | 69 |
| Khmelnytska | 51 | 8 | 8 | 9 | 4 | 7 | 6 | 4 | 4 | 1 | 216 | 353 | 569 | 422 | 147 | 86 | 141 | 227 | 169 | 58 |
| Kirovohradska | 67 | 8 | 8 | 11 | 0 | 8 | 8 | 8 | 16 | 0 | 361 | 535 | 896 | 768 | 128 | 144 | 114 | 258 | 307 | -49 |
| Kyivska | 52 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 4 | 491 | 796 | 1287 | 1022 | 265 | 196 | 319 | 515 | 408 | 107 |
| Luhanska | 62 | 14 | 14 | 8 | 13 | 8 | 4 | 0 | 0 | 1 | 169 | 412 | 581 | 429 | 152 | 68 | 164 | 232 | 171 | 61 |
| Lvivska | 48 | 10 | 10 | 10 | 1 | 8 | 8 | 0 | 0 | 1 | 569 | 779 | 1348 | 1072 | 276 | 227 | 312 | 539 | 428 | 111 |
| Mykolaivska | 50 | 9 | 9 | 9 | 6 | 9 | 0 | 3 | 3 | 2 | 387 | 534 | 921 | 756 | 165 | 155 | 213 | 368 | 302 | 66 |
| Odeska | 53 | 8 | 8 | 8 | 2 | 7 | 8 | 6 | 6 | 0 | 227 | 500 | 727 | 573 | 154 | 91 | 199 | 290 | 229 | 61 |
| Poltavska | 60 | 8 | 8 | 8 | 9 | 8 | 9 | 0 | 8 | 2 | 661 | 685 | 1346 | 1006 | 340 | 264 | 274 | 538 | 402 | 136 |
| Rivnenska | 50 | 8 | 8 | 8 | 1 | 8 | 8 | 8 | 0 | 1 | 566 | 847 | 1413 | 1072 | 341 | 226 | 339 | 565 | 429 | 136 |
| Sumska | 40 | 9 | 9 | 9 | 9 | 4 | 0 | 0 | 0 | 0 | 483 | 608 | 1091 | 843 | 248 | 193 | 243 | 436 | 337 | 99 |
| Ternopilska | 51 | 8 | 8 | 8 | 0 | 5 | 6 | 8 | 8 | 0 | 324 | 540 | 864 | 605 | 259 | 130 | 215 | 345 | 242 | 103 |
| Vinnytska | 40 | 7 | 7 | 7 | 0 | 9 | 9 | 1 | 0 | 0 | 285 | 434 | 719 | 451 | 268 | 114 | 174 | 288 | 180 | 108 |
| Volynska | 34 | 11 | 10 | 9 | 3 | 1 | 0 | 0 | 0 | 0 | 174 | 241 | 415 | 352 | 63 | 70 | 96 | 166 | 140 | 26 |
| Zakarpatska | 66 | 11 | 11 | 11 | 2 | 11 | 9 | 9 | 0 | 2 | 737 | 924 | 1651 | 1301 | 360 | 295 | 365 | 660 | 516 | 144 |
| Zaporizka | 171 | 29 | 29 | 29 | 4 | 11 | 32 | 33 | 3 | 1 | 876 | 1414 | 2290 | 1802 | 488 | 350 | 566 | 916 | 724 | 192 |
| Zhytomyrska | 30 | 6 | 6 | 3 | 2 | 11 | 1 | 0 | 0 | 1 | 171 | 368 | 539 | 393 | 146 | 68 | 148 | 216 | 157 | 59 |
| **Total** | **1449** | **229** | **228** | **222** | **103** | **176** | **211** | **143** | **103** | **34** | **9753** | **15106** | **24859** | **19093** | **5766** | **3897** | **5938** | **9835** | **7659** | **2176** |

Annex – V

**Sectoral Distribution of Community Development Priorities**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **No. of Target**  **CDP** | **During 2nd Quarter 2013** | | | | | | | | **Total Since Inception** | | | | | | | |
| **No of COs with CDP**  **prepared/grafted** | **Sectoral Distribution of Community Priorities** | | | | | **Mainstreaming of Plan** | | **No of COs with CDP**  **prepared/grafted** | **Sectoral Distribution of Community Priorities** | | | | | **Mainstreaming of Plan** | |
| **Health post** | **Water Supply** | **Energy Saving** | **Environment** | **Total** | **Approved by VCs/CCs** | **Approved at LDF** | **Health post** | **Water Supply** | **Energy Saving** | **Environment** | **Total** | **Approved by VCs/CCs** | **Approved at LDF** |
| ARC | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 3 | 4 | 25 | 0 | 32 | 32 | 32 |
| Cherkaska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 12 | 1 | 26 | 0 | 39 | 39 | 39 |
| Chernihivska | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 2 | 2 | 21 | 0 | 25 | 25 | 25 |
| Chernivetska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 3 | 3 | 18 | 0 | 24 | 24 | 20 |
| Dnipropetrovska | 39 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 2 | 0 | 37 | 0 | 39 | 39 | 38 |
| Donetska | 42 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 42 | 6 | 1 | 34 | 1 | 42 | 38 | 38 |
| I-Frankivska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | 10 | 7 | 17 | 2 | 36 | 36 | 36 |
| Kharkivska | 26 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 3 | 0 | 22 | 1 | 26 | 24 | 24 |
| Khersonska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 2 | 9 | 21 | 0 | 32 | 32 | 32 |
| Khmelnytska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 2 | 2 | 28 | 0 | 32 | 32 | 32 |
| Kirovohradska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 2 | 1 | 30 | 0 | 33 | 33 | 33 |
| Kyivska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 6 | 0 | 18 | 0 | 24 | 24 | 24 |
| Luhanska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 8 | 1 | 27 | 1 | 37 | 37 | 37 |
| Lvivska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 38 | 2 | 2 | 33 | 1 | 38 | 38 | 31 |
| Mykolaivska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 2 | 8 | 29 | 0 | 39 | 38 | 38 |
| Odeska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 4 | 5 | 21 | 0 | 30 | 30 | 30 |
| Poltavska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 1 | 8 | 24 | 0 | 33 | 33 | 33 |
| Rivnenska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 4 | 2 | 26 | 0 | 32 | 32 | 32 |
| Sumska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 3 | 14 | 21 | 0 | 38 | 38 | 38 |
| Ternopilska | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 2 | 1 | 33 | 1 | 37 | 37 | 36 |
| Vinnytska | 33 | 1 | 0 | 0 | 1 | 0 | 1 | 1 | 0 | 29 | 3 | 3 | 23 | 0 | 29 | 29 | 26 |
| Volynska | 41 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 41 | 12 | -2 | 31 | 0 | 41 | 41 | 41 |
| Zakarpatska | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 4 | 2 | 31 | 0 | 37 | 37 | 37 |
| Zaporizka | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 0 | 12 | 25 | 0 | 37 | 37 | 37 |
| Zhytomyrska | 24 | 0 | 1 | -1 | 0 | 0 | 0 | 0 | 0 | 24 | 6 | 2 | 16 | 0 | 24 | 24 | 24 |
| **Total** | **836** | **1** | **1** | **-1** | **1** | **0** | **1** | **2** | **1** | **836** | **104** | **88** | **637** | **7** | **836** | **829** | **813** |

Annex – VI (A)

**Micro-projects: Progress in 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **MPPs Approved by CBA** | **Cost Sharing of Approved MPs ( thousands, UAH)** | | | | | | | **Sectoral Distribution of Approved MPPs** | | | | | **Beneficiary Population (thousands)** | | | **Beneficiary Institution** | | |
| **COs** | **VC/CC** | **Rayon Authority** | **Regional Authority** | **Private sector** | **CBA** | **Total** | **Health** | **Energy saving** | **Water Supply** | **Environment** | **Total** | **Total** | **Male** | **Female** | **Hospitals** | **Schools/kindergartens** | **Community** |
| ARC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Cherkaska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Chernihivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Chernivetska | 2 | 18154 |  | 145637 |  |  | 153300 | 317091 |  | 2 |  |  | 2 | 5433 | 2564 | 2869 | 2 |  |  |
| Dnipropetrovska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Donetska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| I-Frankivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Kharkivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Khersonska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Khmelnytska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Kirovohradska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Kyivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Luhanska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Lvivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Mykolaivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Odeska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Poltavska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Rivnenska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Sumska | 1 | 8410 | 15000 | 55615 | 7846 |  | 81250 | 168121 |  | 1 |  |  | 1 | 2340 | 1123 | 1217 | 1 |  |  |
| Ternopilska |  |  |  |  |  |  |  |  |  |  |  |  |  | 725 | 317 | 408 |  |  |  |
| Vinnytska | 6 | 106766 | 347943 | 700470 |  | 45885 | 384230 | 1585294 | 1 | 5 |  |  | 6 | 3829 | 1694 | 2135 | 2 | 2 | 2 |
| Volynska | 1 | 8291 | 19250 | 56250 |  |  | 81000 | 164791 |  | 1 |  |  | 1 | 725 | 317 | 408 | 1 |  |  |
| Zakarpatska | 1 | 6779 | 5609 | 55400 |  |  | 67790 | 135578 |  | 1 |  |  | 1 | 420 | 157 | 263 | 1 |  |  |
| Zaporizka |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Zhytomyrska | 8 | 67160 | 185752 | 398882 |  |  | 639673 | 1291467 | 1 | 7 |  |  | 8 | 9189 | 4062 | 5127 | 5 | 2 | 1 |
| **Total** | **19** | **215560** | **573554** | **1412254** | **7846** | **45885** | **1407243** | **3662342** | **2** | **17** | **0** | **0** | **19** | **22661** | **10234** | **12427** | **12** | **4** | **3** |

Annex – VI (B)

**Regular micro-projects: Status as of 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Target number of MPPs** | **MPPs Approved by CBA** | **Cost Sharing of Approved MPs ( thousands, UAH)** | | | | | | | **Sectoral Distribution of Approved MPPs** | | | | | **Beneficiary Population(thousands)** | | | **Beneficiary Institution** | | |
| **COs** | **VC/CC** | **Rayon Authority** | **Regional Authority** | **Private sector** | **CBA** | **Total** | **Health** | **Energy saving** | **Water Supply** | **Environment** | **Total** | **Total** | **Male** | **Female** | **Hospitals** | **Schools/kindergartens** | **Community** |
| ARC | 32 | 28 | 354901 | 1137321 | 1097651 | 161229 | 30764 | 2123936 | 4905802 | 3 | 21 | 4 |  | 28 | 37974 | 17805 | 20169 | 3 | 22 | 3 |
| Cherkaska | 38 | 28 | 228204 | 716190 | 326185 | 125232 | 166058 | 1908858 | 3470727 | 14 | 13 | 1 |  | 28 | 27285 | 12633 | 14652 | 2 | 15 | 11 |
| Chernihivska | 25 | 17 | 360755 | 54424 | 1665475 | 0 | 0 | 1424845 | 3505499 | 3 | 11 | 3 |  | 17 | 31893 | 14659 | 17234 | 2 | 13 | 2 |
| Chernivetska | 24 | 23 | 197286 | 47976 | 1169881 | 0 | 0 | 1230780 | 2645923 | 2 | 18 | 3 |  | 23 | 23381 | 11071 | 12310 | 4 | 16 | 3 |
| Dnipropetrovska | 39 | 27 | 276542 | 866035 | 863705 | 944796 | 103005 | 2224439 | 5278522 | 3 | 23 | 1 |  | 27 | 39416 | 18122 | 21294 | 1 | 24 | 2 |
| Donetska | 42 | 40 | 340116 | 1401496 | 1739652 | 0 | 50000 | 3102445 | 6633709 | 6 | 31 | 2 | 1 | 40 | 77782 | 35704 | 42078 | 5 | 29 | 6 |
| I-Frankivska | 36 | 31 | 352246 | 165684 | 2466331 | 0 | 0 | 2377002 | 5361263 | 6 | 21 | 4 |  | 31 | 45434 | 19268 | 26166 | 2 | 24 | 5 |
| Kharkivska | 26 | 22 | 232827 | 368668 | 1140812 | 0 | 34157 | 1490008 | 3266472 | 4 | 15 | 1 | 2 | 22 | 20534 | 10204 | 10330 | 3 | 15 | 4 |
| Khersonska | 32 | 28 | 250833 | 1313679 | 742178 | 0 | 0 | 2161039 | 4467729 | 2 | 17 | 9 |  | 28 | 22670 | 10207 | 12463 | 9 | 17 | 2 |
| Khmelnytska | 32 | 26 | 506346 | 352324 | 2628050 | 0 | 251670 | 2013634 | 5752024 | 2 | 22 | 2 |  | 26 | 18145 | 8168 | 9977 | 2 | 22 | 2 |
| Kirovohradska | 33 | 34 | 355910 | 765858 | 1619400 | 0 | 262179 | 2542997 | 5546344 | 1 | 32 | 1 |  | 34 | 45922 | 20308 | 25614 | 2 | 30 | 2 |
| Kyivska | 24 | 21 | 190710 | 262967 | 1392859 | 0 | 206875 | 1684877 | 3738288 | 6 | 15 |  |  | 21 | 18716 | 8446 | 10270 | 0 | 16 | 5 |
| Luhanska | 37 | 33 | 447236 | 289459 | 3992193 | 0 | 134187 | 2486236 | 7349311 | 8 | 23 | 1 | 1 | 33 | 40555 | 18906 | 21649 | 2 | 23 | 8 |
| Lvivska | 38 | 35 | 341140 | 724576 | 2284705 | 34000 | 43000 | 2284837 | 5712258 | 2 | 31 | 2 |  | 35 | 38246 | 17218 | 21028 | 2 | 31 | 2 |
| Mykolaivska | 36 | 36 | 668977 | 814770 | 1988767 | 0 | 41688 | 2904136 | 6418338 | 1 | 25 | 10 |  | 36 | 38920 | 17524 | 21396 | 11 | 24 | 1 |
| Odeska | 32 | 26 | 333490 | 580816 | 1370273 | 0 | 0 | 2042723 | 4327302 | 4 | 17 | 5 |  | 26 | 38171 | 16934 | 21237 | 5 | 17 | 4 |
| Poltavska | 33 | 26 | 292871 | 197623 | 1317625 | 1178163 | 26170 | 2024271 | 5036723 | 1 | 17 | 8 |  | 26 | 19620 | 9024 | 10596 | 8 | 17 | 1 |
| Rivnenska | 32 | 30 | 339288 | 314488 | 2521108 | 0 | 0 | 2194834 | 5369718 | 2 | 26 | 2 |  | 30 | 34655 | 16359 | 18296 | 4 | 21 | 5 |
| Sumska | 38 | 33 | 1496012 | 1681448 | 1067371 | 334232 | 28464 | 2402250 | 7009777 | 3 | 27 | 13 |  | 33 | 16684 | 7465 | 9219 | 19 | 12 | 2 |
| Ternopilska | 37 | 30 | 591298 | 58212 | 2223177 | 0 | 103841 | 2371385 | 5347913 | 1 | 27 | 1 | 1 | 30 | 23525 | 11286 | 12239 | 2 | 26 | 2 |
| Vinnytska | 33 | 13 | 160088 | 478123 | 1027518 | 0 | 136761 | 785590 | 2588080 | 2 | 5 | 3 |  | 13 | 8222 | 3544 | 4678 | 4 | 7 | 2 |
| Volynska | 41 | 37 | 350556 | 325350 | 1885408 | 726800 | 0 | 2574740 | 5862854 | 8 | 29 |  |  | 37 | 20670 | 9206 | 11464 | 28 | 0 | 9 |
| Zakarpatska | 37 | 32 | 388500 | 1174334 | 2161252 | 0 | 68617 | 2407580 | 6200283 | 2 | 17 | 3 |  | 32 | 54144 | 25537 | 28607 | 5 | 22 | 5 |
| Zaporizka | 36 | 19 | 248789 | 498239 | 1055061 | 0 | 306738 | 1514564 | 3623391 | 2 | 8 | 9 |  | 19 | 29952 | 13673 | 16279 | 6 | 11 | 2 |
| Zhytomyrska | 24 | 18 | 166418 | 206571 | 1403508 | 0 | 0 | 1431543 | 3208040 | 4 | 12 | 2 |  | 18 | 15953 | 7160 | 8793 | 5 | 10 | 3 |
| **Total** | **837\*** | **693** | **9471339** | **14796631** | **41150145** | **3504452** | **1994174** | **51709549** | **122626290** | **92** | **506** | **90** | **5** | **693** | **788469** | **360431** | **428038** | **136** | **464** | **93** |

**\*** Includes 130 standard type EE-MP and 15 replication type EE-MPs

Annex – VI (C)

**Micro-project Completion (regular)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Progress During 2nd Quarter 2013** | | | | **Approved MPPs** | **Total Since Inception** | | | |
| **Operation and maintaince fund created** | **Works done** | **Public audit held** | **Handover** | **Operation and maintaince fund created** | **Works done** | **Public audit held** | **Handover** |
| ARC | 0 | 0 | 0 | 0 | 28 | 28 | 24 | 24 | 24 |
| Cherkaska | 0 | 5 | 5 | 5 | 28 | 37 | 28 | 29 | 29 |
| Chernihivska | 0 | 2 | 2 | 3 | 17 | 20 | 5 | 5 | 3 |
| Chernivetska | 0 | 6 | 0 | 2 | 23 | 15 | 11 | 0 | 2 |
| Dnipropetrovska | 0 | 12 | 6 | 18 | 27 | 27 | 32 | 15 | 20 |
| Donetska | 0 | 0 | 12 | 0 | 40 | 35 | 36 | 36 | 36 |
| I-Frankivska | 9 | 6 | 6 | 6 | 31 | 15 | 23 | 23 | 23 |
| Kharkivska | 7 | 9 | 7 | 6 | 22 | 22 | 25 | 21 | 2 |
| Khersonska | 4 | 12 | 1 | 5 | 28 | 21 | 31 | 20 | 24 |
| Khmelnytska | 0 | 7 | 2 | 3 | 26 | 27 | 13 | 8 | 7 |
| Kirovohradska | 0 | 0 | 0 | 3 | 34 | 33 | 33 | 33 | 30 |
| Kyivska | 0 | 4 | 3 | 4 | 21 | 3 | 17 | 16 | 17 |
| Luhanska | 1 | 1 | 6 | 4 | 33 | 29 | 30 | 31 | 30 |
| Lvivska | 0 | 2 | 0 | 2 | 35 | 7 | 2 | 0 | 2 |
| Mykolaivska | 0 | 1 | 2 | 6 | 36 | 37 | 30 | 34 | 30 |
| Odeska | 0 | 19 | 6 | 6 | 26 | 41 | 20 | 17 | 7 |
| Poltavska | 0 | 4 | 4 | 4 | 26 | 26 | 16 | 18 | 19 |
| Rivnenska | 0 | 3 | 0 | 3 | 30 | 28 | 28 | 27 | 28 |
| Sumska | 6 | 0 | 3 | 11 | 33 | 31 | 29 | 18 | 23 |
| Ternopilska | 1 | 4 | 0 | 7 | 30 | 20 | 22 | 26 | 27 |
| Vinnytska | 4 | 2 | 3 | 7 | 13 | 2 | 2 | 1 | 2 |
| Volynska | 0 | 4 | 9 | 10 | 37 | 37 | 35 | 26 | 26 |
| Zakarpatska | 0 | 3 | 5 | 5 | 32 | 32 | 22 | 17 | 17 |
| Zaporizka | 0 | 3 | 3 | 5 | 19 | 23 | 23 | 23 | 20 |
| Zhytomyrska | 0 | 2 | 0 | 0 | 18 | 21 | 2 | 0 | 0 |
| **Total** | **32** | **111** | **85** | **125** | **693** | **617** | **539** | **468** | **448** |

Annex – VIII (A)

**Establishing Partnership (Replication): in 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Rayons selection** | | | **PA signed by rayons** | **Rayon seminars** | **VC/CC selection** | | | **VC/CC level seminar** | **PA signed by VC/CC** | **Community selection** | | | **CO Formation** | | | | | | **LDF** | | | | **RCRC** | | |
| **# of rayon selected** | **CBA-I rayons** | **New rayons** | **# of VC/CC selected** | **CBA-I VC/CC** | **New VC/CC** | **Community selected** | **CBA-I communities** | **New communities** | **COs formed/grafted** | **COs enrolled at VC/CC** | **CO legally registered** | **Target HH** | **Participated HHs** | **Total Members (`000)** | **LDF grafted from CBA-I** | **New LDF formed** | **Total LDF** | **LDF sittings** | **RCRC grafted** | **New RCRC** | **Total** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkasy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Donetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | -1 | -1 | 0 | -1 | -1 | -1 | 2 | 803 | 739 | 739 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I-Frankivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 11 | 11 | 4098 | 4098 | 2798 | 0 | 0 | 0 | 5 | 0 | 0 | 0 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 5 | 5 | 1423 | 1327 | 1923 | 0 | 1 | 1 | 3 | 0 | 1 | 1 |
| Poltavska | 2 | 0 | 2 | 0 | 0 | 3 | -2 | 5 | 0 | 2 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | -2 | 1 | -1 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 219 | 202 | 202 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| Zaporizka | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **2** | **0** | **2** | **0** | **1** | **12** | **-2** | **14** | **0** | **2** | **1** | **0** | **1** | **19** | **19** | **19** | **6543** | **6366** | **5662** | **0** | **2** | **2** | **45** | **-2** | **2** | **0** |

Annex – VIII(B)

**Establishing Partnership (Replication): as of 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Allocated quota** | **Rayons selection** | | | **PA signed by rayons** | **Rayon seminars** | **VC/CC selection** | | | **VC/CC level seminar** | **PA signed by VC/CC** | **Community selection** | | | **CO Formation** | | | | | | **LDF** | | | | **RCRC** | | |
| **# of rayon selected** | **CBA-I rayons** | **New rayons** | **# of VC/CC selected** | **CBA-I VC/CC** | **New VC/CC** | **Community selected** | **CBA-I communities** | **New communities** | **COs formed/grafted** | **COs enrolled at VC/CC** | **CO legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **LDF grafted from CBA-I** | **New LDF formed** | **Total LDF** | **LDF sittings** | **RCRC grafted** | **New RCRC** | **Total** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 4 | 4 | 2 | 2 | 4 | 4 | 16 | 5 | 11 | 16 | 16 | 16 | 5 | 11 | 16 | 16 | 16 | 4500 | 4050 | 4050 | 2 | 2 | 4 | 28 | 2 | 2 | 4 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 2 | 2 | 2 | 0 | 2 | 2 | 4 | 0 | 4 | 4 | 4 | 8 | 0 | 8 | 4 | 4 | 4 | 3367 | 3367 | 3367 | 0 | 2 | 2 | 2 | 0 | 0 | 0 |
| Dnipropetrovska | 4 | 4 | 1 | 3 | 4 | 4 | 18 | 1 | 17 | 18 | 18 | 18 | 1 | 17 | 18 | 18 | 18 | 5839 | 4762 | 9489 | 1 | 3 | 4 | 12 | 1 | 3 | 4 |
| Donetska | 2 | 1 | 1 | 0 | 1 | 1 | 8 | 1 | 7 | 8 | 7 | 7 | 0 | 7 | 7 | 7 | 7 | 2786 | 2431 | 2431 | 1 | 0 | 1 | 4 | 1 | 0 | 1 |
| I-Frankivska | 4 | 4 | 3 | 1 | 4 | 4 | 16 | 0 | 16 | 16 | 16 | 16 | 2 | 14 | 16 | 16 | 16 | 8506 | 6806 | 6806 | 3 | 1 | 4 | 12 | 3 | 1 | 4 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 2 | 2 | 1 | 1 | 2 | 2 | 9 | 4 | 5 | 9 | 9 | 9 | 4 | 5 | 9 | 9 | 9 | 3844 | 2145 | 3506 | 0 | 1 | 1 | 6 | 1 | 1 | 2 |
| Khmelnytska | 2 | 2 | 0 | 2 | 2 | 2 | 8 | 0 | 8 | 8 | 8 | 8 | 0 | 8 | 8 | 8 | 8 | 2231 | 1785 | 1785 | 0 | 2 | 2 | 1 | 0 | 1 | 1 |
| Kirovohradska | 4 | 4 | 1 | 3 | 4 | 4 | 16 | 2 | 14 | 17 | 17 | 16 | 2 | 14 | 3 | 3 | 3 | 2557 | 641 | 657 | 1 | 3 | 4 | 16 | 1 | 3 | 4 |
| Kyivska | 2 | 2 | 2 | 0 | 2 | 2 | 8 | 2 | 6 | 8 | 8 | 8 | 2 | 6 | 8 | 8 | 8 | 2290 | 2290 | 2290 | 2 | 0 | 2 | 10 | 2 | 0 | 2 |
| Luhanska | 4 | 4 | 2 | 2 | 4 | 4 | 16 | 5 | 11 | 16 | 16 | 16 | 4 | 12 | 16 | 16 | 16 | 7061 | 5681 | 8203 | 2 | 2 | 4 | 16 | 2 | 2 | 4 |
| Lvivska | 4 | 4 | 0 | 4 | 4 | 4 | 16 | 0 | 16 | 16 | 16 | 16 | 0 | 16 | 16 | 16 | 16 | 6186 | 5808 | 4508 | 0 | 4 | 4 | 11 | 0 | 4 | 4 |
| Mykolaivska | 3 | 3 | 0 | 3 | 3 | 3 | 12 | 0 | 12 | 12 | 12 | 12 | 0 | 12 | 12 | 12 | 12 | 4593 | 3782 | 3856 | 0 | 3 | 3 | 8 | 0 | 3 | 3 |
| Odeska | 3 | 3 | 2 | 1 | 3 | 3 | 12 | 6 | 6 | 12 | 11 | 12 | 4 | 8 | 9 | 9 | 9 | 1423 | 1327 | 1923 | 2 | 1 | 3 | 5 | 2 | 1 | 3 |
| Poltavska | 3 | 5 | 1 | 4 | 5 | 5 | 15 | 1 | 14 | 12 | 14 | 14 | 3 | 11 | 11 | 11 | 11 | 1950 | 1638 | 5150 | 1 | 2 | 3 | 12 | 1 | 2 | 3 |
| Rivnenska | 3 | 2 | 1 | 1 | 2 | 2 | 8 | 4 | 4 | 8 | 8 | 8 | 4 | 4 | 4 | 4 | 4 | 903 | 838 | 838 | 2 | 1 | 3 | 10 | 2 | 1 | 3 |
| Sumska | 3 | 2 | 2 | 0 | 2 | 2 | 8 | 6 | 2 | 9 | 8 | 8 | 6 | 2 | 8 | 8 | 8 | 1509 | 1449 | 1449 | 3 | 0 | 3 | 16 | 3 | 0 | 3 |
| Ternopilska | 4 | 4 | 2 | 2 | 4 | 4 | 16 | 2 | 14 | 17 | 17 | 17 | 2 | 15 | 18 | 18 | 18 | 4368 | 4112 | 5092 | 2 | 1 | 3 | 15 | 1 | 1 | 2 |
| Vinnytska | 0 | 2 | 0 | 2 | 2 | 2 | 9 | 0 | 9 | 0 | 0 | 4 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 4 | 4 | 2 | 2 | 4 | 4 | 16 | 4 | 12 | 16 | 16 | 16 | 4 | 12 | 16 | 12 | 12 | 1300 | 1257 | 1369 | 0 | 0 | 0 | 4 | 2 | 2 | 4 |
| Zakarpatska | 2 | 2 | 1 | 1 | 2 | 2 | 8 | 1 | 7 | 8 | 2 | 8 | 1 | 7 | 5 | 5 | 5 | 2220 | 1780 | 5688 | 1 | 1 | 2 | 8 | 1 | 1 | 2 |
| Zaporizka | 3 | 3 | 0 | 3 | 3 | 3 | 12 | 0 | 12 | 12 | 12 | 12 | 0 | 12 | 12 | 12 | 12 | 1350 | 970 | 1840 | 0 | 3 | 3 | 15 | 0 | 3 | 3 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **62** | **63** | **26** | **37** | **63** | **63** | **251** | **44** | **207** | **242** | **235** | **249** | **44** | **205** | **216** | **212** | **212** | **68783** | **56919** | **74297** | **23** | **32** | **55** | **211** | **25** | **31** | **56** |

Annex – IX(A)

**Replication component Capacity building: in 2ndQuarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **CDPs** | | | **Training** | | | | | | | | | | | | | | | | | | | |
| **COs with CDP** | **CDP approved at VC/CC** | **CDPs approved at LDF** | **No. of Trainings** | **Type of Training** | | | | | | | | | **Participants (with repetition)** | | | | | **Participants (without repetition)** | | | | |
| **CO Management** | **Financial Mgmt.** | **Planning** | **MP - Preparation** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 37 | 54 | 91 | 77 | 14 | 15 | 22 | 37 | 31 | 6 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 0 | 0 | 0 | 17 | 0 | 0 | 0 | 5 | 0 | 4 | 4 | 4 | 0 | 14 | 66 | 80 | 48 | 32 | 6 | 26 | 32 | 19 | 13 |
| Donetska | 2 | 2 | 2 | 5 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 27 | 99 | 126 | 106 | 20 | 11 | 40 | 51 | 42 | 9 |
| I-Frankivska | 7 | 7 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 15 | 27 | 42 | 29 | 13 | 6 | 11 | 17 | 12 | 5 |
| Khmelnytska | 4 | 4 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 17 | 30 | 16 | 14 | 5 | 7 | 12 | 6 | 6 |
| Kirovohradska | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 22 | 34 | 56 | 50 | 6 | 9 | 14 | 23 | 20 | 2 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 2 | 18 | 20 | 15 | 5 | 1 | 7 | 8 | 6 | 2 |
| Lvivska | 14 | 14 | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 13 | 24 | 37 | 32 | 5 | 5 | 10 | 15 | 13 | 2 |
| Odeska | 5 | 5 | 5 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 8 | 24 | 32 | 28 | 4 | 3 | 10 | 13 | 11 | 2 |
| Poltavska | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Rivnenska | 8 | 8 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 3 | 3 | 5 | 3 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 20 | 18 | 38 | 26 | 12 | 8 | 7 | 15 | 10 | 5 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | -4 | -4 | 16 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 13 | 21 | 18 | 3 | 3 | 5 | 8 | 7 | 1 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zaporizka | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 9 | 28 | 37 | 25 | 12 | 4 | 11 | 15 | 10 | 6 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **47** | **39** | **57** | **45** | **0** | **0** | **6** | **15** | **1** | **5** | **5** | **9** | **4** | **188** | **422** | **610** | **470** | **140** | 76 | 170 | 246 | 187 | 59 |

Annex – IX (B)

**Replication component Capacity building: as of 2ndQuarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **CDPs** | | | **Training** | | | | | | | | | | | | | | | | | | | |
| **COs with CDPs** | **CDPs approved at VC** | **CDPs approved at LDF** | **No. of Trainings** | **Type of Training** | | | | | | | | | **Participants (with repetition)** | | | | | **Participants (without repetition)** | | | | |
| **CO Management** | **Financial Mgmt.** | **Planning** | **MP - Preparation** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 16 | 16 | 16 | 32 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 0 | 78 | 113 | 191 | 148 | 43 | 31 | 45 | 76 | 59 | 17 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 8 | 8 | 8 | 4 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 12 | 11 | 23 | 10 | 13 | 5 | 4 | 8,8 | 4 | 5 |
| Dnipropetrovska | 18 | 18 | 18 | 29 | 2 | 2 | 2 | 5 | 4 | 5 | 5 | 4 | 0 | 33 | 141 | 174 | 116 | 58 | 13 | 56 | 69,2 | 46 | 23 |
| Donetska | 7 | 7 | 7 | 12 | 2 | 2 | 2 | 1 | 2 | 1 | 1 | 1 | 0 | 67 | 204 | 271 | 218 | 53 | 27 | 82 | 109 | 87 | 21 |
| I-Frankivska | 16 | 16 | 16 | 12 | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 34 | 66 | 100 | 96 | 4 | 14 | 26 | 40 | 38 | 2 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 9 | 9 | 9 | 14 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 0 | 0 | 86 | 126 | 212 | 122 | 90 | 34 | 50 | 84 | 49 | 36 |
| Khmelnytska | 8 | 8 | 0 | 7 | 2 | 2 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 40 | 57 | 97 | 56 | 41 | 16 | 23 | 39 | 22 | 16 |
| Kirovohradska | 16 | 16 | 16 | 23 | 3 | 4 | 8 | 0 | 4 | 0 | 0 | 0 | 4 | 140 | 200 | 340 | 285 | 55 | 56 | 80 | 136 | 114 | 22 |
| Kyivska | 8 | 8 | 8 | 11 | 2 | 2 | 1 | 2 | 2 | 2 | 0 | 0 | 0 | 75 | 114 | 189 | 161 | 28 | 30 | 46 | 76 | 64 | 11 |
| Luhanska | 16 | 16 | 16 | 28 | 4 | 4 | 4 | 8 | 4 | 4 | 0 | 0 | 0 | 33 | 124 | 157 | 124 | 33 | 13 | 50 | 63 | 50 | 13 |
| Lvivska | 16 | 16 | 16 | 11 | 5 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 73 | 105 | 178 | 96 | 82 | 29 | 42 | 71 | 38 | 33 |
| Mykolaivska | 16 | 12 | 12 | 10 | 3 | 3 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 111 | 143 | 254 | 225 | 29 | 44 | 57 | 101 | 90 | 12 |
| Odeska | 6 | 6 | 6 | 13 | 3 | 3 | 3 | 1 | 0 | 3 | 0 | 0 | 0 | 32 | 84 | 116 | 93 | 23 | 13 | 34 | 47 | 37 | 9 |
| Poltavska | 10 | 5 | 1 | 15 | 3 | 3 | 3 | 0 | 3 | 3 | 0 | 0 | 0 | 170 | 170 | 340 | 259 | 81 | 68 | 68 | 136 | 104 | 32 |
| Rivnenska | 11 | 8 | 8 | 12 | 6 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 97 | 127 | 224 | 182 | 42 | 39 | 51 | 90 | 73 | 17 |
| Sumska | 8 | 8 | 8 | 3 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 34 | 41 | 75 | 64 | 11 | 14 | 16 | 30 | 26 | 5 |
| Ternopilska | 16 | 15 | 15 | 17 | 2 | 2 | 6 | 0 | 3 | 2 | 1 | 1 | 0 | 97 | 154 | 251 | 173 | 78 | 39 | 62 | 101 | 69 | 32 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 16 | 16 | 16 | 12 | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 61 | 87 | 148 | 109 | 39 | 24 | 35 | 59 | 44 | 16 |
| Zakarpatska | 9 | 7 | 3 | 6 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 54 | 72 | 126 | 108 | 18 | 22 | 29 | 51 | 43 | 8 |
| Zaporizka | 12 | 12 | 12 | 37 | 4 | 4 | 4 | 5 | 4 | 4 | 4 | 4 | 4 | 412 | 693 | 1105 | 760 | 345 | 165 | 277 | 442 | 304 | 138 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **242** | **227** | **211** | **308** | **58** | **59** | **58** | **30** | **32** | **32** | **17** | **14** | **8** | **1739** | **2832** | **4571** | **3405** | **1166** | **696** | **1133** | **1829** | **1361** | **468** |

Annex – X (A)

**Replication micro-projects: Progress in 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **MPPs Approved by CBA** | **Cost Sharing of Approved MPs** | | | | | | | **Sectoral Distribution of Approved MPPs** | | | | | **Beneficiary Population** | | | **Beneficiary Institution** | | |
| **COs** | **VC/CC** | **Rayon Authority** | **Regional Authority** | **Private sector** | **CBA** | **Total** | **Health** | **Energy saving** | **Water Supply** | **Environment** | **Total** | **Total** | **Male** | **Female** | **Schools/kindergartens** | **Hospitals** | **Community** |
| ARC | 0 |  |  |  |  |  |  |  |  |  |  |  | 0 |  |  |  |  |  |  |
| Cherkaska | 0 |  |  |  |  |  |  |  |  |  |  |  | 0 |  |  |  |  |  |  |
| Chernihivska | 0 |  |  |  |  |  |  |  |  |  |  |  | 0 |  |  |  |  |  |  |
| Chernivetska | 4 | 23770 |  | 214139 |  |  | 59477 | 297386 |  | 4 |  |  | 4 | 11392 | 5507 | 5885 |  | 4 |  |
| Dnipropetrovska | 2 | 16035 | 57492 | 68451 | 107500 |  | 41700 | 291178 |  | 1 | 1 |  | 2 | 2046 | 876 | 1170 | 1 | 1 |  |
| Donetska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| I-Frankivska | 8 | 40413 |  | 608862 |  |  | 158937 | 808212 | 1 | 6 | 1 |  | 8 | 8600 | 3990 | 4610 | 7 | 1 |  |
| Kharkivska | 0 |  |  |  |  |  |  |  |  |  |  |  | 0 |  |  |  |  |  |  |
| Khersonska | 0 |  |  |  |  |  |  |  |  |  |  |  | 0 |  |  |  |  |  |  |
| Khmelnytska | 0 |  |  |  |  |  |  | 0 |  |  |  |  | 0 |  |  |  |  |  |  |
| Kirovohradska | 9 | 45664 | 310102 | 279062 | 0 | 79358 | 169112 | 883298 | 1 | 8 |  |  | 9 | 9446 | 4363 | 5083 | 8 |  | 1 |
| Kyivska | 3 | 20560 | 0 | 248909 | 0 |  | 62000 | 331469 |  | 3 |  |  | 3 | 1341 | 603 | 738 | 3 |  |  |
| Luhanska | 0 |  |  |  |  |  |  |  |  |  |  |  | 0 |  |  |  |  |  |  |
| Lvivska | 10 | 102761 | 177764 | 424999 | 374000 | 22113 | 229825 | 1331462 |  | 10 |  |  | 10 | 16763 | 7699 | 9064 | 8 | 2 |  |
| Mykolaivska | 0 |  |  |  |  |  |  |  |  |  |  |  | 0 |  |  |  |  |  |  |
| Odeska | 2 | 8924 | 396 | 116000 |  |  | 31780 | 157100 |  | 2 |  |  | 2 | 5267 | 2637 | 2630 | 2 |  |  |
| Poltavska | 3 | 32663 | 188923 | 259770 | 109500 |  | 62400 | 653256 |  | 2 | 1 |  | 3 | 2660 | 1237 | 1423 | 2 | 1 |  |
| Rivnenska | 3 | 15500 | 97618 | 134114 | 0 |  | 61580 | 308812 |  | 3 |  |  | 3 | 1078 | 529 | 549 | 3 |  |  |
| Sumska | 4 | 129339 | 233722 | 175259 | 45441 |  | 81419 | 665180 | 1 | 2 | 1 |  | 4 | 1959 | 940 | 1019 | 1 | 1 | 2 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 |  | 0 | 0 |  | 0 |  |  | 0 | 0 | 0 | 0 | 0 |  |  |
| Vinnytska | 0 |  |  |  |  |  |  | 0 |  |  |  |  | 0 |  |  |  |  |  |  |
| Volynska | 6 | 31582 | 0 | 223883 | 234000 |  | 122223 | 611688 |  | 6 |  |  | 6 | 3565 | 1749 | 1816 | 5 | 1 |  |
| Zakarpatska | 2 | 14025 | 117473 | 104074 | 0 |  | 41753 | 277325 | 1 | 1 |  |  | 2 | 1196 | 558 | 638 | 1 |  | 1 |
| Zaporizka | 3 | 29012 | 154107 | 209833 |  | 15100 | 63320 | 471372 |  | 1 | 2 |  | 3 | 1517 | 681 | 836 |  | 3 |  |
| Zhytomyrska | 0 |  |  |  |  |  |  | 0 |  |  |  |  | 0 |  |  |  |  |  |  |
| **Total** | **59** | **510248** | **1337597** | **3067355** | **870441** | **116571** | **1185526** | **7087738** | **4** | **49** | **6** | **0** | **59** | **66830** | **31369** | **35461** | **41** | **14** | **4** |

Annex - X(B)

**Replication: micro-projects: Status as of 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **MPPs Approved by CBA** | **Cost Sharing of Approved MPs** | | | | | | | **Sectoral Distribution of Approved MPPs** | | | | | **Beneficiary Population** | | | **Beneficiary Institution** | | |
| **COs** | **VC/CC** | **Rayon Authority** | **Regional Authority** | **Private sector** | **CBA** | **Total** | **Health** | **Energy saving** | **Water Supply** | **Environment** | **Total** | **Total** | **Male** | **Female** | **Schools/kindergartens** | **Hospitals** | **Community** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 8 | 51286 | 378437 | 149110 | 58407 | 17000 | 157642 | 811882 | 3 | 5 |  |  | 8 | 11212 | 5086 | 6126 | 5 | 0 | 3 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 4 | 23770 | 0 | 214139 | 0 | 0 | 59477 | 297386 |  | 4 |  |  | 4 | 11392 | 5507 | 5885 | 0 | 4 | 0 |
| Dnipropetrovska | 16 | 130137 | 545192 | 236790 | 833757 | 0 | 317485 | 2063361 | 1 | 14 | 1 |  | 16 | 20052 | 9173 | 10879 | 13 | 2 | 1 |
| Donetska | 6 | 18921 | 208376 | 75448 | 0 | 0 | 75685 | 378430 | 1 | 5 |  |  | 6 | 5735 | 2608 | 3127 | 5 | 1 | 0 |
| I-Frankivska | 14 | 127663 | 0 | 1102514 | 60000 | 0 | 269383 | 1559560 | 1 | 12 | 1 |  | 14 | 16479 | 7683 | 8796 | 11 | 3 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 5 | 33000 | 0 | 312000 | 0 | 0 | 83200 | 428200 |  | 5 |  |  | 5 | 8044 | 3746 | 4298 | 5 | 0 | 0 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kirovohradska | 10 | 52139 | 370102 | 319062 | 0 | 79358 | 190307 | 1010968 | 1 | 9 |  |  | 10 | 10238 | 4680 | 5558 | 9 | 0 | 1 |
| Kyivska | 7 | 35994 | 0 | 474321 | 0 | 0 | 121871 | 632186 |  | 7 |  |  | 7 | 4213 | 1837 | 2376 | 6 | 1 | 0 |
| Luhanska | 12 | 127119 | 260985 | 1209662 | 0 | 0 | 240730 | 1838496 | 3 | 8 |  | 1 | 12 | 10960 | 5121 | 5839 | 6 | 3 | 3 |
| Lvivska | 11 | 107408 | 177764 | 452129 | 408000 | 22113 | 246222 | 1413636 |  | 9 | 1 | 1 | 11 | 17226 | 7908 | 9318 | 8 | 2 | 1 |
| Mykolaivska | 3 | 26567 | 12026 | 415240 | 0 | 0 | 62989 | 516822 | 1 |  | 2 |  | 3 | 4299 | 1999 | 2300 | 0 | 2 | 1 |
| Odeska | 2 | 8924 | 396 | 116000 | 0 | 0 | 31780 | 157100 |  | 2 |  |  | 2 | 5267 | 2637 | 2630 | 2 | 0 | 0 |
| Poltavska | 5 | 45639 | 223923 | 358836 | 181222 | 0 | 103300 | 912920 | 1 | 3 | 1 |  | 5 | 5278 | 2414 | 2864 | 3 | 1 | 1 |
| Rivnenska | 3 | 15500 | 97618 | 134114 | 0 | 0 | 61580 | 308812 |  | 3 |  |  | 3 | 1078 | 529 | 549 | 3 | 0 | 0 |
| Sumska | 7 | 149679 | 362683 | 334349 | 77445 | 0 | 143896 | 1068052 | 2 | 4 | 1 |  | 7 | 3726 | 1764 | 1962 | 3 | 2 | 2 |
| Ternopilska | 11 | 157300 | 11830 | 697034 | 0 | 109384 | 289762 | 1265310 | 1 | 10 |  |  | 11 | 10460 | 5096 | 5364 | 9 | 0 | 2 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 6 | 31582 | 0 | 223883 | 234000 | 0 | 122223 | 611688 |  | 6 |  |  | 6 | 3565 | 1749 | 1816 | 5 | 1 | 0 |
| Zakarpatska | 2 | 14025 | 117473 | 104074 | 0 | 0 | 41753 | 277325 | 1 | 1 |  |  | 2 | 1196 | 558 | 638 | 1 | 0 | 1 |
| Zaporizka | 9 | 69896 | 310254 | 474565 | 0 | 15100 | 169295 | 1039110 | 1 | 4 | 4 |  | 9 | 7965 | 3410 | 4555 | 3 | 5 | 1 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **141** | **1226549** | **3077059** | **7403270** | **1852831** | **242955** | **2788580** | **16591244** | **17** | **111** | **11** | **2** | **141** | **158385** | **73505** | **84880** | **97** | **27** | **17** |

Annex – X (C)

**Replication component: Micro-project Completion**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Progress During 2nd Quarter 2013** | | | | **Total Since Inception** | | | |
| **Operation and maintaince fund created** | **Works done** | **Public audit held** | **Handover** | **Operation and maintaince fund created** | **Works done** | **Public audit held** | **Handover** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 0 | 4 | 4 | 4 | 16 | 10 | 10 | 10 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 0 | 0 | 1 | 0 | 17 | 4 | 3 | 4 |
| Donetska | 2 | 0 | 0 | 0 | 7 | 4 | 4 | 4 |
| I-Frankivska | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kirovohradska | 11 | 0 | 0 | 0 | 12 | 0 | 0 | 0 |
| Kyivska | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Luhanska | 0 | 4 | 4 | 4 | 13 | 4 | 4 | 4 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 0 | 2 | 0 | 0 | 3 | 2 | 0 | 0 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Poltavska | 5 | 0 | 0 | 0 | 11 | 0 | 0 | 0 |
| Rivnenska | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Sumska | 5 | 0 | 0 | 0 | 5 | 0 | 0 | 0 |
| Ternopilska | 1 | 2 | 2 | 1 | 1 | 5 | 2 | 1 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zakarpatska | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Zaporizka | 0 | 0 | 0 | 0 | 10 | 2 | 2 | 2 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **24** | **13** | **11** | **9** | **109** | **32** | **25** | **25** |

Annex – XI (A)

**Rural Economic Development Component: progress for 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Community selection** | | | **Cooperative Development** | | | | | | **Training** | | | **Business plans** | | | **Number of MPPs** | | | |
| **CBA-I - II communities** | **New communities** | **Total** | **Cooperatives formed** | **Cooperatives enrolled at VC/CC** | **Cooperatives legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **# of BP** | **BPs approved VC/CC** | **# approved by LDF** | **# MPPs prepared by COs** | **Approved by LDF** | **Sent to PMU** | **Approved for funding by CBA/UNDP** |
| Cherkaska | 0 | 0 | 0 | 1 | 1 | 1 | 317 | 285 | 285 | 4 | 32 | 24 | 1 | 1 | 1 | 1 | 1 | 0 | 0 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 1 | 0 | 1 | 1 | 1 | 1 | 12 | 12 | 12 | 2 | 12 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Donetska | 1 | 0 | 1 | 1 | 1 | 1 | 231 | 88 | 88 | 4 | 86 | 18 | 1 | 0 | 0 | 1 | 1 | 1 | 1 |
| Kirovohradska | 3 | 0 | 3 | 1 | 1 | 1 | 60 | 23 | 23 | 7 | 76 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 2 | 0 | 2 | 2 | 2 | 2 | 505 | 130 | 130 | 3 | 24 | 4 | 2 | 2 | 2 | 2 | 2 | 2 | 1 |
| Sumska | 2 | 0 | 2 | 2 | 2 | 2 | 144 | 114 | 114 | 6 | 54 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 2 | 0 | 2 | 2 | 2 | 2 | 123 | 123 | 123 | 6 | 38 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **11** | **0** | **11** | **11** | **11** | **11** | **1392** | **775** | **775** | **32** | **322** | **90** | **3** | **3** | **3** | **3** | **3** | **2** | **2** |

Annex – XI (B)

**Rural Economic Development Component: status as of 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Community selection** | | | **Cooperative Development** | | | | | | **Training** | | | **Business plans** | | | **Number of MPPs** | | | |
| **CBA-I - II communities** | **New communities** | **Total** | **Cooperatives formed** | **Cooperatives enrolled at VC/CC** | **Cooperatives legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **# of BP** | **BPs approved VC/CC** | **# approved by LDF** | **# MPPs prepared by COs** | **Approved by LDF** | **Sent to PMU** | **Approved for funding by CBA/UNDP** |
| Cherkaska | 0 | 2 | 2 | 2 | 2 | 2 | 317 | 285 | 285 | 8 | 81 | 30 | 2 | 0 | 1 | 1 | 1 | 0 | 0 |
| Chernivetska | 0 | 2 | 2 | 2 | 2 | 2 | 354 | 115 | 115 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 1 | 0 | 1 | 1 | 1 | 0 | 12 | 12 | 12 | 3 | 12 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Donetska | 2 | 0 | 2 | 2 | 2 | 2 | 231 | 88 | 88 | 8 | 111 | 23 | 2 | 2 | 0 | 1 | 1 | 1 | 1 |
| Kirovohradska | 3 | 0 | 3 | 2 | 2 | 2 | 325 | 228 | 228 | 7 | 76 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 2 | 0 | 2 | 2 | 2 | 2 | 505 | 130 | 130 | 6 | 24 | 4 | 2 | 2 | 2 | 2 | 2 | 2 | 1 |
| Sumska | 2 | 0 | 2 | 2 | 2 | 2 | 144 | 114 | 114 | 8 | 70 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 2 | 0 | 2 | 2 | 2 | 2 | 123 | 123 | 123 | 8 | 46 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **12** | **4** | **16** | **15** | **15** | **14** | **2011** | **1095** | **1095** | **48** | **420** | **109** | **6** | **4** | **3** | **4** | **4** | **3** | **2** |

Annex – XII (A)

**Energy Efficiency component: progress in 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **VC/CC selection** | | | **VC/CC level seminar** | **PA signed by VC/CC** | **Community selection** | | | **CO Formation** | | | | | | **Trainings** | | | | | | **CDPs** | | |
| **# of VC/CC selected** | **CBA-I or II VC/CC** | **New VC/CC** | **CBA-I - II communities** | **New communities** | **Total** | **COs formed/grafted** | **COs enrolled at VC/CC** | **CO legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **Total** | **Male** | **Female** | **# of CDPs** | **CDPs approved VC/CC** | **# approved by LDF** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 48 | 11 | 59 | 27 | 32 | 0 | 0 | 0 |
| Cherkaska | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 6 | 6 | 0 | 0 | 0 | 0 | 1 | 20 | 16 | 36 | 12 | 24 | 6 | 6 | 6 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 6 | 6 | 0 | 0 | 0 | 6 | 0 | 6 | 6 | 6 | 6 | 581 | 581 | 581 | 18 | 20 | 4 | 24 | 10 | 14 | 6 | 6 | 6 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 19 | 5 | 24 | 6 | 18 | 0 | 0 | 0 |
| Donetska | 3 | 3 | 0 | 3 | 3 | 0 | 0 | 0 | 5 | 5 | 0 | 5198 | 4272 | 4272 | 13 | 155 | 40 | 195 | 56 | 139 | 3 | 3 | 3 |
| I-Frankivska | 8 | 8 | 0 | 0 | 0 | 8 | 0 | 8 | 8 | 8 | 0 | 812 | 640 | 640 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 2 | 2 | 0 | 0 | 4 | 2 | 4 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 77 | 7 | 84 | 29 | 55 | 0 | 0 | 0 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lvivska | 8 | 6 | 2 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 | 3 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Poltavska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1178 | 993 | 1040 | 1 | 7 | 3 | 10 | 5 | 5 | 0 | 0 | 0 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 42 | 18 | 60 | 25 | 35 | 6 | 6 | 6 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| Vinnytska | 6 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zaporizka | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **33** | **31** | **2** | **17** | **19** | **20\*** | **4** | **24** | **25** | **25** | **6** | **7769** | **6486** | **6533** | **60** | **388** | **104** | **492** | **170** | **322** | **25** | **25** | **25** |

\* communities belong to standard component

Annex – XII (B)

**Energy Efficiency component: status as of 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **VC/CC selection** | | | **VC/CC level seminar** | **PA signed by VC/CC** | **Community selection** | | | **CO Formation** | | | | | | **Trainings** | | | | | | **CDPs** | | |
| **# of VC/CC selected** | **CBA-I or II VC/CC** | **New VC/CC** | **CBA-I - II communities** | **New communities** | **Total** | **COs formed/grafted** | **COs enrolled at VC/CC** | **CO legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **Total** | **Male** | **Female** | **# of CDPs** | **CDPs approved VC/CC** | **# approved by LDF** |
| ARC | 6 | 6 | 0 | 6 | 0 | 6 | 0 | 6 | 6 | 6 | 6 | 2630 | 2375 | 2375 | 14 | 48 | 11 | 59 | 27 | 32 | 6 | 6 | 6 |
| Cherkaska | 6 | 6 | 0 | 6 | 6 | 6 | 0 | 6 | 6 | 6 | 6 | 0 | 0 | 0 | 2 | 40 | 28 | 68 | 25 | 43 | 12 | 12 | 12 |
| Chernihivska | 6 | 5 | 1 | 0 | 0 | 5 | 1 | 6 | 6 | 6 | 6 | 2062 | 1557 | 1557 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 6 | 0 |
| Chernivetska | 6 | 6 | 0 | 6 | 6 | 6 | 0 | 6 | 6 | 6 | 6 | 581 | 581 | 581 | 18 | 20 | 4 | 24 | 10 | 14 | 6 | 6 | 6 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 19 | 5 | 24 | 6 | 18 | 0 | 0 | 0 |
| Donetska | 9 | 9 | 0 | 9 | 9 | 6 | 0 | 6 | 7 | 7 | 7 | 6160 | 5196 | 5196 | 13 | 155 | 40 | 195 | 56 | 139 | 4 | 4 | 4 |
| I-Frankivska | 8 | 8 | 0 | 0 | 0 | 8 | 0 | 8 | 8 | 8 | 8 | 812 | 640 | 640 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 8 | 4 | 4 | 0 | 4 | 2 | 4 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 7 | 7 | 0 | 7 | 7 | 7 | 0 | 7 | 7 | 7 | 7 | 5396 | 4486 | 4776 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 7 | 7 |
| Kirovohradska | 6 | 3 | 3 | 6 | 4 | 3 | 3 | 6 | 6 | 6 | 6 | 4325 | 3853 | 4740 | 10 | 151 | 13 | 164 | 80 | 84 | 6 | 6 | 6 |
| Kyivska | 6 | 5 | 1 | 6 | 6 | 5 | 1 | 6 | 6 | 6 | 6 | 2331 | 1949 | 1949 | 12 | 22 | 8 | 30 | 9 | 21 | 6 | 6 | 6 |
| Luhanska | 6 | 6 | 0 | 6 | 0 | 5 | 0 | 5 | 6 | 6 | 6 | 2854 | 2835 | 5166 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 6 | 6 |
| Lvivska | 8 | 6 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 6 | 4 | 2 | 0 | 0 | 4 | 2 | 6 | 6 | 6 | 6 | 1498 | 1273 | 1373 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 | 3 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Poltavska | 6 | 5 | 1 | 6 | 2 | 5 | 1 | 6 | 6 | 6 | 6 | 1178 | 993 | 1040 | 8 | 19 | 35 | 54 | 32 | 22 | 6 | 6 | 6 |
| Rivnenska | 6 | 0 | 6 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 6 | 6 | 0 | 6 | 6 | 6 | 0 | 6 | 6 | 6 | 6 | 2685 | 2531 | 2531 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 6 | 6 |
| Ternopilska | 6 | 6 | 0 | 6 | 0 | 6 | 0 | 6 | 6 | 6 | 6 | 2451 | 2168 | 2168 | 1 | 14 | 13 | 27 | 17 | 10 | 1 | 1 | 1 |
| Vinnytska | 6 | 6 | 0 | 0 | 0 | 0 | 6 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 4 | 4 | 0 | 0 | 0 | 4 | 0 | 4 | 6 | 6 | 6 | 738 | 738 | 738 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zaporizka | 8 | 8 | 0 | 2 | 8 | 8 | 0 | 8 | 8 | 8 | 8 | 3916 | 3222 | 9188 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 8 | 8 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **130** | **110** | **20** | **79** | **58** | **92\*** | **18** | **110** | **102** | **102** | **102** | **39617** | **34397** | **44018** | **79** | **488** | **157** | **645** | **262** | **383** | **83** | **83** | **77** |

\* communities belong to standard component

Annex – XIII (A)

**Micro-projects (energy efficiency): progress in 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Approved MPPs** | **Budget Sharing (UAH)** | | | | | | **Total Budget**  **(UAH)** | **Beneficiary Population**  **(‘000)** | **Male’000** | **Female’000** | **Healthpost** | **School/Kindergarten** | **Community** |
| **CO** | **VC/CC** | **Rayon** | **Oblast** | **Private** | **CBA** |
| ARC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Cherkaska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Cherniviska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Chernivetska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Dnipropetrovska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Donetska | 4 | 44050 | 278105 | 0 | 0 |  | 558843 | 880998 | 13.9 | 5.7 | 8.2 |  |  | 4 |
| Ivano-Frankivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Kharkivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Khersonska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Khmelnitska | 2 | 18153 | 40000 | 110804 | 0 | 8000 | 185941 | 362898 | 0.7 | 0.3 | 0.4 |  | 1 | 1 |
| Kirovogradska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Kyivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Luhanska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Lvivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Mykolaivska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Odeska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Poltavska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Rivnenska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Sumska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Ternopilska | 1 | 11917 | 4932 | 58000 | 0 | 0 | 162719 | 237568 | 0.9 | 0.4 | 0.5 |  |  | 1 |
| Vinnytska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Volynska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Zakarpatska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Zaporizka | 1 | 15100 | 59291 | 67000 | 0 |  | 160000 | 301391 | 1 | 0.4 | 0.6 | 1 |  |  |
| Zhytomyrska |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **Total** | **8** | **89220** | **382328** | **235804** | **0** | **8000** | **1067503** | **1782855** | **16.5** | **6.8** | **9.7** | **1** | **1** | **6** |

Note: including MP from replication rayons; all complex type

Annex – XIII (B)

**Micro-projects (energy efficiency): status as of 2nd Quarter 2013**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Approved MPPs** | **Budget Sharing (UAH)** | | | | | | **Total Budget**  **(UAH)** | **Beneficiary Population (‘000)** | **Male (‘000)** | **Female (‘000)** | **Healthpost** | **School/Kindergarten** | **Community** |
| **CO** | **VC/CC** | **Rayon** | **Oblast** | **Private** | **CBA** |
| ARC | 4 | 33160 | 155236 | 136739 | 0 | 0 | 276368 | 601503 | 5.7 | 2.6 | 3.1 | 0 | 0 | 4 |
| Cherkaska | 19 | 1054978 | 905134 | 761266 | 210146 | 291378 | 975789 | 4198691 | 49.7 | 23.8 | 25.9 | 3 | 8 | 8 |
| Cherniviska | 5 | 43018 | 50476 | 358442 | 0 | 0 | 402846 | 854782 | 13.8 | 6.7 | 7.1 | 1 | 1 | 3 |
| Chernivetska | 3 | 23358 | 2500 | 193548 | 0 | 0 | 199881 | 419287 | 1.5 | 0.7 | 0.8 | 1 | 0 | 2 |
| Dnipropetrovska | 14 | 296806 | 183983 | 259861 | 308267 | 62736 | 535082 | 1646735 | 1.9 | 0.9 | 1 | 0 | 2 | 12 |
| Donetska | 7 | 80342 | 489727 | 0 | 0 | 88000 | 793570 | 1451639 | 23.2 | 10 | 13.2 | 0 | 2 | 5 |
| Ivano-Frankivska | 6 | 54693 | 26000 | 506965 | 0 | 0 | 475402 | 1063060 | 6.1 | 3 | 3.1 | 1 | 3 | 2 |
| Kharkivska | 4 | 34680 | 301421 | 0 | 0 | 0 | 311055 | 647156 | 3.7 | 1.8 | 1.9 | 0 | 1 | 3 |
| Khersonska | 4 | 41835 | 304385 | 85000 | 0 | 0 | 319200 | 750420 | 2.9 | 1.3 | 1.6 | 0 | 4 | 0 |
| Khmelnitska | 7 | 75963 | 40000 | 480709 | 0 | 29965 | 580344 | 1206981 | 4.6 | 2 | 2.6 | 0 | 3 | 4 |
| Kirovogradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kyivska | 3 | 27287 | 65000 | 212487 | 0 | 0 | 240900 | 545674 | 2 | 0.9 | 1.1 | 1 | 1 | 1 |
| Luhanska | 5 | 131970 | 75181 | 259474 | 43949 | 0 | 314589 | 825163 | 0.9 | 0.4 | 0.5 | 0 | 1 | 4 |
| Lvivska | 3 | 19618 | 1500 | 170758 | 0 | 0 | 187036 | 378912 | 2.6 | 1.3 | 1.3 | 0 | 0 | 3 |
| Mykolaivska | 1 | 8572 | 42000 | 30000 | 0 | 0 | 80000 | 160572 | 1.1 | 0.6 | 0.5 | 0 | 0 | 1 |
| Odeska | 4 | 53391 | 282411 | 125600 | 0 | 0 | 320181 | 781583 | 11.1 | 5.2 | 5.9 | 0 | 0 | 4 |
| Poltavska | 11 | 675455 | 162760 | 474615 | 523670 | 109500 | 488244 | 2434244 | 2.5 | 1.2 | 1.3 | 0 | 5 | 6 |
| Rivnenska | 4 | 41402 | 5000 | 286939 | 0 | 0 | 319254 | 652595 | 3.7 | 1.8 | 1.9 | 0 | 3 | 1 |
| Sumska | 5 | 36678 | 231153 | 74943 | 5555 | 28454 | 313397 | 690180 | 2.3 | 1.1 | 1.2 | 1 | 0 | 4 |
| Ternopilska | 6 | 54320 | 53529 | 353849 | 0 | 0 | 536818 | 998516 | 4.7 | 2.1 | 2.6 | 1 | 4 | 1 |
| Vinnytska | 11 | 94664 | 217059 | 736395 | 0 | 49160 | 712715 | 1809993 | 4.8 | 2.3 | 2.5 | 1 | 5 | 5 |
| Volynska | 4 | 33870 | 48075 | 138200 | 104650 | 0 | 307340 | 632135 | 1.8 | 0.7 | 1.1 | 0 | 3 | 1 |
| Zakarpatska | 5 | 47966 | 276079 | 243918 | 0 | 0 | 371114 | 939077 | 4.2 | 2 | 2.2 | 1 | 2 | 2 |
| Zaporizka | 15 | 181914 | 368146 | 608524 | 35500 | 114052 | 1211596 | 2519732 | 19.1 | 8.6 | 10.5 | 1 | 0 | 14 |
| Zhytomyrska | 3 | 27552 | 279952 | 0 | 0 | 0 | 238868 | 546372 | 1.1 | 0.5 | 0.6 | 2 | 1 | 0 |
| **Total** | **153** | **3173492** | **4566707** | **6498232** | **1231737** | **773245** | **10511589** | **26755002\*** | **175.0** | **81.5** | **93.5** | **14** | **49** | **90** |

Note: including 15 MPs; 130 MPs from regular and 8 MPs complex EE-type. \* Data subject to change. Estimated UAH 25.3 million.

Annex - XIV

**Roundtable on**

**Promoting Investment Opportunities:**

**The Experience of the European Investment Bank In Ukraine**

27 June 2013

**LIST OF PARTICIPANTS**

|  |  |  |  |
| --- | --- | --- | --- |
| **SN** | **Institution** | **Representative** | **Designation** |
| **UNDP** | | | |
|  | UNDP Ukraine | Ms. Elena Panova | Deputy Country Director |
|  | UNDP Ukraine | Ms. Yulia Shcherbinina | Senior Programme Manager, Democratic Governance |
| **Bank** | | | |
|  | European Investment Bank (EIB) | Ms.Liliya Chernyavska | Chairman of the Permanent Representative of the EIB in Ukraine |
|  | European Investment Bank (EIB) | Ms.Marion Hoenicke | Head of Division responsible for lending in Ukraine and Moldova of Eastern Neighbours and Central Asia Department |
| **State Authorities and Local Councils** | | | |
|  | Dnipropetrovsk Oblast Council | Mr.Volodymyr Peregudov | Deputy Head of Oblast Council on the issues of executive office, Head of the Department on strategic planning and intentional policy |
|  | Analytical center of vice Prime-Minister of Ukraine | Mr. Valentyn Koshelenko | Head of the center |
|  | Kirovogradska Oblast State Administration | Mr. Yaroslav Arsiriy | Deputy Head of Kirovogradska Oblast State Administration |
|  | Kharkiv Oblast Council | Ms. Olena Oleshko | First Deputy Head of Oblast Council |
|  | Ivano-Frankivska Oblast State Administration | Mr. Ihor Zvarych | Oblast administration Deputy Head |
|  | Ivano-Frankivska Oblast State Administration | Mr. Vadym Kozlenko | Head of Office of the Fuel and Energy, Efficient use of Energy and Mineral Resources |
|  | Galych City Council (Ivano-Frankivska oblast) | Mr. Orest Trachyk | Head of City Council |
|  | Zakarpatska Oblast State Administration | Mr. Viktor Lukecha | Head of the Department on housing, production and infrastructure development of OSA |
|  | Zaporizhzhia Oblast State Administration | Mr. Yevgen Semechayavskyi | Head of the Department of industry and infrastructural development |
|  | Zaporizhzhia City Council | Mr. Ilyantsev Vadym | Head of the Department of housing of OC |
|  | Secretariat of Cabinet of Ministers of Ukraine | Mr.Mykola Rubchak | Leading specialist of the Department of Coordination with the Parliament of Ukraine and Regions |
|  | Ministry of Regional Development of Ukraine | Ms. Kateryna Koretska | Head of the Multilateral cooperation and European Integration Department |
| **Ukrainian Agencies and NGO** | | | |
|  | Representative from Dnipropetrovsk investment agency | Mr. Vyacheslav Mayakin | Representative from Dnipropetrovsk investment agency |
|  | Association of Energy Auditors | Mr.Maksym Kolle | Director of State budget organization “Kirovogradskiy regional center of investment and development” |
|  | Construction company Ltd. “Konstanta “ | Mr. Alshan Volodymyr | Director |
| **CBA delegation** | | | |
|  | CBA Project | Mr. Jaysingh Sah | International Project Manager |
|  | CBA Project | Ms. Olena Ruditch | Community Development Specialist |
|  | CBA Project | Mr. Denis Poltavets | Community Development Specialist |

Annex - XV

**(A) Agenda of First Working Group Meeting on Energy Efficiency**

|  |  |  |
| --- | --- | --- |
| 9.30 – 10.00 | Registration |  |
| 10.00 – 10.15 | Welcoming remarks | * Elena Panova*, UNDP Deputy Country Director* * Ms. Mira Didukh, *Sector Manager, Regional and Local Development, Delegation of the EU to Ukraine* |
| 10:15 – 10:30 | Energy Efficiency in framework of CBA Project | Jaysingh Sah, *CBA project manager* |
| 10:30 – 11.00 | Energy efficiency outlook in the regions from CBA perspective: Case report | CBA energy consultants:   * *Volodymyr Brygilevych* * *Igor Cherkashyn* * *Olexiy Khabatiuk* |
| 11:00 – 11:30 | Regional experience on achieving energy efficiency vision | * Mr. Viktor Bondarenko, *Head of Department of energy efficiency, Zaporizka oblast state administration* * Mr. Valeryi P’yatak, *Deputy head of Lvivska oblast council* |
| 11:30 -12:00 | Municipal experience on energy efficiency supported by other donors | Mr. V. S. Harazd , *President of Association “Energy Efficient Cities of Ukraine” and Mayor of Dolyna municipality* |
| 12:00 – 12.30 | Open floor discussion |  |
| 12.30 – 12.45 | Closing remarks | * Elena Panova*, UNDP Deputy Country Director* * Ms. Mira Didukh, *Sector Manager, Regional and Local Development, Delegation of the European Union to Ukraine* |

**(B) Participants of the First Working Group Meeting on Energy Efficiency**

|  |  |  |  |
| --- | --- | --- | --- |
| **SN** | **Institution** | **Representative** | **Designation** |
| **UNDP and EU Delegation** | | | |
|  | UNDP Ukraine | Ms. Elena Panova | Deputy Country Director |
|  | European Union to Ukraine | Miroslava Didukh | Sector Manager, Regional and Local Development |
| **Government organisations** | | | |
|  | Committee on Energy of Verhkovna Rada of Ukraine | Mr. Shmereho M.O. | Chief Consultant |
|  | State Agency on Energy Efficiency and Energy Conservation of Ukraine | Mr.Kisimes M.V. | Head of Department of Program of energy efficiency |
|  | State Agency on Energy Efficiency and Energy Conservation of Ukraine | Ms. Puhachova T.B. | Chief Specialist |
| **Ukrainian NGO/local self-government/associations** | | | |
|  | Association of Village and Town Councils | Mr. Vadym Ivchenko | Head of executive management |
|  | Association of Energy Auditors | Mr. Andriy Tsybulko | Head |
|  | Association «All-Ukrainian Amalgamation «Fund of Energy-Efficient Investments» | Mr. Igor Cherkashyn\* | Honorary President |
|  | Association “Energy Efficient Cities of Ukraine” | Mr. V.S.Harazd | President of the Association and Mayor of Dolyna municipality |
|  | Centre of Local Government Studies | Mr. V. Brygilevych\* | Head of Management Executive Board |
|  | Environmental (Green) Investments Fund | Mr. Olexiy Khabatiuk\* | Chairman of the Supervisory Board |
| **Institute** | | | |
|  | Institute for Renewable Energy, NAS Ukraine | Mr. Stepan Kudrya | Deputy Director for Scientific Affairs |
|  | Institute for Renewable Energy, NAS Ukraine | Mr. Ihor Kyrylchuk | Manager of UNIDO program |
|  | Institute for Energy Saving and Energy Management (IEE) within the National Technical University of Ukraine "Kiev Polytechnic Institute" | Mr. Sergiy Denysuk | Head |
|  | Institute for Energy Saving and Energy Management (IEE) within the National Technical University of Ukraine "Kiev Polytechnic Institute" | Mr. Volodymyr Prokopenko | Deputy Head |
|  | Institute of sustainable development/Center of energy-efficient and alternative energy Karazin Kharkiv National University | Mr. Stanislav Ignatev | Director |
| **Regional partners** | | | |
|  | Lvivska oblast council | Mr. Valeryi P’yatak | Deputy Head of Oblast Council, co-head of oblast coordination council for CBA |
|  | Zaporizka oblast state administration | Mr. Viktor Bondarenko | Head of Department of energy efficiency, OSA |
| **CBA project** | | | |
| 19. | CBA Project | Mr. Jaysingh SAH | International Project Manager |
| 20. | CBA Project | Mr. Oleksandr Baskov | Energy and Environment Specialist |
| 21. | CBA Project | Ms. Olena Rudich | Community Development Specialist |
| 22. | CBA Project | Mr. Denis Poltavets | Community Development Specialist |

\* Also, CBA consultant on regional energy strategy updating

Annex – XVI

**Agenda of the Training for KMH-Team and UADRC-Official**

1. **ORIENTATION MEETING**

Friday, 24 May. Venue: CBA office, Esplanadna 20, 7 floor

|  |  |  |
| --- | --- | --- |
| 14.00 – 14.20 | Welcoming remarks and introduction of participants | Oskana Remiga |
| 14.20 – 15.00 | Community based approach to local development: idea, methodology and global experiences | Denis Poltavets |
| 15.00 – 15.20 | CBA Project in Ukraine: overview and achievements | Olena Rudich |
| 15.20 – 16.00 | Knowledge management: best global practices and CBA experience   * The concept of knowledge management; * Overview of global best practices of KM; * KM component of CBA * Web portal as the key instrument of KM hub * Knowledge products of the Knowledge Hub | Ganna Yatsyuk |
| 16.00 - 16.15 | Coffee break |  |
| 16.15 – 16.45 | Task provisions for KMH-2013 and Inception report | Ganna Yatsyuk, Tetyana Diyeva |
| 16.45 – 17.15 | Brainstorming on effective moderation of KM web portal  Practical questions on moderation/administration | Ganna yatsyuk, Yuriy Veres |
| 17.15 – 17.30 | Briefing on field visit arrangement | Ganna Yatsyuk |

1. **EXPOSURE VISIT TO KIROVOHRAD OBLAST**

Sunday, 26 May

|  |  |
| --- | --- |
| 14.00 – 18.00 | Transfer Kyiv – Kirovohrad |
| 19.00 | Check-in, dinner in Kirovohrad |

Monday, 27 May

|  |  |
| --- | --- |
| 08.00 – 09.00 | Transfer to community |
| 09.00 – 10.00 | Visit the community of Anikeevo |
| 10.00 – 10.30 | Transfer to Mala Viska |
| 10.30 – 11.30 | Visit to Mala Vyska rayon resource centre |
| 11.30 – 12.30 | Transfer to Kirovograd |
| 12.30 – 13.30 | Lunch |
| 13.30 – 14.30 | Meeting with oblast officials and OIU |
| 14.30 – 15.00 | Transfer to community |
| 15.00 – 16.00 | Visit to Pidlisne village community |
| 16.00 – 16.30 | Transfer to Oleksandrivka |
| 16.30 – 17.30 | Visit to Oleksandrivsky rayon resource centre |
| 17.30 | Dinner |
| 18.00 – 20.30 | Transfer to Kyiv |

1. **INCEPTION MEETING**

Tuesday, 28 May. Venue: CBA office, Esplanadna 20 7 floor

|  |  |
| --- | --- |
| 09.00 – 09.15 | Impressions from the field |
| 09.15 – 11.00 | Preparation of KMH inception report/detail workplan |
| 11.00 – 12.30 | Inception meeting   * Vision of UNDP about KMH, *Oksana Remiga,* SPM/UNDP * Task reporting and recommendations, *Ganna Yatsyuk* * Inception work-plan presentation, *Yevhen Fyshko* * Closing remarks, *Iryna Korduba* |
| 12.30 – 13.00 | Refreshment |

Annex – XVII

**List of Partner Universities of CBA, as of June 2013**

|  |  |  |
| --- | --- | --- |
| **#** | **Oblast** | **University** |
| 1 | ARC | Vernadskyi Tavriya National University |
| 2 | Cherkaska | Cherkasy State Technological University |
| 3 | Chernihivska | Chernihiv State Technological Univeristy |
| 4 | Dnipropetrovska | Dnipropetrovsk National University of Railway Transport Named After Academician V. Lazayan |
| 5 | Donetska | Donetsk State University of Management |
| 6 | Kharkivska | Kharkiv National Academy of Municipal Economy |
| 7 | Kharkivska | Kharkiv National Economic University |
| 8 | Khersonska | Kherson State Agricultural University |
| 9 | Khmelnytska | Khmelnytskyi University of Management and Law |
| 10 | Kirovohradska | Kirovohrad National Technical University |
| 11 | Kyivska | State Higher Educational Establishment ‘Vadym Hetman Kyiv National Economic University’ |
| 12 | Kyivska | National Academy of Public Administration |
| 13 | Lvivska | University of Banking of National Bank of Ukraine (Lviv Institute of Banking) |
| 14 | Lvivska | Lviv Polytechnic National University Institute of Humanities and Social Sciences |
| 15 | Luhanska | Volodymyr Dahl East Ukrainian National University |
| 16 | Mykolaivska | Mykolaiv State Agrarian University |
| 17 | Poltavska | Poltava State Agrarian Academy |
| 18 | Rinvenska | University of International Relations |
| 19 | Rivnenska | National University of Ostrozka Academy |
| 20 | Sumska | Sumy State Univeristy |
| 21 | Sumska | Sumy National Agrarian University |
| 22 | Zaporizka | Tavria State Agrotechnological Univeristy |
| 23 | Zakarpatska | Uzhgorod National University |

Annex – XVIII

**Time-schedule of Student Internship in 2013**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Oblast** | **University** | **No of students** | **Time** | **Oblast of internship** |
| Poltavska | Poltava State Agrarian Academy | 1 | 15.07.2013 - 09.08.2013 | Poltavska |
| Rivnenska | National University of Ostrozka Academy | 2 | 20.06.2013 - 15.07.2013 | Rivnenska |
| Rivnenska | National University of Ostrozka Academy | 1 | 20.06.2013 - 15.07.2013 | Chernivetska |
| Khersonska | Kherson State Agricultural University | 10 | End of March – Mid of May, 2013 | Khersonska |
| Kharkivska | Kharkiv National Academy of Municipal Economy | 3 | 01.04.2013 - 31.05.2013 | Kharkivska |
| Kyivska | State Higher Educational Establishment ‘Vadym Hetman Kyiv National Economic University’ | 2 | 13.05.2013 - 31.05.2013 | Kyivska |
| Kyivska | Shevchenko Kyiv National University | 1 | 18.03.2013 - 08.04.2013 | Kyivska |
| Chernihivska | Chernihiv State Technological Univeristy | 3 | 10.06.2013-21.07.2013 | Chernihivska |
| Chernihivska | Chernihiv State Technological Univeristy | 1 | 01.07.2013-21.07.2013 | Cherkaska |
| Chernihivska | Chernihiv State Technological Univeristy | 1 | 01.07.2013-21.07.2013 | Poltavska |
| Chernihivska | Chernihiv State Technological Univeristy | 1 | 01.07.2013-21.07.2013 | Volynska |
| Chernihivska | Chernihiv State Technological Univeristy | 1 | 01.07.2013-21.07.2013 | Zhytomyrska |
| Chernihivska | Chernihiv State Technological Univeristy | 3 | 01.07.2013-21.07.2013 | Chernihivska |
| Chernihivska | Chernihiv State Technological Univeristy | 1 | 29.04.2013-07.06.2013 | Cherkaska |
| Chernihivska | Chernihiv State Technological Univeristy | 1 | 29.04.2013-07.06.2013 | Khersonska |
| Chernihivska | Chernihiv State Technological Univeristy | 2 | 13.05.2013-07.06.2013 | Svano-Frankivska |
| Chernihivska | Chernihiv State Technological Univeristy | 1 | 13.05.2013-07.06.2013 | Zhytomyrska |
| Zakarpatska | Uzhgorod National University | 5 | 09.04.2013 - 28.04.2013 | Zaporizka |
| Dnipropetrovska | Dnipropetrovsk National University of Railway Transport Named After Acad. V. Lazayan | 12 | Sept.13 | Dnipropetrovska/neighboring oblasts |
| Sumska | Sumy State Univeristy | 2 | Until July | Sumska |
| Lvivska | Lviv Polytechnic National University Institute of Humanities and Social Sciences | 10 | Apr./May/June | Lvivska |

Annex XIX

**Agenda of 2nd Summer School**

**Mobilisation of Communities to Improve Local Development**

**23 June, Sunday**

|  |  |
| --- | --- |
| 09.00 - 13.00 | Arrival and check in of participants |
| 13.00 - 14.00 | *Lunch* |
| 14.00 - 14.30 | Opening of the School   * Elena Panova*, UNDP Deputy Country Director* |
| 14:30 – 15:30 | Sharing of vision and experience on sustainable development   * Post-2015 Development Agenda *–* Elena Panova*, UNDP Deputy Country Director* |
| 15:30 – 16:00 | Coffee break |
| 15:30 – 15:45 | Press-briefing |
| 16:00 – 16:30 | Briefing on summer school (History, goals and objectives, agenda, logistics etc.) - *moderators* |
| 16:30 – 17:00 | Brain storming on concepts and definitions of sustainable development, communities, social mobilisation, etc. - *moderators* |
| 17:00 – 17:30 | Preparation of participants to self-presentation |
| 17:30 – 18:30 | Presentations from students (individual / group slide shows, videos, PPPs – home task to be assigned in advance) - *students, moderators* |
| 18:30 – 18:45 | Conclusions and agenda for the next day - *moderators* |

|  |  |
| --- | --- |
| **24 June, Monday** | |
| 09:30 - 11.00 | Social mobilisation: principles and practices - *Jaysingh Sah, IPM/CBA Project* |
| 11:00 – 12:00 | How the Participation in Economic Cooperation Programme Affect the Changes in Social and Economic Indicators of Treated Communities: Analysis of First Phase of CBA Project” - *Yuriy Petrushenko, Sumy State University* |
| 12:00 – 13:00 | Role of academia in promoting sustainable development – *Mr. Anatoliy Vershytskyi, Assistant professor at Chair of State and Regional Management, Department of Management, Tavria National University* |
| 13:00 – 14:00 | LUNCH |
| 14:00 – 16:00 | * Preparation for field visit – information collection methodology – *moderators, CDO.* * Preparation for reporting – analysis of information and report preparation – *moderators, CDO* |
| 16:00 – 16:30 | COFFEE |
| 16:30 – 17:30 | * Presentations from students (individual / group slide shows, videos, PPPs – home task to be assigned in advance) - *students, moderators* |
| 17:30 – 18:30 | Role game on mechanism of resource mobilization in community  *Anna Aladjalyan* |

**25 June, Tuesday: Field Visit**

|  |  |
| --- | --- |
| **Group 1: 10 *students, 1 moderator, 1 CBA staff*** | |
| 09:00 – 10:00 | Transfer from Evpatoriya to Geroyskoe Village of Saksky rayon (45 km) |
| 10:00 – 11:00 | Visit to a Community Organisation “Geroyskyi Kray” (Health Post in CBA-2, street lighting on EE component also Museum and Cultural Centre) |
| 11:00– 12:00 | Interview with members of Geroyskoe village community |
| 12:00 - 12:30 | Discussion and final remarks |
| 12:30 – 13:00 | Travel to Yevpatoriya |
| **Group 2: 10 *students, 1 moderator, 1 CBA staff*** | |
| 09:00 – 09:30 | Transfer from Evpatoriya to the Resource Centre of Saksky rayon (40 km) |
| 09:30 – 10:00 | Visit to the Resource Centre of Saksky rayon. |
| 10:00 – 11:00 | Visit to the Rayon Council of Saksky rayon. Interaction with rayon authorities and village council heads |
| 11:00– 12:00 | Discussion and final remarks. |
| 12:00 – 13:00 | Transfer to Yevpatoriya |
| 13:00 – 14:00 | LUNCH |
| 14:00 – 18:30 | Field visit analysis, report preparation and presentation |
| 19:00 | Students debates / party |
| 26 June, Wednesday | |
| 09.00 – 10.30 | Excursion to karaim kenases / Free time |
| 11:00 – 11:45 | Experience sharing by representative of USIF – *Mr. Viktor Miroshnichenko, Executive Director of USIF* |
| 11.45 - 12.45 | Closing of Summer School   * *Conclusions from CBA representative* * *Impression of the students* * *Awarding of participants with certificates and prizes* * *Final words from moderators* |
| 13.00 - 14.00 | *LUNCH* |
| 14.00 | Departure to Simferopol |

Annex – XX

**Media Coverage and Media Events**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Progress During 2nd Quarter 2013** | | | | | | | | | | **Total Since Inception** | | | | | | | | | |
| **Media Events** | **Media Coverage** | | | | | **Publications** | | | | **Media Events** | **Media Coverage** | | | | | **Publications** | | | |
| **Newspapers** | **TV** | **Radio** | **Electronic media** | **Total** | **Partners’ websites** | **Info bulletin** | **Print copies** | **Electronic copies** | **Newspapers** | **TV** | **Radio** | **Electronic media** | **Total** | **Partners’ websites** | **Info bulletin** | **Print copies** | **Electronic copies** |
| ARC | 0 | 4 | 3 | 0 | 4 | 11 | 4 | 1 | 30 | 1 | 12 | 59 | 50 | 30 | 17 | 156 | 107 | 25 | 725 | 36 |
| Cherkaska | 0 | 8 | 0 | 0 | 1 | 9 | 3 | 1 | 50 | 50 | 10 | 25 | 86 | 21 | 7 | 139 | 102 | 6 | 350 | 280 |
| Chernihivska | 1 | 1 | 2 | 2 | 1 | 6 | 2 | 1 | 30 | 30 | 10 | 14 | 5 | 8 | 9 | 36 | 28 | 7 | 163 | 168 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 25 | 4 | 3 | 32 | 42 | 4 | 200 | 100 |
| Dnipropetrovska | 1 | 5 | 3 | 1 | 0 | 9 | 1 | 0 | 0 | 0 | 11 | 73 | 59 | 28 | 5 | 165 | 21 | 2 | 0 | 62 |
| Donetska | 0 | 2 | 1 | 0 | 0 | 3 | 1 | 0 | 0 | 0 | 12 | 1 | 50 | 15 | 3 | 69 | 51 | 0 | 0 | 0 |
| I-Frankivska | 5 | 1 | 2 | 2 | 2 | 7 | 5 | 0 | 0 | 0 | 17 | 117 | 75 | 47 | 33 | 272 | 65 | 10 | 1315 | 237 |
| Kharkivska | 1 | 2 | 1 | 0 | 3 | 6 | 4 | 1 | 26 | 26 | 11 | 15 | 21 | 12 | 5 | 53 | 35 | 5 | 29 | 28 |
| Khersonska | 0 | 6 | 0 | 0 | 3 | 9 | 7 | 1 | 100 | 100 | 16 | 24 | 43 | 10 | 2 | 79 | 56 | 2 | 200 | 200 |
| Khmelnytska | 0 | 4 | 2 | 2 | 1 | 9 | 6 | 1 | 100 | 22 | 11 | 2 | 64 | 37 | 31 | 134 | 129 | 5 | 920 | 108 |
| Kirovohradska | 6 | 3 | 2 | 2 | 6 | 13 | 9 | 1 | 150 | 150 | 30 | 20 | 68 | 29 | 36 | 153 | 53 | 4 | 500 | 500 |
| Kyivska | 12 | 11 | 1 | 6 | 0 | 18 | 10 | 0 | 0 | 0 | 132 | 30 | 123 | 39 | 34 | 226 | 90 | 18 | 2010 | 80 |
| Luhanska | 1 | 8 | 2 | 0 | 11 | 21 | 14 | 1 | 68 | 31 | 23 | 108 | 73 | 26 | 12 | 219 | 98 | 13 | 4998 | 243 |
| Lvivska | 0 | 5 | 0 | 0 | 2 | 7 | 4 | 0 | 0 | 0 | 14 | 36 | 77 | 16 | 16 | 145 | 85 | 5 | 1200 | 33 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 38 | 48 | 21 | 3 | 110 | 32 | 22 | 3370 | 798 |
| Odeska | 0 | 1 | 3 | 0 | 0 | 4 | 1 | 0 | 0 | 0 | 11 | 13 | 78 | 22 | 4 | 117 | 57 | 1 | 12 | 12 |
| Poltavska | 0 | 10 | 1 | 22 | 4 | 37 | 13 | 0 | 0 | 0 | 15 | 26 | 99 | 34 | 209 | 368 | 134 | 6 | 640 | 200 |
| Rivnenska | 0 | 8 | 2 | 4 | 13 | 27 | 15 | 0 | 0 | 0 | 32 | 128 | 141 | 64 | 83 | 416 | 140 | 15 | 936 | 680 |
| Sumska | 4 | 6 | 0 | 13 | 3 | 22 | 5 | 1 | 50 | 10 | 30 | 27 | 36 | 15 | 106 | 184 | 69 | 3 | 400 | 20 |
| Ternopilska | 1 | 2 | 0 | 0 | 0 | 2 | 11 | 0 | 0 | 0 | 12 | 11 | 51 | 9 | 33 | 104 | 101 | 10 | 349 | 304 |
| Vinnytska | 0 | 2 | 0 | 0 | 13 | 15 | 6 | 0 | 0 | 0 | 5 | 40 | 28 | 4 | 4 | 76 | 37 | 7 | 435 | 200 |
| Volynska | 0 | 13 | 0 | 0 | 0 | 13 | 9 | 0 | 0 | 0 | 12 | 35 | 74 | 9 | 3 | 121 | 49 | 5 | 136 | 223 |
| Zakarpatska | 1 | 1 | 1 | 0 | 0 | 2 | 3 | 0 | 0 | 0 | 19 | 40 | 40 | 19 | 0 | 99 | 61 | 5 | 1270 | 1270 |
| Zaporizka | 0 | 16 | 23 | 3 | 44 | 86 | 16 | 3 | 420 | 61 | 15 | 161 | 132 | 98 | 20 | 411 | 84 | 24 | 1698 | 230 |
| Zhytomyrska | 1 | 0 | 1 | 0 | 3 | 4 | 3 | 0 | 0 | 0 | 10 | 4 | 78 | 6 | 16 | 104 | 72 | 14 | 980 | 196 |
| **Total** | **34** | **119** | **50** | **57** | **114** | **340** | **152** | **12** | **1024** | **481** | **485** | **1047** | **1624** | **623** | **694** | **3988** | **1798** | **218** | **22836** | **6208** |

1. In case of ARC, the Republican Coordination Council is headed by speaker of Verkhovna Rada of ARC [↑](#footnote-ref-1)