

**Community Based Approach to Local Development Project - II**

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| C:\Users\User\AppData\Local\Microsoft\Windows\Temporary Internet Files\Content.Word\Планирование_2.jpg | **FIRST QUARTERLY REPORT** |
| **2014** | C:\Users\User\Desktop\CBA-III\Photos 4 Display\RED\11.jpg |

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| Project is financed  by European Union |  | Z:\All folders 2014\COMMUNICATION UNIT\LOGO_2014\undp_logo_new_eng_150dpi.jpg  Project is cofinanced  and implemented by UNDP |

**ACKNOWLEDGEMENT TO OUR PARTNERS**

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| Description: Crimea_Emblem | Cabinet of Ministers and Supreme Council of ARC |  | Description: 200px-Coat_of_Arms_of_Cherkasy_Oblast | Cherkaska Oblast State Administration and Council |  | Description: Coat_of_Arms_of_Chernihiv_Oblast | Chernihivska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State  Administration and Council |
|  |  |  |  |  |  |  |  |
| Description: 200px-Coat_of_Arms_of_Chernivtsi_Oblast | Chernivetska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |  | Description: 190px-Large_Coat_of_Arms_of_Dnipropetrovsk_Oblast | Dnipropetrovska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) Council |  | [Description: File:Coat of Arms of Donetsk Oblast.png](http://upload.wikimedia.org/wikipedia/commons/6/67/Coat_of_Arms_of_Donetsk_Oblast.png) | Donetska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |
| **Description: франківська** | Ivano-Frankivska  Oblast State Administration and Council |  | Description: 190px-Coat_of_Arms_of_Kharkiv_Oblast | Kharkivska Oblast State Administration and Council |  | Description: 180px-Coat_of_Arms_of_Kherson_Oblast | Khersonska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |
|  |  |  |  |  |  |  |  |
| Description: Coat_of_Arms_of_Kirovohrad_Oblast | Kirovohradska  Oblast State Administration and Council |  | Description: 180px-Coat_of_Arms_of_Khmelnytskyi_Oblast | Khmelnytska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |  | Description: 180px-Coat_of_Arms_of_Kyiv_Oblast | Kyivska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |
|  |  |  |  |  |  |  |  |
| Description: Coat_of_Arms_of_Lviv_Oblast | Lvivska  Oblast State Administration and Council |  | Description: 200px-Coat_of_Arms_of_Luhansk_Oblast | Luhanska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |  | Description: Coat_of_Arms_of_Mykolaiv_Oblast | Mykolaivska  Oblast State Administration and Council |
|  |  |  |  |  |  |  |  |
| Description: Coat_of_Arms_of_Odesa_Oblast | Odeska  Oblast State Administration |  | **Description: Large_Coat_of_Arms_of_Poltava_Oblast** | Poltavska  Oblast State Administration and Council |  | **Description: Coat_of_Arms_of_Rivne_Oblast** | Rivnenska  [Oblast](http://en.wikipedia.org/wiki/Zhytomyr_Oblast) State Administration and Council |
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| **Description: 160px-Coat_of_Arms_of_Sumy_Oblast** | Sumska  Oblast State Administration and Council |  | Description: 180px-Coat_of_Arms_of_Vinnytsya_Oblast | Vinnytska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |  | Description: Coat_of_Arms_of_Volhynian_Oblast | Volynska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |
|  |  |  |  |  |  |  |  |
| Description: 200px-Large_Coat_of_Arms_of_Ternopil_Oblast | Ternopilska  Oblast Administration and Council |  | Description: 150px-CarpathianRutheniaCoA | Zakarpatska  [Oblast](http://en.wikipedia.org/wiki/Lviv_Oblast) State Administration and Council |  | Description: 200px-Coat_of_Arms_of_Zaporizhzhya_Oblast | Zaporizka Oblast State Administration and Council |
|  |  |  |  |  |  |  |  |
|  |  |  | **Description: 200px-Coat_of_Arms_of_Zhytomyr_Oblast** | Zhytomyrska  [Oblast](http://en.wikipedia.org/wiki/Rivne_Oblast) State Administration |  |  |  |

And

264 rayon councils/rayon state administrations

1106 village/city councils

1106 community organizations

Members of Steering Committee

27 Partner Universities

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**LIST OF ABBREVIATIONS**

|  |  |
| --- | --- |
| ARC | Autonomous Republic of Crimea |
| CBA | Community Based Approach to Local Development Project |
| CDO | Community Development Officer (CBA staff in the region) |
| CDP | Community Development Plan |
| CO | Community Organisation |
| EE | Energy Efficiency component of CBA-II |
| EU | European Union |
| FP | Focal Person |
| KM | Knowledge management component of CBA-II |
| KMH | Knowledge management hub |
| LED | Local economic development component of CBA-II |
| LDF | Local Development Forum |
| MoU | Memorandum of Understanding (similar to PA) |
| MPP | Micro Project Proposal |
| MP | Micro Project (Community Project) |
| OC | Oblast Council |
| OCRC | Oblast Community Resource Centre (same as OIU) |
| OIU | Oblast Implementation Unit (same as OCRC) |
| OSA | Oblast State Administration |
| PA | Partnership Agreement (similar to MoU) |
| PMU | Project Management Unit (CBA head office in Kyiv) |
| RC | Rayon Council |
| RCC | Region Coordination Council |
| RCRC | Rayon Community Resource Centre |
| RM | Replication of CBA methodology |
| RSA | Rayon State Administration |
| UADRC | Ukrainian Association of District and Regional Authorities |
| UNDP | United Nations Development Programme |
| VC/CC | Village Council/City Council |

**Executive Summary**

**Project Background**

Second phase of the CBA Project commenced in June 2011 with a purpose to strengthen the process of participatory governance and to enhance energy efficiency at local level. This Project is funded by the EU and co-financed and implemented by UNDP, with the support of the Government of Ukraine and in partnership with local executive bodies/bodies of self-governance. The project’s total budget is €17.1 million, with 98.4 percent contribution from the EU and 1.6 per cent cost-sharing from UNDP. The project’s time-frame is four years (June 2011 to May 2015).

To achieve the objectives, the project utilizes social mobilization tools to mobilize local communities and local authorities for joint decision-making, cost-sharing, implementation of community projects and establishment of sustainable mechanisms. It requires establishment of necessary support structures that include community organizations (COs), Local Development Forums (LDFs), Regional Coordination Councils (RCCs) and rayon/regional-level Community Resource Centres (CRCs). The capacity of stakeholders is enhanced through training, exposure visits etc. Community projects are used as another tool for capacity-building. Basic needs – namely, health, the environment, energy efficiency, water supply and rural economic development – are considered as priority areas of the Project.

The project is being implemented in all 25 regions of Ukraine. Its target is to cover 200 rayons, 900 Village/City Councils (VCs/CCs) with populations of less than 10,000 and 900 local communities. Other targets include: 900 COs and 200 LDFs formed; 900 community projects supported; 16000 community members and 2000 state/elected officials trained; 17 cooperatives established; and a knowledge management hub established.

**Key Results as of the First Quarter of 2014**

During the first quarter of 2014, the Project continued implementation of the activities that remained unfinished at by the end of 2013 and launched new activities in line with AWP2014. Brief information about the results is given hereunder. Detail information on implementation is available in subsequent chapters of this report as well as at [www.cba.org.ua](http://www.cba.org.ua)

1. ***Standard component*** *(Target: 200 rayons, 800 village/city councils, 800 COs, 200 LDFs, 200 CRCs, 600 micro-projects)*

Standard component of CBA-II focuses on building partnership with stakeholders across the country; mobilise them to establish support structures needed to implement CBA activities on participatory basis; and support with small grant for rehabilitation of social/communal infrastructures. Envisioned cost sharing arrangement includes 5% CO’s contribution; 45% local budget and 50% CBA Project.

During the reporting period, 14 trainings were conducted to train 107 CO-executives and 8 officials of local government. 43 sittings of LDF and 5 sittings of regional coordination council took place. 2 new micro-projects were supported in the area of energy efficiency. By March 2014, cumulative achievements were made as follows:

* The project reached 25 regions, 200 rayons and 855 local councils;
* 458,714 men and women from 316,283 households organized themselves into 853 community organizations that were registered under appropriate legal form. This participation is about 86% of the target households. Also, about 83% of the communities are new reflecting significant outreach of CBA-II over CBA-I communities;
* 200 local development forums and 200 community resource centres were established and strengthened to support joint planning and implementation of community initiatives;
* 710 community initiatives were supported in the priority area of energy saving, public health, water supply and environment. 73 percent of them are related to saving energy, 13.7 percent to health, 12.6 percent to water supply, and 0.7 percent to the environment. Furthermore, 66.8 percent of these micro-projects benefited schools and/or kindergartens, 19.6 percent to health facilities and 13.6 percent to the community – for example, street lighting, water supply, waste management. A total of 948,764 men, women and children are expected to benefit from these micro-projects directly or indirectly. As a result of these community projects, public health, learning environment and public safety have improved.

To reach above results, the Project trained 8,311 CO-executives and 2,483 local officials in various aspects of CO-management, planning and micro-project implementation and extended seed grant equivalent to UAH 52.5 million to the COs. This amount forms 42% of the total cost (UAH 125.3 million) needed to complete 710 micro-projects. Remaining amount came from local budget (48.7%), COs (7.7 %) and private sponsors (1.6 percent) respectively.

***Challenges:*** 672 of 710 micro-projects were completed by the reporting period. Key challenge that hampered the implementation of remaining micro-projects was the lack of local budget to provide cost sharing amount in time.

1. ***Energy efficiency (EE) component –*** *Target: 300 micro-projects; 6 regional energy strategy; 6 support technical documentation and raising awareness of 10,000 population on energy efficiency*

EE component of CBA-II aims to enhance local capacity by enabling citizens and local authorities to learn about energy efficiency technologies and use them jointly to solve their energy problems sustainably. Six oblasts (namely, Kharkivska, Dnipropetrovska, Zaporizhka, Lvivska, Ivano-frankivska and Zakarpatska) were selected as advanced piloting and remaining 19 regions were selected for normal piloting. Normal pilot regions received micro-project support while advance pilot regions received support for regional energy strategy and technical documentation besides micro-projects. Cost sharing for micro-project was envisioned to be shared by CO (5%); CBA Project (70%) and local budget (25%).

During the reporting period, 22 trainings were held to train 193 CO-officials and 51 local government officials in the area of micro-project implementation. Also, 2 micro-projects were completed within this period. In cumulative term, following specific achievements were made since inception:

* Micro-projects: 275 micro-projects were supported. Total cost of these micro-projects is UAH 62.3 million which was shared among community (8.3%), local budget (43.3%), CBA (46.5%) and private sponsors (1.9%). An estimated 313`936 men, women and children will receive direct/indirect benefit from this support besides 124 schools and 27 hospitals. *Direct result of this support is significant reduction in energy bills, reduced pollution, improved health, learning environment and public safety*.
* Energy strategy: Six oblast authorities updated their energy strategy with support of CBA. Recommendations of these updated strategy include sustainability and efficiency in energy sector; investment in innovative technologies and green energy to raise its energy efficiency; focusing community-based micro-size energy projects in rural areas. *These updated energy strategy are expected to contribute to forthcoming phase (2015-20) of energy strategy preparation and direction of regional investment*;
* Technical documentation: In line with the recommendation made in the updated energy strategy document, the regional authorities of 6 advance pilot oblasts are preparing technical documentation that would lead to larger investment in enhancing energy efficiency in the region. They are also establishing mobile laboratory for energy auditing that would promote energy efficiency in rural areas. Total cost of these activities is UAH 4.2 million of which 38.2% is shared by CBA and 61.8% is shared by regional authorities. As of mid-May 2014, progress took place to the tune of 54.8%.
* Public awareness: 132 events were held during 2013 in various regions to sensitize citizens, local authorities, students and academia about energy efficiency, renewable energy and innovative technologies. Various awareness materials (e.g. leaflet, video film, booklet, posters etc.) were produced and distributed among stakeholders during awareness raising events and on other occasions.
* Capacity building: To support EE implementation, 351 training/backstopping events were organized to enable CBA partners undertake energy efficiency activities. A total of 3,833 CO-executives and 1,376 elected/state officials received training on various aspects of energy efficiency component implementation.

***Challenges:*** Key challenges that hampered the implementation of energy efficiency component include local budget constraint; increased inflation during the reporting period and seasonality (some kind of tasks could not be performed during winter)

1. ***Rural economic development component*** *– Target: 17 cooperatives; 17 micro-projects*

CBA-II aims to build capacity of low-income rural households to undertake joint economic initiatives that could lead to income generation and employment creation in rural areas. This is done through organising target population in development of multi-functional agricultural service cooperatives (ASCs) and building their capacity to provide economic services to target households. Envisioned cost sharing includes cooperative (30% with maximum 15% from local budget and/or other sources) and 70% from CBA Project.

During the quarter, one new cooperative was developed in participation of 63 households. Three trainings were held to train 51 coop-members and 3 local government officials in cooperative management, legal aspects and planning. Since inception, following specific achievement were made in the areas rural economic development:

* Cooperative development: 16 ASCs were formed by 2,494 men and women from 991 households. The ASCs were duly registered with state registration agency. The ASCs established their office in the premises provided by the local authorities;
* Capacity building: Capacity of ASC-executives and officials of local governments was built through training in such areas as management, finance, taxation and business planning. In total 1,368 ASC-executives and 177 state/elected officials were trained. Also, each ASC established a technical information centre with support of CBA and forging linkage with local/regional authorities.
* Micro-project: All 15 ASCs undertook economic initiatives to benefit their members in terms of raising income and employment. The initiatives were related with dairy, agricultural farming, transportation and processing. Total cost of these micro-projects is UAH 4.2 million shared among cooperatives (18.1%), local authorities (10%), CBA (69.3%) and private sponsors (2.6%).

***Challenges:*** Due to inflation, delay took place in completion of one micro-project since the contractor found that it would be difficult to deliver goods/services in the stipulated prices of 2013.

1. ***Methodology replication component –*** Target: 60 rayons, 240 local councils, 240 micro-projects

CBA partners in the regions are encouraged to adopt CBA methodology so that they could utilise this methodology to implement their small grant programme with higher effectiveness. It will also ensure sustainability of CBA approach in long term perspective. Besides providing technical support for capacity building, CBA supports implementation of micro-projects on cost sharing basis. In general, a CO contributes 5% of the total cost while local authorities bear 70% of the cost and CBA shared remaining 25%.

During the quarter, 2 trainings were held for CO-executives and local government officials; and grant support was provided to 5 COs for implementation of micro-projects. Since inception, followings specific achievements were made as of March 2014:

* Partnership: Methodology replication component is being implemented in 21 regions of Ukraine. In total 64 rayons and 251 local councils have undertaken replication;
* Support structure development: 253 COs were formed by 121`568 men and women from 80`660 households in the CBA communities thereby demonstrating higher level of female participation and near 80% household coverage, as stipulated by CBA. About 81% of the communities are new reflecting significant outreach of CBA-II over CBA-I communities. All 64 rayons have established local development forum and 62 of them have established community resource centres to ensure functioning of bottom-up joint decision-making;
* Capacity building: A total of 1925 CO-executives and 555 local officials were trained to enable them to implement the CBA methodology effectively;
* Micro-projects: COs have undertaken 224 micro-projects in partnership with their respective local authorities and CBA Project. 79.1 % of these micro-projects belong to energy saving/energy efficiency category followed by health and water supply. Total cost of these micro-projects is expected to be UAH 25.4 million to be shared among COs (10.1%), local budget (70.9%), CBA (17.6%) and private sponsors (1.4%).

***Challenges:*** Authorities have successfully utilised CBA methodology not only in CBA-communities but also in non-CBA communities thereby rendering sound scope of the methodology. However, key challenge that hampered the implementation of replication component during the quarter is lack of local budget.

1. ***Knowledge management –*** *Target: one knowledge hub, 10 universities, experience sharing, policy recommendation*

CBA project has developed mechanism for collection, systematization and dissemination of information/experience gained in course of implementation of its methodology. To this end, it has established an internal management information system as well it has mobilised its partners. Key partners include universities and associations of elected bodies. Specific activities carried out during the first quarter of 2014 and since inception are as given hereunder:

* Knowledge management hub: Results of the CBA support to KMH in 2013 was reviewed and found encouraging. The KHM had succeeded in networking its stakeholders in information/opinion collection and dissemination of information. It also proved to be effective tool in supporting policy works of Ukrainian Association of District and Regional Councils (UADRC). To further capitalize its potential on the front of policy matters and to continue its role towards information collection, systematization and dissemination, grant support of UAH 299,250 was provided to UADRC in March 2014. To this amount UADRC will contribute UAH 84,000 and implement activities related with (a) Improving legislation in the area of local governance, (b) Strengthening of KMH’s analytical components, and (c) informational campaign and web portal improvement. Web-portal of the Hub can be reached at [www.rozvytok.ua](http://www.rozvytok.ua)
* Curriculum development: During the quarter partnership was established with 3 more universities making it total 30 universities since inception. Also during the quarter, follow-up action was taken on the workplan2014 developed by national network of partner universities in 2013. Accordingly, activity plan was developed, resource ($ 55,500) was allocated and consultants were recruited to support the activity implementation. Key activities planned for 2014 include (a) promoting curriculum on sustainable development by expanding partnership with academia, (b) supporting research and publications on community based sustainable development theme, (c) providing practical exposure to students through internship, small research, training and field visits.
* Information dissemination: During the quarter, one media event was organised, 121 media coverage was registered, 63 publications were registered on various websites and 8 newsletters were published about CBA activities. Since inception, 546 media events were organized (including regional, rayon seminars, press conferences etc.), 4779 cases of media coverage were registered, 2219 publications on websites were registered and 292 issues of regional newsletters were disseminated. Besides, annual progress report of CBA for the period 2013 was prepared and disseminated to stakeholders at all level.

1. ***Management***

At the outset of the quarter, activity plan of CBA for 2014 was elaborated and shared with central and regional staffs. CBA-II budget for 2014 is $ 4 million dedicated to complete micro-projects initiated until 2013 and meet expenses of 2014 programme and management costs.

During the quarter, preparation was initiated for inception of CBA-III and activity was initiated to improve efficiency and effectiveness of the MIS of CBA such that it can serve both phases of CBA during the overlapping period.

During the quarter, conflict took place in some regions of Ukraine and normal mode of CBA implementation became difficult to apply. Utilising standard operational guidelines of UNDP and services of security experts, CBA adopted special implementation modality in the regions affected by conflict.

During the reporting period, estimated expenditure constituted € 451,400 (US $ 620,900), which forms about 15.5% of the delivery target of 2014. In total since inception, a financial progress of € 14.1 million occurred making it 80.6% of total project budget.

**STATISTICAL ABSTRACT**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target All Years** | | | **Achievements** | | | | | | | | | |
| **Unit** | **Qty** | | **2011** | | **2012** | | **2013** | | **2014 Q1** | | **Total** | |
| **Standard (Regular) Component** | | |  | |  | |  | |  | |  | |  | |
| 1 | Partnership agreement signed with regions | No. | 25 | | 25 | | 0 | | 0 | | - | | 25 | |
| 2 | Rayons selected & partnership established | No. | 200 | | 200 | | 0 | | 0 | | - | | 200 | |
| 3 | Village/City Council selected & partnership | No. | 900 | | 833 | | -1 | | 23 | | - | | 855 | |
| 4 | Communities selected for local action | No. | 900 | | 782 | | 53 | | 23 | | - | | 858 | |
| 5 | Community organizations |  |  | |  | |  | |  | |  | |  | |
| a | CO formed/grafted | No. | 900 | | 702 | | 134 | | 17 | | - | | 853 | |
| CBA-I community | No. | - | | 133 | | 8 | | 1 | | - | | 142 | |
| New community | No. | - | | 569 | | 126 | | 16 | | - | | 711 | |
| b | COs formalisation | No. | - | |  | |  | |  | |  | |  | |
| Enrolled with VC/CC | No. | - | | 658 | | 182 | | 13 | | - | | 853 | |
| Legally registered | No. | - | | 617 | | 219 | | 15 | | 2 | | 853 | |
| c | Household participation/membership |  |  | |  | |  | |  | |  | |  | |
| Target households | No. | - | | 210785 | | 149720 | | 7577 | | - | | 368,082 | |
| Participated households | No. | - | | 155232 | | 151545 | | 9506 | | - | | 316,283 | |
| CO members (total) | No. | - | | 228149 | | 212206 | | 18359 | | - | | 458,714 | |
| CO members - male (%) | No. | - | | 43 | | 43 | | 43 | | - | | 42.7 | |
| CO members - female (%) | No. | - | | 57 | | 57 | | 57 | | - | | 56.3 | |
| 6 | Local Development Forums formed | No. | 200 | | 182 | | 18 | | 0 | | 0 | | 200 | |
| LDF sittings held |  | - | | 226 | | 647 | | 377 | | 43 | | 1,269 | |
| 7 | Regional Coordination Councils grafted | No. | 25 | | 11 | | 13 | | 1 | | 0 | | 25 | |
| RCC sitting held | No. | - | | 11 | | 40 | | 39 | | 5 | | 95 | |
| 8 | Community Resource Centers formed | No. | 223 | | 193 | | 30 | | - | | - | | 223 | |
| Capacity of CRCs strengthened | No. | 100 | | 19 | | 79 | | - | | - | | 98 | |
| 9 | Human Resource Development |  |  | |  | |  | |  | |  | |  | |
| a | Training conducted | No. | - | | 648 | | 615 | | 416 | | 14 | | 1,693 | |
| b | Participants of the trainings (without repeat) | No. | 18000 | | 5192 | | 2528 | | 2959 | | 115 | | 10794 | |
| CO-members | No. | 16000 | | 3795 | | 2176 | | 2233 | | 107 | | 8311 | |
| Local authorities | No. | 2000 | | 1397 | | 352 | | 726 | | 8 | | 2483 | |
| 10 | Community development planning |  |  | |  | |  | |  | |  | |  | |
| a | COs with CDP prepared | No. | 900 | | 516 | | 314 | | 2 | | - | | 832 | |
| b | CDP approved and mainstreamed | No. | 900 | | 321 | | 490 | | 21 | | - | | 832 | |
| 11 | Micro-Project supported | No. | 600 | | 112 | | 550 | | 46 | | 2 | | 710 | |
| a | Sectoral distribution |  |  | |  | |  | |  | |  | |  | |
| Energy saving | % | - | | 85.7 | | 76,4 | | 76.1 | | 100 | | 73.0 | |
| Water supply | % | - | | 5.4 | | 11,5 | | 6.5 | | - | | 12.6 | |
| Health posts | % | - | | 8.0 | | 11,4 | | 17.4 | | - | | 13.7 | |
| Environment | % | - | | 0.9 | | 0,7 | | 0 | | - | | 0.7 | |
| b | Total cost of approved MPPs | UAH mln | - | | 20,4 | | 115,8 | | 8,6 | | 0.181 | | 125.5 | |
| Shared by COs | % | 5 | | 8,2 | | 7,3 | | 6.1 | | 5.0 | | 7.7 | |
| Shared by local, rayon, regional authorities | % | 45 | | 49,4 | | 48,2 | | 55.4 | | 54.1 | | 48.7 | |
| Shared by CBA | % | 50 | | 40,4 | | 42,8 | | 35.3 | | 40.8 | | 42.0 | |
| Shared by others (private sponsors) | % | - | | 2 | | 1,7 | | 3.2 | | - | | 1.6 | |
| c | Beneficiary characteristics of approved MPs |  |  | |  | |  | |  | |  | |  | |
| Beneficiary population | No. | - | | 146704 | | 749748 | | 52312 | | 2357 | | 948`764 | |
| Institutional beneficiary | No. | - | | 112 | | 550 | | 46 | | 2 | | 710 | |
| School/kindergarten (cum.) | % | - | | 73,1 | | 65,4 | | 54.3 | | 100.0 | | 66.8 | |
| Healthpost (cum.) | % | - | | 9.0 | | 11,7 | | 21.8 | | - | | 19.6 | |
| Local communities (cum.) | % | - | | 17,9 | | 22.9 | | 23.9 | | - | | 13.6 | |
| d | Micro-project completed | No. | 600 | | - | | 447 | | 192 | | 33 | | 672 | |
| **Energy Efficiency Component** | | |  | |  | |  | |  | |  | |  | |
| 1 | Human Resource Development |  |  | |  | |  | |  | |  | |  | |
| a | Training conducted | No. | - | | - | | - | | 329 | | 22 | | 351 | |
| b | Participants of the trainings | No. | - | | - | | - | | 4965 | | 244 | | 5209 | |
| CO-members | No. | - | | - | | - | | 3640 | | 193 | | 3833 | |
| Local authorities | No. | - | | - | | - | | 1325 | | 51 | | 1376 | |
| 2 | Micro-project supported | No. | 300 | | - | | 118 | | 157 | | - | | 275 | |
| a | Total cost of approved MP | UAH mln | - | | - | | 15 | | 47,3 | | - | | 62.3 | |
| Shared by COs | % | - | | - | | 5.0 | | 5.5 | | - | | 8.3 | |
| Shared by local, rayon, regional authorities | % | - | | - | | 43.0 | | 42.7 | | - | | 43.3 | |
| Shared by CBA | % | - | | - | | 50.0 | | 50.7 | | - | | 46.5 | |
| Shared by others (private sponsors) | % | - | | - | | 2.0 | | 1.1 | | - | | 1.9 | |
| b | Beneficiary characteristics of approved MPs |  | - | | - | |  | |  | |  | |  | |
| Beneficiary population | No. | - | | - | | 126417 | | 187519 | | - | | 313,936 | |
| Institutional beneficiary |  |  | |  | |  | |  | |  | |  | |
| School/kindergarten (cum.) | % | - | | - | | 28.1 | | 58.0 | | - | | 45.1 | |
| Healthpost (cum.) | % | - | | - | | 10.2 | | 9.6 | | - | | 9.8 | |
| Local communities (cum.) | % | - | | - | | 61.9 | | 32.4 | | - | | 45.1 | |
| c | Micro-project completed | No. | 300 | |  | |  | | 26 | | 2 | | 28 | |
| 3 | Supporting energy efficiency strategic plans | No. | 6 | | - | | - | | 6 | | - | | 6 | |
| 4 | Supporting technical design development | No. | 6 | | - | | - | | 6 | | - | | 6 | |
| 5 | Raising public awareness on energy efficiency | No. | 10,000 | | - | | - | | 4692 | | - | | 4692 | |
| **SN** | **Activity** | **Target All Years** | | | | **Achievement** | | | | | | | | | |
| **Unit** | | **Qty** | | **2011** | | **2012** | | **2013** | | **2014 Q1** | | **total** | |
| **Rural Economic Development Component** | | | |  | |  | |  | |  | |  | |  | |
| 1 | Agro/Service Cooperative Developed | No. | | 17 | | - | | - | | 15 | | 1 | | 16 | |
| a | Target households | No. | | - | | - | | - | | 2368 | | 126 | | 2494 | |
| b | Participated households | No. | | - | | - | | - | | 928 | | 63 | | 991 | |
| 2 | Human Resource Development |  | |  | |  | |  | |  | |  | |  | |
| a | Training conducted | No. | | - | | - | | - | | 94 | | 3 | | 97 | |
| b | Participants of the trainings | No. | | - | | - | | - | | 1491 | | 54 | | 1545 | |
| Coop-members | No. | | - | | - | | - | | 1317 | | 51 | | 1368 | |
| Local authorities | No. | | - | | - | | - | | 174 | | 3 | | 177 | |
| 3 | Economic micro-projects supported | No. | | 17 | | - | | - | | 15 | | - | | 15 | |
| a | Total cost of approved MP | UAH mln. | | - | | - | | - | | 4.2 | | 0 | | 4.2 | |
| Shared by COs | % | | - | | - | | - | | 18.1 | | - | | 18.1 | |
| Shared by local, rayon, regional authorities | % | | - | | - | | - | | 10.0 | | - | | 10.0 | |
| Shared by CBA | % | | - | | - | | - | | 69.3 | | - | | 69.3 | |
| Shared by others (private sponsors) | % | | - | | - | | - | | 2.6 | | - | | 2.6 | |
| b | Beneficiary households | No. | | - | | - | | - | | 928 | | 63 | | 991 | |
| **Replication Component** | | | |  | |  | |  | |  | |  | |  | |
| 1 | Rayons selected & partnership established | No. | | 60 | | - | | 61 | | 3 | | 0 | | 64 | |
| 2 | Village/City Council selected & partnership | No. | | 240 | | - | | 243 | | 8 | | - | | 251 | |
| 3 | Communities selected for local action | No. | | 240 | | - | | 239 | | 12 | | - | | 251 | |
| 4 | Community organizations |  | |  | |  | |  | |  | |  | |  | |
| a | CO formed/grafted | No. | | 240 | | - | | 179 | | 74 | | 0 | | 253 | |
| CBA-I CO | No. | | - | | - | | 41 | | 5 | | 0 | | 46 | |
| New CO | No. | | - | | - | | 138 | | 69 | | 0 | | 207 | |
| b | COs Formalisation | No. | |  | | - | |  | |  | |  | |  | |
| Enrolled with VC/CC | No. | | - | | - | | 174 | | 79 | | - | | 253 | |
| Legally registered | No. | | - | | - | | 169 | | 84 | | - | | 253 | |
| c | Household participation/membership |  | |  | |  | |  | |  | |  | |  | |
| Target households | No. | | - | | - | | 52689 | | 40827 | | - | | 93,516 | |
| Participated households | No. | | - | | - | | 39026 | | 41634 | | - | | 80,660 | |
| CO members (total) | No. | | - | | - | | 52401 | | 69167 | | - | | 121,568 | |
| CO members male (total) | % | | - | | - | | 42.5 | | 43.0 | | - | | 43.0 | |
| CO members female (total) | % | | - | | - | | 57.5 | | 57.0 | | - | | 57.0 | |
| 5 | Local Development Forums (formed/grafted) | No. | | 60 | | - | | 47 | | 17 | | - | | 64 | |
| LDF sittings held |  | | - | | - | | 111 | | 169 | | 31 | | 311 | |
| 6 | Community Resource Centers formed/grafted | No. | | 60 | | - | | 52 | | 10 | | 0 | | 62 | |
| Capacity of CRCs strengthened | No. | | - | | - | | - | | - | | - | | - | |
| 7 | Human Resource Development |  | |  | |  | |  | |  | |  | |  | |
| a | Training conducted | No. | | - | | - | | 195 | | 289 | | 2 | | 486 | |
| b | Persons trained (without repetition) | No. | | - | | - | | 1257 | | 1216 | | 7 | | 2480 | |
| CO-members | No. | | - | | - | | 930 | | 990 | | 5 | | 1925 | |
| Local authorities | No. | | - | | - | | 327 | | 226 | | 2 | | 555 | |
| 8 | Community development planning |  | | 240 | | - | |  | |  | |  | |  | |
| a | COs with CDP prepared | No. | | - | | - | | 139 | | 114 | | 0 | | 253 | |
| b | CDP approved and mainstreamed | No. | | - | | - | | 130 | | 121 | | 1 | | 252 | |
| 9 | Micro-Project supported | No. | | 240 | | - | | 67 | | 152 | | 5 | | 224 | |
| a | Sectoral distribution |  | |  | |  | |  | |  | |  | |  | |
| Energy saving | % | | - | | - | | 74.8 | | 75.3 | | 100.0 | | 79.1 | |
| Water supply | % | | - | | - | | 9.9 | | 10.8 | | 0 | | 8.0 | |
| Health posts | % | | - | | - | | 13.0 | | 13.3 | | 0 | | 12.0 | |
| Environment | % | | - | | - | | 2.3 | | 0.6 | | 0 | | 0.9 | |
| b | Total cost of approved MPPs | UAH mln | | - | | - | | 8.7 | | 19.2 | | 0.5 | | 25.4\* | |
| Shared by COs | % | | - | | - | | 6.2 | | 7.2 | | 5.0 | | 10.1 | |
| Shared by local, rayon, regional authorities | % | | - | | - | | 66.8 | | 74.7 | | 75.5 | | 70.9 | |
| Shared by CBA | % | | - | | - | | 20.8 | | 17.0 | | 19.5 | | 17.6 | |
| Shared by others (private sponsors) | % | | - | | - | | 6.2 | | 1.2 | | 0.0 | | 1.4 | |
| c | Beneficiary characteristics of approved MPs |  | | - | | - | |  | |  | |  | |  | |
| Beneficiary population | No. | | - | | - | | 77420 | | 153915 | | 2821 | | 234156 | |
| Institutional beneficiary | No. | | - | | - | | 67 | | 152 | | 5 | | 224 | |
| School/kindergarten (cum.) | % | | - | | - | | 64.2 | | 56.6 | | 20.0 | | 58.7 | |
| Healthpost (cum.) | % | | - | | - | | 16.4% | | 15.1 | | 0 | | 19.6 | |
| Local communities (cum.) | % | | - | | - | | 19.4 | | 28.3 | | 80.0 | | 21.7 | |
| d | Micro-project completed | No. | | 240 | |  | | 32 | | 87 | | 35 | | 154 | |
| **Knowledge Management Component** | | | |  | |  | |  | |  | |  | |  | |
| 1 | Partnership with academia | No. | | 10 | | - | | 20 | | 7 | | 3 | | 30 | |
| 2 | Curriculum introduced in academia | No. | | 2 | | - | | 13 | | - | | - | | 13 | |
| 3 | Knowledge management hub | No. | | 1 | | - | | 1 | | - | | - | | 1 | |
| 4 | PR and communication |  | |  | |  | |  | |  | |  | |  | |
| a | Media events | No. | | - | | 269 | | 144 | | 132 | | 1 | | 546 | |
| b | Media coverage | No. | | - | | 1282 | | 1874 | | 1502 | | 121 | | 4779 | |
| c | Publications (web sites) | No. | | - | | 587 | | 853 | | 716 | | 63 | | 2219 | |
| d | Newsletters | No. | | - | | 71 | | 112 | | 101 | | 8 | | 292 | |
| **Financial Progress (estimated)** | | Mill. $/€ | | 23.3/17.1 | | 1,7/1,1 | | 8,6/6,5 | | 7,9/6,1 | | 0.6/0.4 | | 18.8/14.1 | |
| \* 13 micro projects were transferred to EE component. Grant amount and beneficiaries are counted in EE component. | | | | | | | | | | | | | | | |

**chapter One**

**1.1 Project Genesis**

First phase of CBA Project commenced in late 2007 with a purpose to demonstrate nationwide upscaling of successful model of local sustainable development based on community participation. The model proved effective in promoting good governance and at the same time it opened door for other dimensions of sustainable development. Thus, second phase of CBA Project came into existence in June 2011. CBA-II Project is funded by the European Union and is co-financed and implemented by UNDP, with the support of the Government of Ukraine and in partnership with local executive bodies/ bodies of self-governance. The Project’s total budget is €17.125 million (23.3 million US $) with 98.4% contribution from EU and 1.6% cost sharing from UNDP. Project time frame is 4 years (7 June 2011 – May 2015).

Overall objective of the CBA Project is to promote sustainable socio-economic development at local level by strengthening participatory governance and encouraging community-based initiatives throughout Ukraine. Its specific objectives of the Project are to***:***

* promote community based approach to local governance and sustainable development;
* enhance energy efficiency at local level;
* support the creation of the locally owned and managed repository and network of good practices and knowledge on community mobilization and participatory governance;

Rural Ukraine forms pilot areas of the Project with local communities and local authorities as its main beneficiaries. To achieve its key objectives of governance and sustainable development, it supports implementation of community initiatives (micro-projects) that fall to following priority sectors:

* Health (local health posts);
* Environment (waste utilisation, sewage/drainage etc.);
* Energy (energy saving, energy efficiency);
* Water supply;
* Rural economic development
* Knowledge management
* Methodology replication

**1.2 Implementation Methodology**

Strategic direction of CBA is to build capacity of its target stakeholders and use this capacity for multi-stakeholders cooperation and multi-sectoral interventions with ensured local ownership of the process. The process is bottom-up. The ***bottom-up*** mechanism is established involving stakeholders from grassroots (community), meso (rayon and regional) and macro level (national) in the process as it moves upward. CBA implementation process involves a series of activities and action points that ultimately yields results intended by the Project.

A multi-level partnership is built involving selected rayons, village/city councils and local communities form the functional area of the Project. Their selection is done through open ***competition*** based on the criteria of socio-economic hardship, especially in the area of health, education, water supply, energy supply and environmental situation. Through the selection process, CBA reaches the most suffering areas/population of the region/rayon.

Local level activities of CBA are carried out under the framework of ***partnership***with the stakeholders. It is based on willingness and commitment of the partners (communities, village/city councils, rayon authorities, regional authorities, academia, associations of local self-governments, private sector) for cost sharing and joint decision-making.

The project uses ***social mobilisation tool*** to mobilise stakeholders and create environment (support structures) for joint decision-making and joint implementation of activities. Community organisation (CO) is formed by representation of 80% or more households on the selected community to reflect common community vision and implementation of community priorities; local development forum (LDF) is developed at rayon level for joint decision-making, resource mobilisation, local coordination; regional coordination council (RCC) is developed at regional (oblast/ARC) level to monitor CBA activities in the region, to resolve issues related with local policies/procedures, to support programming and resource mobilisation. At national level there is a steering committee to ensure national level coordination and advisory support.

***Capacity*** of the COs is built in such a way that they are able to make joint decision with local authorities, mobilize resources, implement local priorities and sustain the result. Capacity of the partners (VC/CC, rayon/oblast etc.) is strengthened in terms of human resources to implement participatory approach propagated by the Project. Training, exposure visits, dialogues and small grants (for community projects), appropriate institutional mechanisms etc. are used as tools for building capacity.

**1.4 Management Arrangement**

The Project is managed by UNDP/Ukraine under overall guidance of Country Director and under direct supervision of Senior Programme Manager. Execution of the Project is done by an implementation team with a central body in Kyiv and 25 regional implementation units, one in each region. Oblast Implementation Units (Regional Community Resource Centres) are further supported by oblast authorities in terms of office premises and human resources. Similarly, each rayon partner has deputed one official for coordination and implementation purpose and has established modest form of district community resource centre. They all together implement local component of the CBA activities. LDF and RCC serve as a guiding body at local and regional level and steering committee of the Project serve as a guiding body at the national level.For timely and quality output, appropriate ‘quality supervision committee’ (QSC) and ‘management information systems’ (MIS) are established to make the stakeholders directly involved in the process of monitoring and assessment of the Project activities. Flow of information is bottom-up and participatory. Various forms of media outlets are encouraged for raising public awareness about activities of CBA with a purpose to ensure transparency.

**1.5 Project Area**

|  |
| --- |
| Description: Z:\CBA - II 2011\TEMPLATES\Undp_map\UNDP_MAP.jpg |
| **Map – I: CBA Project Area** |

CBA Project works in all oblasts of Ukraine and Autonomous Republic of Crimea covering total of 264 rayons (6-10 rayons in average) and 1106 village/city councils (4-5/rayon in average).

**LEGEND**

= Oblast/Region

 = Rayon

 = Village/City Council

**Chapter Two**

*Regular component of CBA-II nurtures the methodology of community based local sustainable development that was introduced during first phase of the Project. It includes strengthening of the model in old (CBA-I) communities and expanding it to new territories. By March 2014, target of micro-project was achieved to the level of 118%. Details on this component are described in the following sections and on* [*www.cba.org.ua*](http://www.cba.org.ua)

**2.1 Establishing Partnership** (Target: 25 regions, 200 rayons and 900 local councils. Achievement: 25 regions, 200 rayons and 855 local councils)

Establishment of partnership with local/regional authorities through signing of partnership agreement is essential to formalize the role and commitment of the partners and to provide an official basis to work with community organizations.

Establishment of partnership involves a process of public information. Awareness materials related with CBA-II implementation are presented together with video film show, leaflets distribution, PowerPoint presentation and question-answer sessions. It is followed by competition, consultation and formalization. At the sub-national level it involves regional authorities, rayon authorities and local councils (village/city councils with less than 10,000 population). Competition is based on a set of socio-economic hardship criteria (especially in the area of health, water supply, energy supply and environmental situation) that collectively identify the level of suffering, willingness and commitment. A formal partnership agreement between the level of government and UNDP opens the door for CBA to carry out its activities at that level. Forging of partnership includes several steps as given in Annex - I:

**Table – I: Partnership Establishment**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Unit** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | MoU signed with regional authorities | No. | 25 | - | - | - | 25 |
| 2 | Rayon level partnership |  |  |  |  |  |  |
| a | Rayon selected | No. | 200 | - | - | - | 200 |
| CBA-I rayon | No. | 100 | - | - | - | 100 |
| New rayon | No. | 100 | - | - | - | 100 |
| Rayon in reserve | No. | 76 | - | - | - | 76 |
| b | MoU signed with rayon authorities | No. | 200 | - | - | - | 200 |
| 3 | Local council level partnership |  |  |  |  |  |  |
| a | Village councils selected | No. | 833 | -1 | 23 | - | 855 |
| CBA-I councils | No. | 160 | 0 | 0 | - | 160 |
| New councils | No. | 673 | -1 | 23 | - | 695 |
| Councils in reserve | No. | 382 | - | - | - | 382 |
|  | Council seminar held | No. | 833 | 1 | 24 |  | 858 |

\* Regionwise details in Annex – II

In total, partnership was established with 24 oblasts and ARC government; 200 rayons and 855 local councils over 2011-13 period. The partnership expanded to 100 new rayons and 695 new local councils indicating further outreach of CBA methodology in the country. Also, the partnership was almost exclusively rural since 91% of the local councils were village councils and only 9% were either urban type village or small city councils.

**2.2 Developing Support Structures** (Target: 900 COs, 200 LDFs, 223 CRCs and 25 RCCs. Achievements: 853 COs, 200 LDFs, 223 CRCs and 25 RCCs)

Establishing support structures is one of the important elements of the community based development approach methodology. Appropriate support structures are developed for participatory decision-making and monitoring at all levels of project implementation. Key support structures include community organisation (CO) at local level, local development forum (LDF) and community resource centre at rayon level, regional coordination council (RCC) and community resource centre at regional level.

***2.2.1 Community organisation development***

Community organisation (CO) is the foundation block for community based approach. It enables community members to get their potentials unleashed through collective action. It also helps governance at local level to become more participatory.

|  |
| --- |
| C:\Users\User\Desktop\CBA-III\Photos 4 Display\Capacity Building\GEDC3898.JPG |

To develop a CO, first a community is selected through open competition. Basis of competition is the hardship facing the community and willingness of the community to help themselves. Thereafter, the community members are sensitized and motivated to get organised.

During the quarter two communities organised to form community organisation, making it total of 853 COs since inception. A total of 458,714 persons from 316,283 households joined the COs as members. The Project expects participation of 80% of households of the community with at least 50% female participation. The actual participation of households turned out to be 85.9% and representation of women in the total membership was 56.3%. Thus, the project succeeded in making a high outreach to households and to the women in the target communities. Also, about 83% of the COs were new reflecting the fact that CBA-II succeeded in making a wider outreach beyond CBA-I coverage of communities (Table – II).

**Table – II: Formation of Community Organizations** (Target 900)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Unit** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Community selected | No. | 782 | 53 | 23 | - | 858 |
| *Old (CBA-I)* | No. | *145* | *8* | 2 | - | 155 |
| *New* | No. | *637* | *45* | 21 | - | 703 |
| Community selected for reserve | No. | 568 | 122 | 38 | - | 728 |
| 2 | No. of COs formed/grafted | No. | 702 | 134 | 15 | 2 | 853 |
| *CBA-I or existing ones* | No. | 133 | 8 | 1 | - | 142 |
| *Newly formed* | No. | 569 | 126 | 16 | - | 711 |
| 3 | Household participation | No. |  |  |  |  |  |
| *Target households* | No. | 210785 | 149720 | 7577 | - | 368,082 |
| *Participated households* | No. | 155232 | 151545 | 9506 | - | 316,283 |
| 4 | Membership | No. | 228149 | 212206 | 18359 | - | 458,714 |
| *Male* | % | 43 | 43 | 43 | - | 42.7 |
| *Female* | % | 57 | 57 | 57 | - | 56.3 |

\* Regionwise details in Annex – III (A), III (B)

The COs must register themselves in a legal form so that they could acquire resources and implement local development initiatives legally. In this pursuit, about 94% of COs preferred the form of public organisation followed by body of self–organisation of population (Table – III).

**Table – III: Legal Form of COs\***

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Legal form** | **Unit** | **2011** | **2012** | **2013** | **2014Q1** | **Total** | **%** |
| ACMB | No. | 0 | 0 | 0 | 0 | 0 | 0.0 |
| Public organization | No. | 588 | 193 | 16 | 2 | 799 | 93.7 |
| BSP | No. | 12 | 23 | 2 | - | 37 | 4.3 |
| Cooperatives | No. | 4 | 0 | -1 | - | 3 | 0.4 |
| Other | No. | 13 | 3 | -2 | - | 14 | 1.6 |
| **Total** |  | **617** | **219** | **15** | **2** | **853** | **100.0** |

**\*** Regionwise details in Annex – IV

***2.2.2 Establishing local development forum***

Local development forum (LDF) is created at rayon level and is expected to facilitate dialogue, coordination, planning and decision-making at local level between the oblast and rayon authorities and communities for promoting community driven local development. Usually LDF consists of RSA and RC heads, heads of village/city councils, head of relevant departments in RSA/RC, representatives (focal person) of oblast authorities, and heads of CBA/COs.

Target on LDF establishment was fulfilled in 2012 with establishment of 200 LDFs (Table – IV). LDFs held meeting from time to time to discuss and decide upon various issues such as approval of community plans, resource mobilisation, coordination and solving organisation problems facing the COs.

**Table – IV: Formation and Sitting of LDFs** (Target 200 LDFs)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| LDF established | 182 | 18 | - | - | 200 |
| *LDF grafted from CBA-I* | 96 | 4 | - | - | 100 |
| *New LDF* | 86 | 14 | - | - | 100 |
| No. of sittings held | 226 | 623 | 377 | 43 | 1269 |

\* Regionwise details in Annex – III (A), III (B)

***2.2.3 Regional Coordination Council***

Regional coordination council (RCC) is created at region level with an aim to coordinate and facilitate financing of micro-projects. It serves to disseminate information about the Project among officials, monitor activity of the Project in the region and provide consultations on strategic issues of micro-projects’ implementation. RCC is chaired by deputy head of oblast state administration or deputy head of oblast council[[1]](#footnote-1) and consists of representatives of rayon administration/council heads, appropriate departments of OSA/OC, selected village/city council heads, CO, NGO and private sector.

During first phase of CBA, 25 RCC were established in all oblasts of Ukraine and in ARC. During second phase of CBA, all 25 RCCs were reconstituted (Table – V). Since inception, RCCs met 95 times to review CBA implementation in the region and to decide upon various issues such as accelerating the pace of implementation, CBA replication, participation in the energy efficiency and rural economic development components, development/approval of appropriate social/economic programmes etc.

**Table – V: Grafting and Sittings of RCCs** (Target 25 RCCs)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| RCC grafted | 11 | 13 | 1 | - | 25 |
| Sittings held | 11 | 40 | 39 | 5 | 95 |

\* Regionwise details in Annex – III (A), III (B)

***2.2.4 Community Resource Centres***

To facilitate the functioning of LDF and RCC, a community resource centre is established by the partner rayon authorities and regional authorities. For this purpose, they provide premises and depute a focal person. CBA provides logistic facilities as necessary and trains the focal persons. Resource centres are also important in that they support non-CBA communities in carrying out local development activities in line with CBA methodology – something sought valuable from the perspective of methodology replication.

By 2012, 23 regions and 200 rayons had their community resource centers established. In the regions, resource centres are managed by community development officers (staff of CBA) with support of staff deputed by the regional authorities. In rayons, the resource centres are managed by an official deputed by the rayon leaderships. The resource centres are also provided with premises, equipment and logistic by the regional/rayon authorities. CBA provided equipment and logistics to about 36% of the rayon resource centres on competition bases and toall regional level resource centres to ensure/enhance their effectiveness (Table – VI).

**Table – VI: Creation of Resource Centres**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Level of Resource Centre** | **Target** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Regional Community Resource Centre | 23+ | 23 | 2 | - | - | 23 |
| 2 | Rayon Community Resource Centre | 200 | 170 | 30 | - | - | 200 |

\* Regionwise details in Annex – III (A), III (B). + RIUs in Kyiv and ARC are located outside oblast premises

**2.3 Capacity Building**

Local communities, local authorities and other partners require capacity building support to be able to adopt and practice the approach promoted by the Project. Capacity building involves such activities as training and exposure visits.

During the quarter, 14 capacity building events were held. In total since inception, 1693 events were held in participation of 8,311 CO-executives and 2,483 officials of local/regional governments. Through these events, the participants learned skill of managing COs, making participatory planning, preparing micro-project proposal, implementing micro-projects, assessing the COs and conducting public audit etc. (Table – VII).

**Table – VII: Trainings Organised**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Type of Participants** | **No. of training** | | | | | **Participants (non-repetition)** | | | | |
| **2011** | **2012** | **2013** | **2014Q1** | **Total** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | CO executives | 648 | 615 | 416 | 14 | 1693 | 3795 | 2176 | 2233 | 107 | 8311 |
| 2 | Officials of local councils and rayon/regional authorities | 1397 | 352 | 726 | 8 | 2483 |

\* Regionwise details in Annex – V (A), V (B)

**2.4 Micro-project Implementation**

Implementation of micro-projects is a tool to build capacity of local authorities and local communities to jointly plan and implement the vision of local development such that living conditions in rural areas gets improved in a sustainable way. The implementation process also nurtures good governance and helps in addressing critical global and national issues. CBA supports implementation of local priorities termed as ‘micro-projects’ through small grants based on equity, do-ability, sustainability and cost sharing criteria.

Micro-project implementation is completed, as described below, in 4 steps: planning, approval, implementation and sustainability arrangement.

***2.4.1 Planning, prioritization and mainstreaming***

Through participatory planning process, the CO-members identify problems of their village they want to solve and prioritize them based on such criteria as *intensity* of need (80% or more households felt it as the first priority), *do-ability* (the micro- project is simple enough to be implemented within capacity of the CO-members), *resource availability* (willingness of donors, community members and local authorities to support the priority), *sustainability* (the beneficiary community should be able to sustain the object/services created. Result is a community development plan (CDP), which is in form of conceptual proposal. Upon its approval from village/city councils, it is submitted to the LDF for review and approval. CDPs from various communities are debated at the LDF meeting and most appropriate plans are approved along with recommendations for rayon budget allocation.

**Table – VIII: Community Development Plan**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Details** | **Unit** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | CDPs developed/grafted | No. | 516 | 314 | 2 | - | 832 |
| 2 | Sectoral distribution of top priority |  |  |  |  |  |  |
| *Energy saving* | % | *76.1* | *80.7* | *-* | *-* | *75,6* |
| *Water supply* | % | *4.0* | *0.6* | *-* | *-* | *10,7* |
| *Health* | % | *19.8* | *18.0* | *100* | *-* | *13,0* |
| *Environment* | % | *0.1* | *0.7* | *-* | *-* | *0,7* |
| 3 | CDPs approved at VC/CC | No. | 484 | 345 | 3 | - | 832 |
| 4 | CDPs approved at LDF | No. | 321 | 489 | 22 | - | 832 |

\* Regionwise details in Annex – VI

Since inception, 832 COs developed their plan, all of which were approved by local councils and LDF meetings. Almost three-fourth of community priorities were about energy saving/efficiency followed by health and water supply (Table – VIII).

***2.4.2 Approval of micro-project proposals***

Following to plan approval at LDF, the COs prepare micro-project proposal and submit it to central office of CBA Project for appraisal and approval. A typical proposal includes a set of documents such as technical documentation, cost estimate with proposed cost sharing, commitment letter from stakeholders, protocols of decisions made at various point of time, statute of the CO, bank account evidence, micro-project proposal, result of participatory assessment and/or maturity index, sustainability mechanism etc. The CO-executives go through rigorous learning by doing process while preparing technical documentation and micro-project proposal.

Central office of CBA appraises the proposals submitted by COs and approves them, if found satisfactory. As of March 2014, 710 MPPs were approved by CBA (Table – IX). Total cost of the approved micro-projects was UAH 125.5 million, shared among COs (7.7%), CBA (42%), local/regional authorities (48.7%) and private sponsors (1.6%) as given in Chart – II. It reflects local cost sharing more than envisioned from COs, local authorities and private sponsors, thus validating the commitment of the local stakeholders for collective action.

|  |
| --- |
| **Chart – II: Micro-project Cost-sharing**\* |

\* Regionwise details in Annex - VII

Sectoral distribution of the approved micro-projects shows that highest preference of local stakeholders is for energy sector followed by health and water supply as given below:

* Energy saving - 73.0%
* Health - 13.7%
* Water supply - 12.6%
* Environment - 0.7%

The approved project is expected to benefit about 948,764 men, women and children (directly & indirectly). In terms of institutional beneficiaries, distribution of approved micro-projects is as follows:

* Schools/kindergartens - 66.7%
* Healthpost - 19.6%
* Local communities - 13.7% (in case of street lighting, water supply, waste collection etc.)

***2.4.3 Implementation of micro-project***

Approval of micro-project proposals is followed by a series of steps to achieve intended results. CO-members are informed about the approval of their proposal and terms of grant agreement. Signing of grant agreement by responsible CO-official, often takes place during the general meeting. It develops a strong sense of ownership and accountability among the CO-members regarding the resource utilization and timely completion of the work.

Grant agreement enables COs to receive grant money from CBA. COs form a functional group and a tender committee, and announce a tender for selection of contractor. The best bid is chosen by the CO and contract is signed for implementation of the micro-project. As the implementation proceeds, quality supervision committee monitors the works from time to time. Based on the work completion, reporting and verification, total grant amount is released in three tranches. CO-members contribute their part of the share in advance or gradually during the implementation. Other contributors (e.g. local authorities

**Table – IX: Implementation of Micro-Projects**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Activity** | **Unit** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 2 | MPPs approved | No. | 112 | 550 | 46 | 2 | 710 |
| 3 | MPs completed (works done) | No. | - | 327+ | 312 | 33 | 672 |
| 4 | Public audit conducted | No. | - | 247+ | 366 | 38 | 651 |
| 5 | O & M funds established | No. | 72 | 467+ | 128 | 3 | 670 |
| 6 | Project handed over | No. | - | 168+ | 430 | 31 | 629 |

\* Regionwise details in Annex – VII and VIII; + Revised estimate

|  |
| --- |
| C:\Users\User\Desktop\Photos\Tender results_VN.JPG |
| Tender documents being checked by tender committee in Vinnytska oblast in presence of bidders |

and private sponsors) provide their share in a parallel modality in general. In some cases, they too deposit their share into the account of the COs

As indicated in Table – IX, 710 micro-projects were initiated by March 2014. Of them, 672 micro-projects got completed. 651 micro-projects underwent public audit before handing over of the object to the owner. During public audit, citizens make final assessment of the quality and quantity of works and financial management. It ensures transparency and accountability in the community. It also builds community ownership on the object created.

***2.4.4 Sustainability***

|  |
| --- |
| C:\Users\User\Desktop\Photos\Regular\Health post Uspentsi Ambrosiivskyi rayon_DD.JPG  Healthpost Uspentsi Ambrosiivsky rayon, Donetska oblast |

Issue of sustainability of the object created/repaired through micro-projects is addressed from various options. Firstly, the COs and relevant local authorities reach a mutual agreement to jointly carry out operation and maintenance of the created/repaired object at the stage of micro-project proposal preparation. Secondly, the COs decide to establish an operation and maintenance fund that would be used any time needed to fix the problem connected with the object. Thirdly, the resulting object is handed over to the owner of the object (local council or rayon department), which is legally responsible for the maintenance. Fourthly, there is a guarantee of maintenance under the term of contract between the CO and service provider.

In above framework, of 672 micro-project completed until March 2014, 670 had sustainability arrangement and 629 of them were handed over to the object owner (local councils or rayon authorities) (Table – IX).

|  |  |
| --- | --- |
| **Co-financing in School: A Case of Local Community in Kirovohradska oblast**  Participation in the CBA Project for villagers of Veselivka village of Kirovohradska region has become a symbol of success and a real opportunity to change lives for the better.  Inhabitants of veselivtsi never stood aside their problems and tried to take all possible measures to improve condition of their village. One of their dreams was to improve the educational facility of the pre-school in the village. They did mobilise locally available resources, financial and non-financial alike, and made some improvement but their task was far from over.  24 October 2011 became prominent day for the villagers of Veselivky when seminar on presentation of the CBA-II village took place in the auditorium of the school. Most of the active villagers were present in the seminar. The information on CBA Project was perceived positively and accompanied by a discussion. After the discussion, the villagers decided to form a community organisation, defined name of the organisation and its governing structure. They also identified a number of problems of the settlement that required attention. It was decided that each household of the village would send at least one representative who would like to become a member of the CO "Veselovskoe self-look into the future."  Villagers consider "bottom-up planning" is an important component in the process as it involves hope and cooperation. Members of the CO decided to choose energy-saving measures in school as a priority issue. "The first thing to do is for the kids’ comfortable school life. After-school memories remain for life and learning in cold classrooms hardly retain warm memories" considered the villagers. They saw how time had taken toll on the school structure. 96 of 117 windows needed immediate replacement. Some windows had already fallen out of their frame to blow foam insulation because of the wind almost fell out of the window frames. The priority came as consensus. The head of village council and other deputies were also with this view.  This vision of the villagers was shared with head of district council and deputy of regional council during the seminar of settlements (local development forum meeting). They all recognised depth of the problem and appreciated activeness of the villagers and assured to allocate resource finally able to be transformed into reality. Finally, district budget helped UAH 50 thousand, village council offered UAH 20 thousand and villagers collected UAH10.8 thousand from their side. Contribution CBA was UAH 80 thousand. " This amount was a considerable sum for us , which helped in the realization of our initiative” says the head of the CO " Veselovskoe self-look into the future " Khlan VG, “Thanks to everyone involved. We have replaced most of the windows and the school has become comfortable. Although work is not fully over. We still need some money, but now we know how to work and at the same time save money. Demolition, plastering, painting and decorating … we did on our own. School staff, parents and sponsors .. all participated. It is exactly in line with the motto of CBA project "together more effective". Development is impossible without close cooperation of the CO, government and businesses.”   |  | | --- | | C:\Users\User\Desktop\Success stories\Kirovohrad_Story_Photos\ST833558.JPG |   "Today our public organization has become a major driving force that seeks to bring change. I have a wonderful opportunity to make a difference in our village for the better, because I was born here and grew up and studied in this school" said the activist O. M. Bohachenko.  "Of course, CBA Project helped us to unite. Without assistance from the budgets of all levels, we would still continue to suffer from old windows, and our school would not become so warm and cozy. At the general meeting we decided that the next step is to complete the remaining problems in the school. We hope that our partners, especially the village head, the district and regional deputies and all the participants of the village in this process know that their funds are aimed at improving the lives, not someone’s pocket " - said treasurer of the CO " Veselovskoe self - look into the future " I. P. Bobrovska |

**2.5 Experience**

*Budget constraint facing the partner authorities posed the challenge of micro-project implementation during the first quarter of 2014, the same as in 2013. In some cases, the contractors used their capital and completed the project on time with an anticipation of reimbursement once the fund becomes available with the authorities. In other case, the contractors did not/could not advance services from their side until they received money. In such case, delay occurred in completion of the projects. Another issue relevant to sustainability is in the legal constraint that does not permit accumulation of maintenance fund (collected by COs) over multi-year period.*

**Chapter Three**

*CBA-II envisions that the community based development methodology will be gradually internalised into the national framework of local development. From this perspective, it supports competent partners at regional/local level to replicate CBA methodology. Besides technical and backstopping support, CBA offers small grant for community projects based on special cost sharing - local/rayon/regional authority – 70%, CBA Project– 25% (up to USD 2600 equivalent in UAH) and community organisation–5%. This component was initiated in 2012 and is expected to continue until 2014. By March 2014, most of the targets were achieved. More information about the replication component is given at the site:* [*http://cba.org.ua/en/activities/methodology-revival*](http://cba.org.ua/en/activities/methodology-revival)*. Key activities and consequent results achieved during reporting period are described below.*

**3.1 Establishment of Partnership** (Target 60 rayons, 240 local councils. Achievement: 64 rayons, 251 local councils)

Selection of rayons and local councils for methodology replication took place until 2013. First, 21 regions (except ARC, Chernihivska, Kharkivska and Zhytomyrska) were selected in 2012 based on criteria of willingness, socio-economic programmes that allows CBA methodology replication and performance of the region in implementing CBA-I. Second, 64 rayons were selected in 21 regions through competition. Of them, 29 belonged to CBA-I, and 35 rayons were new.

Rayon seminar was conducted in all selected rayons to inform the stakeholders regarding principles and procedures of methodology replication. Following to the seminar, partnership agreement was signed. Furthermore, 251 local councils were selected in all replication rayons based on socio-economic hardship criteria. Village level launching seminar was conducted in each local council and partnership agreement was signed (Table - X). Thus, target of partnership with rayons and village councils was achieved in full. In course of implementation, partnership was terminated with a few rayons due to passivity reason and/or lack of financial constraint to support micro-projects.

**Table – X: Partnership for Methodology Replication**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target** | | **Achievement** | | | |
| **Unit** | **Qty** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Rayons selected for partnership | No. | 60 | 61 | 3 | - | 64 |
| *Old (CBA-I) rayons* | No. | - | *29* | *0* | *-* | *29* |
| *New rayons* | No. | - | *32* | *3* | *-* | *35* |
| Rayons in reserve | No. | - | 19 | 1 | - | 20 |
| 2 | Partnership agreement signed | No. | - | 61 | 3 | - | 64 |
| 3 | Local Councils selected for partnership | No. | 240 | 243 | 8 | - | 251 |
| *Old VC/CC* | No. | - | *45* | *4* | *-* | *49* |
| *New VC/CC* | No. | - | *198* | *4* | *-* | *202* |

\* Regionwise details in Annex – IX

**3.2 Developing Support Structures** (Target: 60 LDFs, 60 CRCs, 240 COs. Achievement: 64 LDFs, 62 CRCs, 253 COs)

Following the procedures as described in section 2.2, development of support structures took place until 2013. Under effect of social mobilisation, 251 selected communities formed 253 COs, registered them with local councils and state registration agency. All 64 rayon authorities established LDF to support joint decision-making and 62 of them established community resource centres to support the implementation of methodology replication (Table –XI).

A total of 121,568 men and women representing 80,660 households got organised to form COs. Participation of households reached 86.2% and that of women participation reached 57%. Like in case of regular component, level of household and female participation was higher than expected in case of methodology replication.

**Table – XI: Support Structure Development under Methodology Replication**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target** | | **Achievement** | | | |
| **Unit** | **Qty** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Community selection | No. | 240 | 239 | 12 | - | 251 |
| *CBA-I community* | No. | *-* | *47* | *-* | *-* | *47* |
| *New community* | No. | *-* | *192* | *12* | *-* | *204* |
| 2 | COs formed/grafted | No. | 240 | 179 | 74 | - | 253 |
| *CBA-I COs* | No. | *-* | *41* | *5* | *-* | *46* |
| *New COs* | No. | *-* | *138* | *69* | *-* | *207* |
| 3 | CO formalisation | No. |  |  |  |  |  |
| *Enrolled with VCs/CCs* | No. | 240 | 174 | *79* | *-* | *253* |
| *Legally registered* | No. | 240 | 169 | *84* | *-* | *253* |
| 4 | Household participation | No. |  |  |  |  |  |
| *Target households* | No. | *-* | 52689 | 40827 | *-* | 93516 |
| *Participated households* | No. | *-* | 39026 | 41634 | *-* | 80660 |
| 5 | CO membership | No. | - | 52401 | 69167 | *-* | 121568 |
| *Male members* | % | *-* | 42.5 | 43 | *-* | 43 |
| *Female members* | % | *-* | 57.5 | 57 | *-* | 57 |
| 6 | LDFs (formed/grafted) | No. | 60 | 47 | 17 | *-* | 64 |
| LDF sittings held | No. | - | 111 | 169 | 31 | 311 |
| 7 | CRCs formed/grafted | No. | 60 | 52 | 10 | *-* | 62 |

\* Regionwise details in Annex – IX

**3.3 Capacity Building**

Officials of rayon authorities and local councils were trained in CBA methodology to enable them to be able to replicate CBA methodology on their territory. Similarly, CO-executives were trained in various aspects. In particular, 486 trainings were organized until March 2014 to build the capacity of stakeholders. Through the training, 1925 community members and 555 representatives of local authorities were provided with training in such areas as planning, micro-project implementation, participatory assessment, public auditing, MP-handover and sustainability (Table – XII). Among the trainees, 61.7% were female.

**Table – XII: Training Under Methodology Replication**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Target** | | **Achievement** | | | |
| **Unit** | **Qty.** | **2012** | **2013** | **2014Q1** | **Total** |
| Training conducted | No. | - | 195 | 289 | 2 | 486 |
| Persons trained (without repetition) | No. | - | 1257 | 1216 | 7 | 2480 |
| *CO-members* | No. | - | 930 | 990 | 5 | 1925 |
| *Local authorities* | No. | - | 327 | 226 | 2 | 555 |

\* Regionwise details in Annex – XA, XB

**3.4 Community Projects** (Target: 240 micro-projects. Achievement: 220 micro-projects)

|  |
| --- |
| C:\Users\User\Desktop\Photos\Regular\Repaired kindergarden Kindrativka village Kostyantynivsky rayon_DD_replication.JPG  After repair - A kindergarten of kindrativka village of Donetska oblast |

As described in section 2.3, micro-project support in methodology replication entails a series of steps namely preparation of community development plan; mainstreaming of community plan through approval at LDFs; technical document preparation; micro-project proposal; appraisal and approval of micro-project proposal by CBA; signing of grant agreement, implementation of micro-projects; quality supervision; commissioning and handover and sustainability arrangement.

During the quarter, 1 CDP was mainstreamed through LDF approval, 5 energy saving micro-projects were supported and 35 micro-projects were completed. Since inception, 253 CDPs were prepared by COs, 252 of them were mainstreamed at LDFs for funding. During the same period, 225 micro-projects were supported by CBA (Table – XIII).

Total cost of 225 micro-projects was UAH 25.4 million shared by COs (10.1%), local budget (70.9%) CBA (17.6%) and private sponsor (1.4%). About 79.1% of the micro-projects were dedicated to energy saving followed by health protection (12.0%), by water supply (8.0%) and environment (0.9%). Schools\kindergartens were the main recipients of micro project support followed by community territories and hospitals. About 234,156 men, women and children are expected to benefit from these micro-projects directly or indirectly.

Among the supported micro-projects, 166 were completed as of March 2014, with public audit conducted for 145 micro-projects and 135 objects handed over to the relevant authorities.

**Table – XIII: Micro-project support under methodology replication**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target** | | **Achievement** | | | |
| **Unit** | **Qty.** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Community development planning |  |  |  |  |  |  |
| a | *COs with CDP prepared* | No. | 240 | 139 | 114 | - | 253 |
| b | *CDP approved and mainstreamed* | No. | 240 | 130 | 121 | 1 | 252 |
| 2 | Micro-Project supported | No. | 240 | 67 | 152 | 5 | 224 |
| a | Sectoral distribution |  |  |  |  |  |  |
| *Energy efficiency* | % | - | 74.8 | 75,3 | 100.0 | 79.1 |
| *Water supply* | % | - | 9.9 | 10.8 | 0 | 8.0 |
| *Health posts* | % | - | 13.0 | 13.3 | 0 | 12.0 |
| *Environment* | % | - | 2.3 | 0.6 | 0 | 0.9 |
| b | Total cost of approved MPPs | UAH mln | - | 8.7 | 16.2 | 0.49 | 25.4 |
| *Shared by COs* | % | - | 6.2 | 7.2 | 5.0 | 10.1 |
| *Shared by local, rayon, regional authorities* | % | - | 66.8 | 74.6 | 75.5 | 70.9 |
| *Shared by CBA* | % | - | 20.8 | 17.0 | 19.5 | 17.6 |
| *Shared by private sponsors* | % | - | 6.2 | 1.2 | 0.0 | 1.4 |
| c | Beneficiary characteristics |  |  |  |  |  |  |
| *Beneficiary population* | No. | - | 77,420 | 153915 | 2,821 | 234,156 |
| *Institutional beneficiary* | No. | - | 67 | 152 | 5 | 224 |
| *School/kindergarten (cum.)* | % | - | 64.2 | 56.6 | 20.0 | 60.9 |
| *Healthpost (cum.)* | % | - | 16.4 | 15.1 | 0.0 | 18.6 |
| *Local communities (cum.)* | % | - | 19.4 | 28.3 | 80.0 | 20.5 |
| d | Post-MP completion status |  |  |  |  |  |  |
|  | *O & M fund created* | No. | 240 | 51 | 146 | 22 | 219 |
|  | *Work completed* | No. | 240 | 15 | 104 | 35 | 154 |
|  | *Public audit held* | No. | 240 | 9 | 102 | 22 | 133 |
|  | *Object handed over* | No. | 240 | 9 | 88 | 26 | 123 |

\* Regionwise details in Annex – XI (A), XI (B), XI (C)

**3.5 Experience**

*Due to conflict situation in the country, financial crisis increased during the quarter. As a result, lack of budget with the local authorities remained as the biggest challenge. It seriously affected continuation and completion of micro-projects especially because share of local budget was quite high. A few rayons terminated partnership due to lack of budget. Some contractors completed the work with a hope that local authorities would disburse their part of the cost at a later date upon availability of the budget. CBA Project, on its part released its share in an accelerated pace so as to enable completion of the micro-project, where possible. Also, CBA project initiated mitigation scheme to further facilitate the task completion. The scheme will be applicable from 2nd quarter of 2014.*

**Chapter Four**

*By March 2014, CBA Project succeeded in introducing concept of innovative technology for raising energy efficiency together with necessity of exploiting renewable energy sources. It was done through training, micro-project implementation and raising public awareness. Also, strategic vision of selected oblast authorities was sharpened for promoting energy efficiency, especially in rural areas and through people’s participation. A total of 275 micro-projects (out of target 300) were undertaken by communities; 6 oblasts undertook updating of regional energy strategy followed by investment in promoting energy efficiency; and more than 4500 people received awareness information on energy efficiency (out of 10,000 target). More information can be found below and at* [*www.cba.org.ua*](http://www.cba.org.ua)

Overall objective of the EE component of CBA-II is to enhance local capacity by enabling citizens and local authorities to learn about energy efficiency technologies and use them jointly to solve their energy problems in a sustainable way. This is to be achieved though (a) review and improvement of regional energy efficiency strategies in 6 oblasts; (b) support to 6 regional authorities for preparation of technical documentation; (c) support to 300 pilot micro-projects of energy efficiency based on introduction of micro-scale innovative technology and renewable energy sources; (d) awareness raising campaign reaching 10,000 population.

Following activities were carried out as of March 2014 to achieve the intended results:

* 1. **Selection of Pilot Areas** (Target – 25 regions; 81 rayons; 162 COs. Achievement – 25 regions, 87 rayons, 162 COs)

During April 2012, all regional authorities were invited to participate in the competition for energy efficiency component. The competition was based on such criteria as level of diversification form of renewable energy sources; degree of per capita CO2 emission; level of commitment of the regional authorities to promote and support energy efficiency in rural area (including through renewable energy sources); level of realization of such plans under mentioned program in the past; level of technical capacity (expertise) of the regional authority to pursue energy efficiency vision; existence of analysis of ‘local/renewable energy sources’ appropriate for rural areas; existence of the list of budget objects with technical and maintenance information, and performance of the region in implementing CBA methodology. Six regions (Ivano-Frankivska, Lvivska, Kharkivska, Zaporizhia, Zakarpatska and Dnipropetrovska) were selected for advance piloting and the remaining 19 regions were proposed the option of participation in the normal piloting.

Launching seminars of the EE component were organised in 2012 in all 25 regions to explain about details of EE component, terms of partnership, action plan and announcement of competition for pilot rayon selection.

|  |
| --- |
|  |
| **Map – III. Area of implementation of EE component** |

Following to the competition, 87 rayons were selected jointly by CBA and regional authorities to participate in piloting of EE component. The selection utilised such criteria as potential of renewable energy sources in the rayon; no. of rural communities lacking gas supply; no. of rural communal infrastructures facing serious energy inefficiency; availability of special programme & budget to exploit renewable energy opportunities; level of technical capacity (in term of human resource) to work on renewable energy sector; and level of pro-activeness (performance) in implementing CBA methodology.

**Table – XIV: Pilot Area Selection for EE**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target** | | **Achievement** | | | |
| **Unit** | **Qty** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Region Selection | No. | 25 | 25 | - | - | 25 |
| a | *Advance pilot* | No. | *6* | 6 | *-* | *-* | 6 |
| b | *Normal pilot* | No. | *19* | 19 | *-* | *-* | 19 |
| 2 | Rayon Selection | No. | 81 | 87 | - | - | 87 |
| a | *Advance pilot* | No. | *24* | 24 | *-* | *-* | 24 |
| b | *Normal pilot* | No. | *57* | 63 | *-* | *-* | 63 |
| 3 | CO/Community Selection | No. | 162 | 162 | - | - | 162 |
| a | *Advance pilot* | No. | 48 | 48 | - | - | 48 |
| b | *Normal pilot* | No. | 114 | 114 | - | - | 114 |

\* Regionwise details in Annex – XII (A), XII (B)

EE launching seminar was conducted in all pilot rayons and competition was announced for micro-project idea. 162 communities that submitted satisfactory proposal on utilisation of innovative energy technology and strong commitment were selected for EE piloting.

**4.2 Capacity Building**

CO-members and local/regional authorities in EE pilot areas were provided trainings/exposures to enable them to acquire skill/knowledge to understand the vision of EE component and implement various aspects of the vision. Specifically it included concept of energy efficiency, technologies available to enhance efficiency, renewable energy sources, energy planning, CBA methodology to implement EE-micro-projects etc. In total, 3,833 CO-executives and 1,376 state/elected officials received capacity building support since inception (Table – XV). Of the trained persons, 55% were female.

**Table – XV: Capacity Building Under EE Component**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Target** | | **Achievement** | | | |
| **Unit** | **Qty.** | **2012** | **2013** | **2014Q1** | **Total** |
| Training conducted | No. | - | - | 329 | 22 | 351 |
| Persons trained (without repetition) | No. | - | - | 4965 | 244 | 5209 |
| CO-members | No. | - | - | 3640 | 193 | 3833 |
| Local authorities | No. | - | - | 1325 | 51 | 1376 |

\* Regionwise details in Annex – XIIA, XIIB

**4.3 Micro-project Support**

Under EE-component, MP-support provided to local communities carries three features:

* Complex type EE- micro-project that strictly observes norm of innovative energy efficiency/ renewable energy technology, energy auditing (before and after implementation of MP) and complex technical documentation that go through sophisticated approval process. CBA shares 70% of the cost up to $ 20,000 while local cost sharing is 30% including 5% from CO and 25% from local budget;
* Standard type EE- micro-project that observes normal form of energy efficiency technology and standard technical documentation, approval and funding mechanism. Thus, CBA shares 50% of the cost up to $ 10,000 while local cost sharing is 50% including 5% from CO and 45% from local budget;
* Replication type EE-micro-project that observes normal form of innovative energy efficiency technology and normal technical documentation and standard approval and reduced funding mechanism. Thus, CBA shares 25% of the cost up to $ 2,600 while local cost sharing is 75% including 5% from CO and 70% from local budget;

**Table – XVI: Energy efficiency micro-project implementation\***

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Target** | | **Achievement** | | | |
| **Unit** | **Qty** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Micro-projects approved | No. | 300 | 118 | 157 | - | 275 |
| a | Complexity feature and funding type | |  |  |  |  |  |
| *Complex type* | No. | - | - | 148 | - | 148 |
| *Standard type* | No. | - | 109 | 3 | - | 112 |
| *Replication type* | No. | - | 9 | 6 | - | 15 |
| 2 | Total cost of approved MPs | UAH ml |  | 15.0 | 47.3 | - | 62.3 |
| a | *Shared by COs* | % | 5 | 5.0 | 5.5 | - | 8.3 |
| b | *Shared by local budget* | % | 25 | 43.0 | 42.7 | - | 43.3 |
| c | *Shared by CBA* | % | 70 | 50.0 | 50.7 | - | 46.5 |
| d | *Shared by private sponsors)* | % | - | 2.0 | 1.1 | - | 1.9 |
| 3 | Beneficiary characteristics |  |  |  |  |  |  |
| a | *Beneficiary population* | No. | - | 126,417 | 187,519 | - | 313,936 |
| b | *Institutional beneficiary* | No. | - | 118 | 157 | - | 275 |
| School/kindergarten (cum.) | No. | - | 33 | 91 | - | 124 |
| Health facility (cum.) | No. | - | 12 | 15 | - | 27 |
| Local communities (cum.) | No. | - | 73 | 51 | - | 124 |
| c | Post-MP completion status |  |  |  |  |  |  |
| *O & M fund created* | No. |  | 118 | 157 | - | 275 |
| *Work completed* | No. | - | - | 145 | 28 | 173 |
| *Public audit held* | No. |  |  | 145 | 10 | 155 |
| *Object handed over* | No. |  |  | 145 | 5 | 150 |

**\*** Regional details in Annex – XIII

By March 2014, CBA supported 275 micro-projects thereby reaching 91.6% of the target. Overall contribution of CBA in the total cost was 46.5% with local authorities and COs making 43.3% and 8.3% contribution respectively. Private businesses made donation up to 1.9% of the total cost (Table – XVI). Among the MPs supported, 275 had maintenance fund established by the COs; 173 got completed; 155 MPs underwent public auditing and 150 of them were handed over to their owners.

|  |
| --- |
| **Energy Efficiency: Ultimate Objective**  For the community members of village Mykhailivtsi of Krasylivsky rayon, Khmelnytska oblast, wellbeing of their children is the first priority. In 2009 villagers implemented one microproject in cooperation with CBA Project. Thanks to joint actions, they replaced all 88 windows in a local school.  But still the temperature regime in winter time was low. Because of an outdated heating system, the bills for the consumed energy used to be big, while the heat effectiveness was law. Community members decided to participate in CBA Project again to replace old heating system using the innovative energy efficient technologies.  The community members have organized into a CO “Vershyna”. They undertook a micro-project to install a condensation gas boiler which consumes 3 times less gas, while providing normal temperature regime in classes. Besides, the heating system is automatic, which means that it controls the temperature regime 24 hours a day without any additional support.  Due to competitive tender procedure, the community has got a better price for the equipment and its installation. They saved UAH 57 000. They decided to spend this money to make a warmth-keeping roof. This sum of money was enough to procure materials and the villagers themselves did all works for 10 days. The school now is really warm and comfortable place for children, while the warm roof and a new energy efficient heating system helps to save a significant amount of money during the cold seasons. |

***Experience***

*Energy efficiency MPs succeeded in attracting attention of local stakeholders due to their potential value in reducing energy bills (through efficiency); reducing pollution (through green energy or reduced use of standard energy source); and positive effect on education (in schools & kindergarten), public health (through warm temperature in schools and hospitals) and public safety (through street lighting). However, implementation of MPs remained slow due to lack of local budget contribution. Due to central regulation, local authorities could not release their part of the share. To mitigate the problem, CBA Project adopted procedures that reduced number of tranches (from three to two), increased amount of first tranche (up to 90%) and accelerated the pace of its support to enable contractors to continue their works smoothly. Also, a vision was developed to complete/close the MP implementation with/without local budget and if necessary, with additional grant from CBA.*

**4.4 Updating of Regional Energy Strategy** (Target: 6 reports. Achievement: 6 reports)

Over a period of mid-2012 to mid-2013, task of updating of regional energy strategy was undertaken in 6 advance pilot oblasts (Zakarpatska, Dnipropetrovska, Kharkivska, Lvivska, Ivano-Frankivska and Zaporizhka). It entailed a series of events including training of regional authorities, basic information collection and analysis; consensus on vision and methodology of updating regional energy strategy; collection of additional data and information; Information analysis and report preparation; finalisation of the report and formal submission to the regional authorities; discussion on the report/recommendations at oblast council meeting and decision of the oblast authorities to utilise the recommendations during current phase and/or during the forthcoming strategy phase 2015-20. Thus, the results included improvement in the current energy strategy of the oblasts or a concept paper (with a set of recommendations) to be used during preparation of next phase of regional energy strategy.

The energy strategy improvement produced a positive impact on the programme of the oblasts. In principle they recognised the necessity of including into their strategy the micro-level interventions in the rural areas to promote innovative energy technology renewable energy sources in community participation. Besides, they approved small programme to support this vision in current strategy period.

**4.5 Technical Documentation Support** (Target: 6 projects. Achievement: 6 projects)

Following to the updating of regional energy efficiency strategy, the oblast authorities were offered opportunity to plan for larger investment in the area of energy efficiency and in line with recommendations in the updated energy strategy. It included preparation of technical documentation for larger investment and/or implementation of micro-project that would support promotion of energy efficiency in the region. This offer involved technical support from CBA to the oblast authorities on cost sharing basis - 60% from regional authorities and 40% from CBA, limited to US $ 40,000 equivalent.

**Table - XVII: Technical Support for Investment on Regional Energy Efficiency** (UAH ‘000)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Purpose** | **Budget and Cost Sharing (UAH)** | | | **Progress/Expenditure (UAH)** | | |
| **Total Cost** | **CBA** | **Oblast** | **CBA** | **Oblast** | **Total** |
| Dnipropetrovska | Mobile Laboratory | 455,758 | 184,458 | 271,300 | 184,458 | 271,300 | 455,758 |
| Technical Documentation | 353,400 | 142,710 | 210,690 | 142,710 | 210,690 | 353,400 |
| *Sub-total* | *809,158* | *327,168* | *481,990* | *327,168* | *481,990* | *809,158* |
| Zaporizhka | Mobile Laboratory | 166,945 | 1,945 | 165,000 | 0 | 0 | 0 |
| Technical Documentation | 633,054 | 318,054 | 315,000 | 318,054 | 315,000 | 633,054 |
| *Sub-total* | *799,999* | *319,999* | *480,000* | *318,054* | *315,000* | *633,054* |
| Kharkivska | Technical Documentation | 900,000 | 320,000 | 580,000 | 320,000 | 380,000 | 700,000 |
| Lvivska | Mobile Laboratory | 490,000 | 320,000 | 170,000 | 231,000 | 0 | 231,000 |
| Technical Documentation | 500,000 | 0 | 500,000 | 0 | 360,000 | 360,000 |
| *Sub-total* | *990,000* | *320,000* | *670,000* | *231,000* | *360,000* | *591,000* |
| Ivano-Frankivska | Technical Documentation | 800,000 | 320,000 | 480,000 | 0 | 0 | 0 |
| Zakarpatska | Technical Documentation | 800,000 | 319,000 | 481,000 | 269,820 | 0 | 269,820 |
| **Total** | **Amount** | **4,199,157** | **1,606,167** | **2,592,990** | **1,146,042** | **1,156,990** | **2,303,032** |
| % | 100.0 | 38.2 | 61.8 | 27.3 | 27.6 | 54.8 |

In the spirit of recommendations for regional energy strategy, oblast authorities of the advance pilot oblasts submitted their proposals which included 6 technical documentation and 3 energy audit equipment procurement (Table – XVII). Total cost of this support was UAH 5,079,157 of which CBA share included UAH 1,837,167 (36.2%). The proposals were reviewed and approved for CBA support. Accordingly letter of agreement was signed with oblast authorities and support was initiated during 3rd quarter of 2013. Tender was called and vendors were selected jointly by CBA, UNDP and blast authorities.

As of March 2014, activity continued. It is expected to continue for remaining part of 2014.

**Experience**

*Further delay is expected in completion of the activities due to reasons including – (a) the selected companies hesitated to sign contract for the tasks or even denied signing the contract due to weakening of UAH; (b) political instability in the country led to widespread change in oblast and rayon level leaderships thereby causing shift in their priority; (c) financial constraint making the authorities unable to support the activity.*

**4.7 Raising Public Awareness on Energy Efficiency** (Target – 10,000 persons; Achievement – 5,733)

|  |
| --- |
| C:\Users\User\Desktop\Photos\EE\Komyshuvate village_ZP.jpg  Solar power display in Komyshuvate village, Zaporizhka oblast |

As of March 2014, CBA communities, local councils, rayon authorities and regional authorities were informed about the necessity and measures of raising energy efficiency. It includes roundtables, study visits, competitions (debates, drawings etc.) etc. Various forms of awareness materials (video, leaflet, booklet etc.) were disseminated. A total of 5,733 persons including school children received information on the subject (Table - XVIII). This activity will continue during remaining part of 2014.

**Table - XVIII: Energy Awareness Activities\***

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Activity/Event** | **2012** | | **2013** | | **2014Q1** | | **Total** | |
| **No.** | **Participation** | **No.** | **Participation** | **No.** | **Participation** | **No.** | **Participation** |
| Roundtable | - | - | 16 | 876 |  |  | 16 | 876 |
| Training | - | - | 67 | 2966 |  |  | 67 | 2966 |
| Competition | - | - | 14 | 1635 |  |  | 14 | 1635 |
| Exposure visit | - | - | 4 | 131 |  |  | 4 | 131 |
| Others | - | - | 3 | 125 |  |  | 3 | 125 |
| **Total** |  |  | **104** | **5733** |  |  | **104** | **5733** |

\* Regionwise details in Annex – XIV

**Chapter Five**

*16 agricultural service cooperatives were formed by March 2014 out of 17 targets. Their institutional capacity was built. First round of micro-project implementation undertaken by 15 cooperatives was completed. Assessment of CIDP-led cooperatives and CBA-led cooperatives was completed yielding useful recommendations including launching of ‘quarterly monitoring of cooperatives’. Second round of micro-project support was envisioned. More information can be found below and at* [*http://cba.org.ua/en/activities/rural-economic-development*](http://cba.org.ua/en/activities/rural-economic-development)*.*

**5.1 Pilot Area Selection**

Rural economic development (RED) component CBA-II promotes non-profit multi-functional agricultural service cooperatives (ASCs) for creating employment and income opportunities in rural areas. It targets low-income households and assists them in getting organized into ASC and in bringing added value to local products through efficient production, packaging and shared marketing.

RED component was launched in mid-March 2012. By March 2014, 16 communities were selected in 7 oblasts based (Mykolaivska – 4, Cherkaska – 3, Kirovogradska – 2, Donetska – 2, Sumska – 2, Ternopilska – 2 and Chernivetska – 2) on such criteria as (a) level of unemployment and poverty, (b) level of community members willing to join cooperative and undertake economic activities, and (c) commitment of local government to support cooperative activities.

**Map – II: Cooperative Pilot Region**



**5.2 Cooperative Development** (Target – 17 cooperative. Achievement – 16 cooperative)

During first quarter of 2014 one multi-functional non-profit agricultural service cooperative was developed in Mykolayevska oblast through standard CBA process (Annex - XVI). Experience of previous CBA/cooperatives in the oblast proved helpful to the community members in forming their own cooperative. By March 2014, total ASCs became 16 making it 94% of the target.

A total of 1,313 men/women from 991 target households joined the cooperatives representing 40% of the target households and reflecting average membership of 61 households per cooperative.

These cooperatives were registered during the reporting period in form of non-profit multi-functional agricultural service cooperatives under new legislation of 2013. All ASCs enrolled themselves with their respective local councils from the perspective of forming linkage.

**Table – XIX: Cooperative Development**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Unit** | **Target**  **All Years** | **Achievement** | | | |
| **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Community selected | Number | 17 | - | 15 | 1 | 16 |
| *a* | *CBA community* | Number | - | - | 11 | 1 | 12 |
| *b* | *Non-CBA community* | Number | - |  | 4 | - | 4 |
| 2 | Cooperative development | Number | 17 | - | 15 | 1 | 16 |
| *a* | *Target households* | Number | - | - | 2368 | 126 | 2494 |
| *b* | *Participated households* | Number | - | - | 928 | 63 | 991 |
| c | *Members* | Number | - | - | 1313 | 63 | 1376 |
| 3 | Priority area of the cooperatives |  |  |  |  |  |  |
| *a* | *Dairy (milk collection, chilling, supply)* | Number | - | - | 3 | - | 3 |
| *b* | *Vegetable farming (equipment, storage)* | Number | - | - | 5 | 1 | 6 |
| *c* | *Fruit cultivation (equipment, storage)* | Number | - | - | 1 | - | 1 |
| *d* | *Meat and egg (equipment, storage)* | Number |  |  | 1 | - | 1 |
| *e* | *Input-output marketing* | Number | - | - | 1 | - | 1 |
| *f* | *Others* |  |  |  | 4 | - | 4 |
| 4 | Cooperative registered formally | Number | - | - | 15 | 1 | 16 |
| 5 | Cooperative enrolled with local council | Number | - | - | 15 | 1 | 16 |

\* Regionwise details in Annex – XVA and XVB

* 1. **Capacity Development**

During first quarter of 2014, 3 trainings were organised to build capacity of cooperative management team and officials of partner authorities. Areas of training included principles and importance of cooperatives, method of cooperative development in light of Ukrainian legislation on cooperative, business-plan development, project development and implementation of economic initiatives. Since inception, 97 training events were held through which 1368 coop-executive members and 177officials of local authorities were trained in various subjects related with RED (Table – XX).

**Table – XX: Capacity Development**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Unit** | **Target**  **All Years** | **Achievement** | | | |
| **2012** | **2013** | **2014Q1** | **Total** |
| Training organised | No. | - | - | 94 | 3 | 97 |
| Coop-members trained |  | - | - | 1317 | 51 | 1368 |
| Local authorities trained |  | - | - | 174 | 3 | 177 |
| Technical information centre supported |  | - | - | 15 | 1 | 16 |

\* Regionwise details in Annex – XVA, XVB

Like previous 15 cooperatives, the 16th one also established a technical resource centre so as to secure and disseminate technology/information beneficial to economic wellbeing of the members. In all cases, local government availed premises for TICs. CBA supported such TICs in term of equipment/logistics (e.g. computer, printer, scanner, internet-equipment, furniture etc.) and facilitated linkage with technology/information providers.

* 1. **Implementation of Economic Initiatives**

During 2013, all 15 ASCs undertook economic initiatives to ameliorate economic wellbeing of their members. First, the ASCs identified their economic needs and ranked them in order of priority. This resulted into economic development plan (EDP). Second, the ASCs shared their EDPs with their respective local authorities in order to mainstream their plan and mobilise resources. EDPs prepared by cooperatives were discussed and agreed at respective VC/CC and LDF. Local authorities and private sponsors came forward, besides CBA, to support ASCs for undertaking their plans.

Based on the approved plan, ASCs prepared technical documentation (business plan) and micro-project proposal and submitted to CBA office. Since, they lacked expertise in preparing such documents; they utilized services of local experts, sought support of relevant departments of local authorities and received assistance from CBA staffs for it.

**Table – XXI: Micro-project Implementation**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Unit** | **Target**  **All Years** | **Achievement** | | | |
| **2012** | **2013** | **2014Q1** | **Total** |
| Economic development plan prepared | No. | - | - | 15 | - | 15 |
| EDP mainstreamed at LDF | No. | - | - | 15 | - | 15 |
| MP proposal approved & supported | No. | 17 | - | 15 | - | 15 |
| *Purchase of equipment* | No. | - | - | 12 | - | 12 |
| *Others* | No. | - | - | 3 | - | 3 |
| Total cost of micro-projects | UAH mln | - | - | 4.2 | - | 4.2 |
| *Shared by cooperative* | % | 30 | - | 18.1% | - | 18.1% |
| *Shared by CBA* | % | 70 | - | 69.3% | - | 69.3% |
| *Shared by private sponsors* | % | - | - | 2.6% | - | 2.6% |
| *Local budget contribution* | % | - | - | 10.0% | - | 10.0% |
| Beneficiary of economic initiatives |  |  |  |  |  |  |
| *Households* | No. | - | - | 2368 | - | 2368 |
| Direct | No. | - | - | 928 | - | 928 |
| Indirect | No. | - | - | 1440 | - | 1440 |
| *Population* | No. | - | - | 2678 | - | 2678 |
| Male | No. | - | - | 1284 | - | 1284 |
| Female | No. | - | - | 1394 | - | 1394 |
| MP implementation completed | No. | - | - | 14 | - | 14 |

\* Regionwise details in Annex – XVB, XVC

|  |
| --- |
| C:\Users\User\Desktop\CBA-III\Photos 4 Display\RED\3.jpg |

By 2013, CBA appraised and approved 15 MPPs received from the ASCs. Most of the MPPs were related with vegetable farming followed by dairy and fruit cultivation as reflected below:

* Vegetable farming – 9
* Dairy – 3
* Fruit cultivation – 3

Total cost of these micro-projects was UAH 4.2 million (average cost of UAH 280,000). This cost was share by the cooperatives (18.1%), CBA (69.3%), local authorities (10.0%) and private sponsors (2.6%) respectively.

For the approved MPPs, grant agreement was signed with ASCs and tranches were released. On its part, ASCs mobilised local resources, called tender and selected vendor(s) as per financial procedures of UNDP. The vendors supplied equipment/services as per the vision of the MPPs. Longer term impact of micro-projects was expected in terms of employment and income. However, the completed micro-projects demonstrated immediate results that the ASC-members received in terms of (i) reduced cost of service received (i.e. savings), (ii) increased production due to expansion of business, timely availability of inputs/services and better advice/technology, (iii) higher price of the products.

* 1. **Introducing Quarterly Monitoring System**

Following to recommendation of evaluation mission, a system was introduced in March 2014 to monitor institutional status, governance, business quality and sustainability of the ASCs on quarterly basis. The first round of monitoring reports demonstrated satisfactory performance of the cooperatives in general.

**5.6 Experience**

*The ASCs, in general, have demonstrated successful results and offer hope for low-income households. Enthusiasm among regional/rayon authorities and local councils was observed at the level more than expected and room was created for cost sharing from them. Role offered by the Project to the authorities for economic activities in the remote parts of the region was positively perceived by them.*

**Chapter Six**

*Knowledge management component of CBA aims to document, systematize and disseminate the experience gained in the area of community based local sustainable development. It is done through - a knowledge management hub (KMH) and through curriculum to specific courses of higher education. During first quarter of 2014, arrangement was made for these activities to be undertaken during full period of 2014. More information on this subject is given at* <http://rozvytok.in.ua> and <http://cba.org.ua/en/activities/regional-resource-centers>*.*

**6.1 Operationalisation of Knowledge Management Hub (KMH)**

An agreement was signed during March 2014 between UNDP and UADRC for continuation of the role played by KMH in information dissemination and policy works in the area of local self-governance and territorial reform. Envisaged cost of the activities under this Agreement was UAH 383,250 of which CBA’s share was UAH 299,250 and that of UADRC’s share was UAH 84,000. By 2014, UADRC will utilise KMH to obtain concrete results in following directions (details given in Annex - XVI):

* *Policy recommendation on local governance*: At least 11 events of policy works will be undertaken to develop new policies and to improve existing policies related with local self-government bodies, territorial reform, regional development, local referendum etc.
* *Coordination of KMH thematic activities*: Includes online discussion in each defined thematic area initiated and moderated by KMH-experts. Results include an analytical document (policy recommendations) in each thematic area, such as preventing corruption in local governments; communal properties and land relations; local budget and inter-budget relations; decision-making by local governments and electoral process and ensuring local democracy;
* Information material development and dissemination among current/potential users of KMH

**6.2 Curriculum Development**

Within the framework of its knowledge management component, CBA Project plans to establish a network of academic institutions from all regions of Ukraine so as to build their capacity in term of teaching course on sustainable development.

Annual review meeting of partner universities held in November 2013 recommended, for 2014, to promote teaching on sustainable development in universities; support research and publication on the subject; enrich curriculum with ground based teaching materials (cases, videos etc.); involve students in the learning through practical exposure to the ground reality; institutionalize and formalize National Network of Partner Universities; and enhance the knowledge of civil servants in the area of community based sustainable development.

During March 2014, annual activity proposal on curriculum development was prepared and approval was sought for action (Annex - XVII). As a result, at least 15 universities include course on Sustainable Society Development with modules on community based approach; at least 30 students will go through internship; about 20 papers of student will be published; one monograph (second volume) including teachers’ research papers will be published and one edition of journal with collection of articles on topics related to sustainable development with community participation will be brought out during 2014.

**6.3 Documenting Experience of SAT4SLDPiloting**

Ukraine was among a few countries in the CIS which piloted ‘self-assessment tool for sustainable local development (SAT4SLD)’ developed by UNDP/Bratislava Regional Centre (BRC) in 2013. This tool was adopted for Ukrainian reality and tested in 2 rayons of Ukraine (one each in Sumska and Luhanska oblasts). The pilot turned out to be successful and therefore it was decided to upscale the experience in other parts of Ukraine.

To this end, a task was initiated during first quarter of 2014 to streamline the pilot version of SAT4SLD guidelines to develop an operational manual for users across the country. It is expected that the operational manual will be ready by 2nd quarter of 2014 and get into use from 3rd quarter of 2014.

**6.4 Communications and Visibility**

CBA Project aims to maximise the level of transparency of its implementation, and strives to involve media to inform stakeholders about the results of the implementation. Besides, it organizes/hosts exposure visits for its donors and partners to present to them the results of its implementation. Following activities took place during the reporting period in this regard:

***6.4.1 Media outlets:*** During the reporting period, one media event took place in Sumska oblast; local/regional/national level TVs/radios/newspapers disseminated 121 pieces of news about CBA; and 63 pieces of information about CBA appeared on partners’ websites. In total, 546 media events, 4779 media coverage and 2279 pieces of information on partners’ websites were registered since inception (Table – XXII).

**Table – XXII: Media Events and Media Coverage**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | Media events | 269 | 144 | 132 | 1 | 546 |
| 2 | Media coverage | 1282 | 1874 | 1502 | 121 | 4779 |
| 3 | Partners’ website | 587 | 853 | 716 | 63 | 2279 |

\* Regionwise details are given in Annex – XVIII

The majority of cases appeared in newspapers (40.1%), followed by electronic media (25.8%), radio (17.8%) and TV (16.3%).

**Chart - IV: Media Coverage Distribution, as of March 2013**

|  |
| --- |
|  |

Media coverage varied from region to region due to various factors including the pro-activeness of the media agency; activeness of local authorities and pro-activeness of CBA coordinators. In general 3 regions (Zaporizka, Vinnytska and Poltavska oblasts) demonstrated relatively high level of media coverage followed by others. The relatively low activity in the realm of media coverage could be explained by difficult political situation in Ukraine during first quarter 2014 (Chart-V).

**Chart - V: Distribution of Media Coverage by Regions, During 1st Quarter of 2014**

***6.4.2 Regional Newsletters:*** In order to disseminate information about the Project among stakeholders, potential participants and wider public audience, regional implementation units published newsletters periodically. During the reporting period, 8 issues of newsletters were published in 5 regions They were disseminated both electronically (181) and printed copies (214) according to the distribution lists including regional administrations, regional councils, rayon administrations and councils, village/city councils, local communities, local media, and NGOs. Since inception, 292 issues of regional newsletters were produced, with 26,362 hard copies disseminated among stakeholders, and 7,275 copies disseminated electronically.

**Table – XXIII: Distribution of CBA Regional Newsletters**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Issues** | **2011** | **2012** | **2013** | **2014Q1** | **Total** |
| 1 | No. of issues published | 71 | 112 | 101 | 8 | 292 |
| 2 | No. of copies printed & distributed | 10020 | 10156 | 5972 | 214 | 26,362 |
| 3 | No. of copies sent electronically | 2620 | 2441 | 2033 | 181 | 7,275 |

\* Oblastwise details in Annex – XVII

**Chapter Seven**

*Various activities that took place during first quarter of 2014 in context of advisory and management included general management, reporting and preparation for CBA-III. Details are given hereunder.*

**7.1 Reporting to Donors and Partners**

1. ***Regular/ad hoc reporting***: During the reporting period, 2 monthly reports (for January &, February) and one annual report (2013) were prepared and submitted to donors/partners. Since inception, 25 monthly reports, 3 annual reports and 4 ad hoc reports were prepared and disseminated. These reports are available at CBA website: [www.cba.org.ua](http://www.cba.org.ua)
2. ***Web-based information dissemination***: CBA website was updated with information for wider public consumption;
3. ***Reporting on resource utilization:*** Narrative and financial reports were prepared for the period April – December, 2013 for submission to EU Delegation Office in context of report on utilisation of second tranche.

**7.2 e-MIS Development**

Activity on e-MIS development continued during the quarter. Its structure was fully developed and first round of test was carried out in selected regions. Following to feedback from users, improvement in the system was initiated. This system is expected to come into effect from 3rd quarter of 2014.

**7.3 Management in Security Situation**

Due to political crisis across the country, office management of CBA was carried out under security guidelines of UNDP. It included observance of safety of human resources and project property while implementing the project activities. Norms were adopted and reporting system was put into place. Two staffs of Crimea were relocated and other staffs of eastern and southern regions were put on ‘ready to relocation mode’ in case the security situation worsened.

**7.4 Financial Progress**

During the reporting period, estimated expenditure constituted € 451,400 (US $ 620,900), which forms about 15.5% of the delivery target of 2014. In total since inception, a financial progress of € 14.1 million occurred making it 80.6% of total project budget (Table – XXIV).

**7.5 Preparation for CBA-III**

Preparation for finalisation of CBA-III documents and holding of national launching of the third phase of CBA-III continued during the quarter. It is expected that CBA-III will commence in 2nd quarter of 2014.

**Table – XXIV: Itemwise Project Budget and Expenditure During Reporting Period and Since Inception**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Activity** | **Total Budget** | | **Expenditure Status During as of Jan\_01\_2014** | | | | | | **2014'Delivery (as of 01/4/2014)** | | **Total Expenditure** | | **Progress in Total** |
| **2011** | | **2012** | | **2013** | |
| **(Euro '000)** | **(USD '000)** | **(Euro '000)** | **(USD '000)** | **(Euro '000)** | **(USD '000)** | **(Euro '000)** | **(USD '000)** | **(Euro '000)** | **(USD '000)** | **(Euro '000)** | **(USD '000)** | **%** |
| 1 | Human resource | 3,591.3 | 4,886.1 | 507.0 | 722.0 | 1,160.9 | 1,559.9 | 1,224.7 | 1,590.9 | 294.6 | 405.3 | 3,187.2 | 4,278.0 | 87.6 |
| 2 | Travel | 78.8 | 107.2 | 10.2 | 14.6 | 9.7 | 12.9 | 9.7 | 12.7 | 2.3 | 3.2 | 32.0 | 43.4 | 40.4 |
| 3 | Equipment & supplies | 83.0 | 112.9 | 60.9 | 84.7 | 17.1 | 22.6 | 8.8 | 11.4 | 0.3 | 0.4 | 87.1 | 119.1 | 105.5 |
| 4 | Local office | 959.7 | 1,305.6 | 217.3 | 309.5 | 295.8 | 414.9 | 358.1 | 465.2 | 12.6 | 17.4 | 883.9 | 1,207.0 | 92.5 |
| 5 | Other costs & services | 276.7 | 376.4 | 79.9 | 112.4 | 31.3 | 42.5 | 88.8 | 115.4 | 5.2 | 7.2 | 205.2 | 277.5 | 73.7 |
| 6 | Others | 11,016.0 | 14,987.5 | 205.4 | 292.7 | 4,544.4 | 6,010.6 | 3,972.7 | 5,160.6 | 106.7 | 146.8 | 8,829.2 | 11,610.7 | 77.5 |
| a | Seed grant (social/communal) | 4,357.8 | 5,929.0 | 118.9 | 169.4 | 3,972.2 | 5,280.3 | 400.8 | 520.7 | 11.6 | 15.9 | 4,503.4 | 5,986.3 | 101.0 |
| c | Seed grant (energy efficiency) | 5,190.0 | 7,061.2 | 0.0 | 0.0 | 289.8 | 375.4 | 2,919.0 | 3,791.9 | 65.4 | 89.9 | 3,274.2 | 4,257.2 | 60.3 |
| d | Seed grant (small business) | 474.8 | 645.8 | 0.0 | 0.0 | 0.0 | 0.0 | 246.8 | 320.7 | 0.0 | 0.0 | 246.8 | 320.7 | 49.7 |
| e | Approach internalization | 367.5 | 499.9 | 0.0 | 0.0 | 51.0 | 38.7 | 234.9 | 305.1 | 11.6 | 16.0 | 297.5 | 359.8 | 72.0 |
| f | Curriculum development | 103.2 | 140.4 | 6.0 | 9.0 | 3.0 | 5.0 | 22.9 | 29.7 | 0.0 | 0.0 | 31.9 | 43.7 | 31.2 |
| g | Training/visits | 177.5 | 241.5 | 50.2 | 71.0 | 122.0 | 169.1 | 43.0 | 55.9 | 0.4 | 0.5 | 215.6 | 296.5 | 122.8 |
| h | LDF/RCC/Review meetings | 203.8 | 277.2 | 23.2 | 33.1 | 31.3 | 41.7 | 70.1 | 91.1 | 3.8 | 5.3 | 128.4 | 171.2 | 61.8 |
| i | Capacity of CRCs | 70.0 | 95.2 | 7.1 | 10.2 | 52.4 | 68.0 | 12.3 | 15.9 | 0.0 | 0.0 | 71.8 | 94.1 | 98.8 |
| j | Knowledge management | 71.5 | 97.3 | 0.0 | 0.0 | 22.8 | 32.4 | 22.7 | 29.5 | 14.0 | 19.3 | 59.5 | 81.2 | 83.5 |
| 7 | Contingency & Administrative | 1,120.4 | 1,524.3 | 88.6 | 127.3 | 409.3 | 581.6 | 388.0 | 504.0 | 29.5 | 40.6 | 915.4 | 1,253.6 | 82.2 |
|  | **Total** | **17,125.9** | **23,300.0** | **1,169.3** | **1,663.2** | **6,468.4** | **8,645.1** | **6,050.8** | **7,860.2** | **451.4** | **620.9** | **14,139.9** | **18,789.3** | **80.6** |

Annex – I

**Steps of Forging Partnership at Local Level**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Regional Seminar to launch CBA in each region |  | PA signed with oblast authorities |  | Selection of pilot rayons |  | PA signed with rayon authorities |  | Rayon Seminars held |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Formation and registration of CO |  | 1st dialogue with community |  | Selection of pilot communities |  | PA signed with VC/CC |  | VC/CC selection |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Training for CO members and local authorities |  | Participatory planning (2nd dialogue) |  | Mainstreaming of community plan |  | Drafting, appraisal, approval of micro-project |  | Agreement with CO and resource delivery |

Annex – II

**Selection of Local Partners as of March 2014 (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Rayons selection** | | | | | | **PA signed by rayons** | **Rayon seminars** | **VC/CC selection** | | | | | | **PA signed by VC/CC** | **Community selection** | | | |
| **Allocated Quota** | **Application** | **# of rayon selected** | **CBA-I rayons** | **New rayons** | **Rayons in Reserve** | **Allocated Quota** | **Application** | **# of VC/CC selected** | **CBA-I VC/CC** | **New VC/CC** | **VCs/CCs in Reserve** | **Allocated Quota** | **Community selected** | **CBA-I communities** | **New communities** |
|
| ARC | 8 | 13 | 8 | 5 | 3 | 2 | 8 | 8 | 32 | 82 | 32 | 9 | 23 | 0 | 32 | 32 | 32 | 9 | 23 |
| Cherkaska | 9 | 20 | 9 | 3 | 6 | 3 | 9 | 9 | 39 | 171 | 39 | 3 | 36 | 0 | 39 | 38 | 39 | 4 | 35 |
| Chernihivska | 6 | 44 | 6 | 3 | 3 | 3 | 6 | 6 | 25 | 79 | 26 | 3 | 23 | 0 | 26 | 25 | 26 | 3 | 23 |
| Chernivetska | 6 | 11 | 6 | 6 | 0 | 3 | 6 | 6 | 24 | 53 | 24 | 6 | 18 | 17 | 24 | 24 | 24 | 5 | 19 |
| Dnipropetrovska | 9 | 44 | 9 | 3 | 6 | 2 | 9 | 9 | 37 | 84 | 39 | 6 | 33 | 24 | 39 | 36 | 41 | 5 | 36 |
| Donetska | 10 | 17 | 10 | 4 | 6 | 4 | 10 | 10 | 42 | 109 | 42 | 4 | 38 | 30 | 42 | 42 | 42 | 4 | 38 |
| I-Frankivska | 9 | 17 | 9 | 5 | 4 | 3 | 9 | 9 | 36 | 197 | 36 | 6 | 30 | 0 | 36 | 36 | 36 | 6 | 30 |
| Kharkivska | 6 | 46 | 6 | 2 | 4 | 3 | 6 | 6 | 26 | 67 | 26 | 4 | 22 | 18 | 26 | 24 | 26 | 4 | 22 |
| Khersonska | 8 | 16 | 8 | 4 | 4 | 2 | 8 | 8 | 32 | 73 | 36 | 7 | 29 | 0 | 36 | 32 | 36 | 7 | 29 |
| Khmelnytska | 8 | 20 | 8 | 5 | 3 | 3 | 8 | 8 | 32 | 187 | 32 | 8 | 24 | 33 | 32 | 32 | 32 | 6 | 26 |
| Kirovohradska | 8 | 21 | 8 | 4 | 4 | 5 | 8 | 8 | 33 | 128 | 36 | 8 | 28 | 27 | 36 | 33 | 36 | 8 | 28 |
| Kyivska | 6 | 15 | 6 | 3 | 3 | 2 | 6 | 6 | 24 | 59 | 25 | 6 | 19 | 12 | 25 | 25 | 25 | 6 | 19 |
| Luhanska | 9 | 18 | 9 | 3 | 6 | 3 | 9 | 9 | 37 | 98 | 37 | 5 | 32 | 62 | 37 | 36 | 37 | 5 | 32 |
| Lvivska | 8 | 38 | 8 | 5 | 3 | 3 | 8 | 8 | 38 | 105 | 40 | 8 | 32 | 0 | 40 | 38 | 40 | 8 | 32 |
| Mykolaivska | 9 | 17 | 9 | 4 | 5 | 7 | 9 | 9 | 36 | 125 | 38 | 5 | 33 | 0 | 38 | 36 | 38 | 2 | 36 |
| Odeska | 8 | 23 | 8 | 2 | 6 | 3 | 8 | 8 | 32 | 92 | 30 | 4 | 26 | 24 | 30 | 32 | 30 | 2 | 28 |
| Poltavska | 8 | 21 | 8 | 3 | 5 | 4 | 8 | 8 | 33 | 95 | 34 | 8 | 26 | 25 | 34 | 33 | 34 | 7 | 27 |
| Rivnenska | 8 | 15 | 8 | 4 | 4 | 2 | 8 | 8 | 32 | 145 | 38 | 8 | 30 | 17 | 38 | 32 | 38 | 6 | 32 |
| Sumska | 9 | 18 | 9 | 5 | 4 | 3 | 9 | 9 | 38 | 109 | 38 | 10 | 28 | 0 | 38 | 31 | 38 | 9 | 29 |
| Ternopilska | 9 | 15 | 9 | 5 | 4 | 3 | 9 | 9 | 37 | 165 | 37 | 5 | 32 | 37 | 37 | 37 | 37 | 5 | 32 |
| Vinnytska | 6 | 24 | 6 | 4 | 2 | 4 | 6 | 6 | 33 | 72 | 29 | 8 | 21 | -1 | 29 | 33 | 29 | 8 | 21 |
| Volynska | 9 | 16 | 9 | 5 | 4 | 3 | 9 | 9 | 41 | 152 | 41 | 7 | 34 | 27 | 41 | 41 | 41 | 7 | 34 |
| Zakarpatska | 9 | 13 | 9 | 5 | 4 | 3 | 9 | 9 | 36 | 100 | 38 | 8 | 30 | 0 | 38 | 36 | 38 | 8 | 30 |
| Zaporizka | 9 | 19 | 9 | 5 | 4 | 3 | 9 | 9 | 36 | 167 | 36 | 12 | 24 | 18 | 36 | 36 | 37 | 18 | 19 |
| Zhytomyrska | 6 | 36 | 6 | 3 | 3 | 0 | 6 | 6 | 24 | 84 | 26 | 2 | 24 | 12 | 26 | 24 | 26 | 3 | 23 |
| **Total** | **200** | **557** | **200** | **100** | **100** | **76** | **200** | **200** | **835** | **2798** | **855** | **160** | **695** | **382** | **855** | **824** | **858** | **155** | **703** |

Annex – III (A)

**Support Structures as of March 2014 (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **CO Formation** | | | | | | | **LDF** | | | | **RCC** | | **Resource Centre** | | |
| **Allocated** | **CO Formed** | **Target HH** | **Participated** | **Total Members** | **Male** | **Female** | **LDF grafted from CBA-I** | **New LDF** | **Total LDF** | **LDF sittings** | **RCC Grafted** | **RCC sittings** | **Rayon RC grafted** | **Rayon RC new** | **Total** |
|
| ARC | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernihivska | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 0 | 1 | 0 | 0 | 0 |
| Chernivetska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Donetska | 42 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I-Frankivska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kharkivska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 |
| Kirovohradska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kyivska | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Lvivska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 39 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 |
| Odeska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Poltavska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 |
| Rivnenska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Vinnytska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 41 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Zakarpatska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |
| Zaporizka | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zhytomyrska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **835** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **43** | **0** | **5** | **0** | **0** | **0** |

Annex – III (B)

**Support Structures as of March 2014 (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **CO Formation** | | | | | | | **LDF** | | | | **RCC** | | **Resource Centre** | | |
| **Allocated** | **CO Formed** | **Target HH** | **Participated** | **Total Members** | **Male** | **Female** | **LDF grafted from CBA-I** | **New LDF** | **Total LDF** | **LDF sittings** | **RCC Grafted** | **RCC sittings** | **Rayon RC grafted** | **Rayon RC new** | **Total** |
|
| ARC | 32 | 32 | 13666 | 13666 | 13666 | 6149 | 7517 | 5 | 3 | 8 | 17 | 1 | 3 | 5 | 3 | 8 |
| Cherkaska | 38 | 39 | 11081 | 10753 | 12413 | 5553 | 6860 | 3 | 6 | 9 | 62 | 1 | 4 | 3 | 6 | 9 |
| Chernihivska | 25 | 26 | 13750 | 12286 | 27033 | 13423 | 13610 | 3 | 3 | 6 | 45 | 1 | 5 | 3 | 3 | 6 |
| Chernivetska | 24 | 24 | 19505 | 19324 | 19324 | 8719 | 10605 | 6 | 0 | 6 | 20 | 1 | 1 | 6 | 0 | 6 |
| Dnipropetrovska | 36 | 41 | 7788 | 4516 | 14763 | 6139 | 8624 | 3 | 6 | 9 | 41 | 1 | 4 | 3 | 6 | 9 |
| Donetska | 42 | 41 | 36173 | 31526 | 31921 | 13554 | 18367 | 4 | 6 | 10 | 32 | 1 | 2 | 4 | 7 | 11 |
| I-Frankivska | 36 | 36 | 7320 | 5927 | 5936 | 2600 | 3336 | 5 | 4 | 9 | 46 | 1 | 4 | 5 | 4 | 9 |
| Kharkivska | 24 | 26 | 9922 | 8188 | 8555 | 3808 | 4747 | 2 | 4 | 6 | 45 | 1 | 3 | 2 | 4 | 6 |
| Khersonska | 32 | 36 | 7955 | 6676 | 18604 | 8330 | 10274 | 4 | 4 | 8 | 61 | 1 | 2 | 4 | 4 | 8 |
| Khmelnytska | 32 | 32 | 12771 | 10659 | 11382 | 4192 | 7190 | 5 | 3 | 8 | 57 | 1 | 4 | 5 | 3 | 8 |
| Kirovohradska | 33 | 34 | 8898 | 5366 | 13776 | 5617 | 8159 | 4 | 4 | 8 | 67 | 1 | 3 | 4 | 4 | 8 |
| Kyivska | 25 | 25 | 12098 | 10304 | 10350 | 4375 | 5975 | 3 | 3 | 6 | 49 | 1 | 3 | 3 | 3 | 6 |
| Luhanska | 36 | 37 | 21289 | 15645 | 21452 | 8435 | 13017 | 3 | 6 | 9 | 60 | 1 | 6 | 3 | 6 | 9 |
| Lvivska | 38 | 38 | 17418 | 11298 | 11682 | 5242 | 6440 | 5 | 3 | 8 | 35 | 1 | 4 | 5 | 3 | 8 |
| Mykolaivska | 39 | 38 | 12126 | 9887 | 8876 | 3614 | 5262 | 4 | 5 | 9 | 55 | 1 | 4 | 4 | 5 | 9 |
| Odeska | 32 | 30 | 16823 | 16552 | 21292 | 9584 | 11704 | 2 | 6 | 8 | 45 | 1 | 10 | 2 | 5 | 7 |
| Poltavska | 33 | 34 | 13123 | 10865 | 28248 | 12842 | 15406 | 3 | 5 | 8 | 83 | 1 | 7 | 3 | 5 | 8 |
| Rivnenska | 32 | 38 | 25300 | 21647 | 21647 | 10141 | 11506 | 4 | 4 | 8 | 101 | 1 | 3 | 4 | 4 | 8 |
| Sumska | 38 | 38 | 11628 | 11118 | 11118 | 4804 | 6314 | 5 | 4 | 9 | 100 | 1 | 7 | 5 | 4 | 9 |
| Ternopilska | 37 | 37 | 10243 | 10052 | 32934 | 15187 | 17747 | 5 | 4 | 9 | 46 | 1 | 2 | 5 | 4 | 9 |
| Vinnytska | 33 | 29 | 18864 | 16535 | 34584 | 13834 | 20750 | 4 | 2 | 6 | 31 | 1 | 1 | 4 | 2 | 6 |
| Volynska | 41 | 41 | 9892 | 9892 | 21386 | 7863 | 13523 | 5 | 4 | 9 | 23 | 1 | 6 | 5 | 4 | 9 |
| Zakarpatska | 36 | 38 | 37379 | 30713 | 30794 | 10447 | 20347 | 5 | 4 | 9 | 77 | 1 | 3 | 5 | 4 | 9 |
| Zaporizka | 37 | 37 | 2381 | 2199 | 9560 | 3845 | 5715 | 5 | 4 | 9 | 48 | 1 | 2 | 5 | 4 | 9 |
| Zhytomyrska | 24 | 26 | 10689 | 10689 | 17418 | 7787 | 9631 | 3 | 3 | 6 | 23 | 1 | 2 | 3 | 3 | 6 |
| **Total** | **835** | **853** | **368082** | **316283** | **458714** | **196084** | **262626** | **100** | **100** | **200** | **1269** | **25** | **95** | **100** | **100** | **200** |

Annex – IV

**Legal Forms of Community Organizations (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **1st quarter 2014** | | | | | **Total Since Inception** | | | | | **Total** |
| **ACMB** | **Public organization** | **BSP** | **Cooperatives** | **Other** | **ACMB** | **Public organization** | **BSP** | **Cooperatives** | **Other** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 6 | 0 | 0 | 32 |
| Cherkaska | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 0 | 0 | 0 | 39 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 0 | 0 | 0 | 26 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 0 | 0 | 0 | 24 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 0 | 0 | 8 | 41 |
| Donetska | 0 | 0 | 0 | 0 | 0 | 0 | 41 | 0 | 0 | 0 | 41 |
| I-Frankivska | 0 | 0 | 0 | 0 | 0 | 0 | 36 | 0 | 0 | 0 | 36 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 0 | 0 | 0 | 26 |
| Khersonska | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 4 | 0 | 0 | 36 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 0 | 0 | 0 | 32 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 34 | 0 | 0 | 0 | 34 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 0 | 0 | 0 | 25 |
| Luhanska | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 0 | 0 | 0 | 37 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 2 | 0 | 6 | 38 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 0 | 1 | 0 | 38 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 20 | 0 | 0 | 30 |
| Poltavska | 0 | 0 | 0 | 0 | 0 | 0 | 34 | 0 | 0 | 0 | 34 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 0 | 0 | 0 | 38 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 0 | 0 | 0 | 38 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 35 | 2 | 0 | 0 | 37 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 29 | 0 | 0 | 0 | 29 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 3 | 0 | 0 | 41 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 0 | 0 | 0 | 38 |
| Zaporizka | 0 | 0 | 0 | 0 | 0 | 0 | 35 | 0 | 2 | 0 | 37 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 0 | 0 | 0 | 26 |
| **Total** | **0** | **0** | **0** | **0** | **0** | **0** | **799** | **37** | **3** | **14** | 853 |

Annex – V (A)

**Capacity Building in First Quarter of 2014 (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **No. of Trainings** | **CO Management** | **Planning** | **MP - Preparation** | **Financial Mgmt.** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernihivska | 14 | 0 | 0 | 0 | 0 | 0 | 3 | 5 | 3 | 3 | 118 | 171 | 289 | 268 | 21 | 47 | 68 | 115 | 107 | 8 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Donetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I-Frankivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Poltavska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zaporizka | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **14** | **0** | **0** | **0** | **0** | **0** | **3** | **5** | **3** | **3** | **118** | **171** | **289** | **268** | **21** | **47** | **68** | **115** | **107** | **8** |

Annex – V (B)

**Capacity Building as of March 2014 (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **Trainings** | | | | | | | | | | **Participants without repetition** | | | | |
| **No. of Trainings** | **CO Management** | **Planning** | **MP - Preparation** | **Financial Mgmt.** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** |
| ARC | 82 | 8 | 8 | 8 | 10 | 15 | 8 | 8 | 8 | 9 | 324 | 479 | 803 | 660 | 143 |
| Cherkaska | 81 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 80 | 134 | 214 | 140 | 74 |
| Chernihivska | 70 | 6 | 6 | 6 | 6 | 2 | 17 | 12 | 6 | 9 | 158 | 203 | 361 | 330 | 31 |
| Chernivetska | 42 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 0 | 0 | 104 | 148 | 252 | 236 | 16 |
| Dnipropetrovska | 75 | 9 | 9 | 11 | 9 | 9 | 9 | 9 | 9 | 1 | 88 | 269 | 357 | 271 | 86 |
| Donetska | 81 | 11 | 11 | 11 | 1 | 11 | 11 | 11 | 11 | 3 | 253 | 504 | 757 | 489 | 268 |
| I-Frankivska | 84 | 9 | 9 | 9 | 1 | 10 | 27 | 9 | 9 | 1 | 157 | 250 | 407 | 333 | 74 |
| Kharkivska | 46 | 6 | 6 | 6 | 3 | 6 | 6 | 6 | 6 | 1 | 68 | 77 | 145 | 126 | 19 |
| Khersonska | 56 | 8 | 8 | 8 | 8 | 8 | 10 | 6 | 0 | 0 | 118 | 159 | 277 | 162 | 115 |
| Khmelnytska | 65 | 8 | 8 | 8 | 8 | 8 | 8 | 8 | 8 | 1 | 121 | 196 | 317 | 237 | 80 |
| Kirovohradska | 77 | 8 | 8 | 15 | 0 | 8 | 12 | 8 | 16 | 2 | 159 | 236 | 395 | 340 | 55 |
| Kyivska | 58 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 10 | 220 | 254 | 474 | 348 | 126 |
| Luhanska | 72 | 14 | 14 | 8 | 17 | 8 | 4 | 0 | 0 | 7 | 69 | 188 | 257 | 190 | 67 |
| Lvivska | 63 | 10 | 10 | 10 | 1 | 16 | 8 | 7 | 0 | 1 | 302 | 403 | 705 | 573 | 132 |
| Mykolaivska | 69 | 9 | 9 | 9 | 6 | 9 | 9 | 9 | 9 | 0 | 275 | 286 | 561 | 448 | 113 |
| Odeska | 58 | 8 | 8 | 8 | 2 | 8 | 8 | 8 | 8 | 0 | 98 | 208 | 306 | 239 | 67 |
| Poltavska | 72 | 8 | 8 | 8 | 13 | 8 | 9 | 8 | 8 | 2 | 283 | 289 | 572 | 428 | 144 |
| Rivnenska | 58 | 8 | 8 | 8 | 1 | 8 | 8 | 8 | 8 | 1 | 226 | 229 | 455 | 329 | 126 |
| Sumska | 45 | 9 | 9 | 9 | 9 | 9 | 0 | 0 | 0 | 0 | 217 | 277 | 494 | 382 | 112 |
| Ternopilska | 51 | 8 | 8 | 8 | 0 | 5 | 6 | 8 | 8 | 0 | 130 | 216 | 346 | 242 | 104 |
| Vinnytska | 51 | 7 | 7 | 7 | 0 | 9 | 9 | 6 | 6 | 0 | 167 | 174 | 341 | 240 | 101 |
| Volynska | 70 | 11 | 10 | 9 | 3 | 1 | 9 | 9 | 9 | 9 | 87 | 119 | 206 | 170 | 36 |
| Zakarpatska | 66 | 11 | 11 | 11 | 4 | 11 | 9 | 9 | 0 | 0 | 295 | 366 | 661 | 520 | 141 |
| Zaporizka | 171 | 29 | 29 | 29 | 4 | 11 | 32 | 33 | 3 | 1 | 350 | 566 | 916 | 721 | 195 |
| Zhytomyrska | 30 | 6 | 6 | 3 | 2 | 11 | 1 | 0 | 0 | 1 | 68 | 147 | 215 | 157 | 58 |
| **Total** | **1693** | **232** | **231** | **230** | **129** | **212** | **241** | **203** | **147** | **68** | **4417** | **6377** | **10794** | **8311** | **2483** |

Annex – VI

**Sectoral Distribution of Community Development Priorities (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **Target**  **CDP** | **1st Quarter 2014** | | | | | | | | **No of COs with CDP** | **Total Since Inception** | | | | | | |
| **No of COs with CDP** | **Sectoral Distribution of Community Priorities** | | | | | **Approved by VCs/CCs** | **Approved at LDF** | **Sectoral Distribution of Community Priorities** | | | | | **Approved by VCs/CCs9** | **Approved at LDF10** |
| **Health post** | **Water Supply** | **Energy Saving** | **Environment** | **Total** | **Health post** | **Water Supply** | **Energy Saving** | **Environment** | **Total** |
| ARC | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 3 | 3 | 26 | 0 | 32 | 32 | 32 |
| Cherkaska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 12 | 1 | 26 | 0 | 39 | 39 | 39 |
| Chernihivska | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 4 | 3 | 18 | 0 | 25 | 25 | 25 |
| Chernivetska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 3 | 3 | 18 | 0 | 24 | 24 | 24 |
| Dnipropetrovska | 39 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 39 | 2 | 0 | 37 | 0 | 39 | 39 | 39 |
| Donetska | 42 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 41 | 6 | 1 | 33 | 1 | 41 | 41 | 41 |
| I-Frankivska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | 10 | 7 | 17 | 2 | 36 | 36 | 36 |
| Kharkivska | 26 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 4 | 1 | 20 | 1 | 26 | 26 | 26 |
| Khersonska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 2 | 9 | 21 | 0 | 32 | 32 | 32 |
| Khmelnytska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 2 | 2 | 28 | 0 | 32 | 32 | 32 |
| Kirovohradska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 2 | 1 | 30 | 0 | 33 | 33 | 33 |
| Kyivska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 6 | 0 | 18 | 0 | 24 | 24 | 24 |
| Luhanska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 8 | 1 | 27 | 1 | 37 | 37 | 37 |
| Lvivska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 2 | 2 | 34 | 0 | 38 | 38 | 38 |
| Mykolaivska | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | 2 | 9 | 25 | 0 | 36 | 36 | 36 |
| Odeska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 4 | 5 | 21 | 0 | 30 | 30 | 30 |
| Poltavska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 | 1 | 8 | 24 | 0 | 33 | 33 | 33 |
| Rivnenska | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 4 | 2 | 26 | 0 | 32 | 32 | 32 |
| Sumska | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 3 | 13 | 22 | 0 | 38 | 38 | 38 |
| Ternopilska | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 2 | 1 | 33 | 1 | 37 | 37 | 37 |
| Vinnytska | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 29 | 4 | 3 | 22 | 0 | 29 | 29 | 29 |
| Volynska | 41 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 41 | 12 | -2 | 31 | 0 | 41 | 41 | 41 |
| Zakarpatska | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 4 | 2 | 31 | 0 | 37 | 37 | 37 |
| Zaporizka | 36 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 | 0 | 12 | 25 | 0 | 37 | 37 | 37 |
| Zhytomyrska | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 6 | 2 | 16 | 0 | 24 | 24 | 24 |
| **Total** | **836** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **832** | **108** | **89** | **629** | **6** | **832** | **832** | **832** |

Annex – VII

**Micro- projects Approval as of March 2014 (Regular)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **approved MPPs** | **COs** | **VC/CC** | **Rayon Authority** | **Regional Authority** | **Private sector** | **CBA** | **Total** | **Health** | **Energy saving** | **Water Supply** | **Environment** | **Total** | **Male** | **Female** |
| ARC | 29 | 362099 | 1147321 | 1107651 | 221229 | 30764 | 2180636 | 5049700 | 3 | 22 | 4 | 0 | 39851 | 18662 | 21189 |
| Cherkaska | 28 | 228204 | 716190 | 326185 | 125232 | 166058 | 1908858 | 3470727 | 14 | 13 | 1 | 0 | 27285 | 12633 | 14652 |
| Chernihivska | 18 | 377161 | 54424 | 1759005 | 0 | 0 | 1505209 | 3695799 | 3 | 12 | 3 | 0 | 32968 | 15145 | 17823 |
| Chernivetska | 23 | 197286 | 47976 | 1169881 | 0 | 0 | 1230780 | 2645923 | 2 | 18 | 3 | 0 | 23381 | 11071 | 12310 |
| Dnipropetrovska | 27 | 276542 | 866035 | 863705 | 944796 | 103005 | 2224439 | 5278522 | 3 | 23 | 1 | 0 | 39416 | 18122 | 21294 |
| Donetska | 40 | 340116 | 1401496 | 1739652 | 0 | 50000 | 3102445 | 6633709 | 6 | 31 | 2 | 1 | 77782 | 35704 | 42078 |
| I-Frankivska | 31 | 352246 | 165684 | 2466331 | 0 | 0 | 2377002 | 5361263 | 6 | 21 | 4 | 0 | 45434 | 19268 | 26166 |
| Kharkivska | 22 | 232827 | 368668 | 1140812 | 0 | 34157 | 1490008 | 3266472 | 4 | 15 | 1 | 2 | 20534 | 10204 | 10330 |
| Khersonska | 28 | 250833 | 1313679 | 742178 | 0 | 0 | 2161039 | 4467729 | 2 | 17 | 9 | 0 | 22670 | 10207 | 12463 |
| Khmelnytska | 26 | 506346 | 352324 | 2628050 | 0 | 251670 | 2013634 | 5752024 | 2 | 22 | 2 | 0 | 18145 | 8168 | 9977 |
| Kirovohradska | 34 | 355910 | 765858 | 1619400 | 0 | 262179 | 2542997 | 5546344 | 1 | 32 | 1 | 0 | 45922 | 20308 | 25614 |
| Kyivska | 21 | 190710 | 262967 | 1392859 | 0 | 206875 | 1684877 | 3738288 | 6 | 15 | 0 | 0 | 18716 | 8446 | 10270 |
| Luhanska | 33 | 447236 | 289459 | 3992193 | 0 | 134187 | 2486236 | 7349311 | 8 | 23 | 1 | 1 | 40555 | 18906 | 21649 |
| Lvivska | 36 | 350591 | 734576 | 2364312 | 34000 | 43000 | 2366037 | 5892516 | 2 | 32 | 2 | 0 | 38715 | 17415 | 21300 |
| Mykolaivska | 36 | 668977 | 814770 | 1988767 | 0 | 41688 | 2904136 | 6418338 | 1 | 25 | 10 | 0 | 38920 | 17524 | 21396 |
| Odeska | 27 | 338519 | 580816 | 1445708 | 0 | 0 | 2062839 | 4427882 | 4 | 18 | 5 | 0 | 39391 | 17455 | 21936 |
| Poltavska | 26 | 292871 | 197623 | 1317625 | 1178163 | 26170 | 2024271 | 5036723 | 1 | 17 | 8 | 0 | 19620 | 9024 | 10596 |
| Rivnenska | 30 | 339288 | 314488 | 2521108 | 0 | 0 | 2194834 | 5369718 | 2 | 26 | 2 | 0 | 34655 | 16359 | 18296 |
| Sumska | 35 | 1505112 | 1733246 | 1113484 | 334232 | 28464 | 2476096 | 7190634 | 3 | 19 | 13 | 0 | 16684 | 7465 | 9219 |
| Ternopilska | 31 | 595818 | 58212 | 2273177 | 0 | 103841 | 2387729 | 5418777 | 1 | 28 | 1 | 1 | 27168 | 13043 | 14125 |
| Vinnytska | 17 | 197329 | 592649 | 1302932 | 0 | 136761 | 996190 | 3225861 | 5 | 9 | 3 | 0 | 9304 | 4079 | 5225 |
| Volynska | 37 | 350556 | 325350 | 1885408 | 726800 | 0 | 2574740 | 5862854 | 8 | 29 | 0 | 0 | 20670 | 9206 | 11464 |
| Zakarpatska | 32 | 388500 | 1174334 | 2161252 | 0 | 68617 | 2407580 | 6200283 | 2 | 27 | 3 | 0 | 54144 | 25537 | 28607 |
| Zaporizka | 19 | 248789 | 498239 | 1055061 | 0 | 306738 | 1514564 | 3623391 | 2 | 8 | 9 | 0 | 29952 | 13673 | 16279 |
| Zhytomyrska | 24 | 233960 | 522320 | 1825898 | 0 | 0 | 1914623 | 4496801 | 6 | 16 | 2 | 0 | 21356 | 9579 | 11777 |
| **Total** | **710** | **9627826** | **15298704** | **42202634** | **3564452** | **1994174** | **52731799** | **125419589** | **97** | **518** | **90** | **5** | **800703** | **365961** | **434742** |

Annex – VIII

**Micro-Project Completion and Sustainability (Regular + EE Project)**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **1st Quarter 2014** | | | | **Total Since Inception** | | | |
| **O & M fund created** | **Works done** | **Public audit held** | **Handover** | **O & M fund created** | **Works done** | **Public audit held** | **Handover** |
| ARC | 0 | 2 | 2 | 1 | 30 | 29 | 29 | 25 |
| Cherkaska | 0 | 0 | 0 | 0 | 28 | 31 | 31 | 31 |
| Chernihivska | 0 | 2 | 7 | 3 | 22 | 15 | 20 | 6 |
| Chernivetska | 0 | 10 | 4 | 12 | 19 | 18 | 18 | 12 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 27 | 27 | 27 | 27 |
| Donetska | 0 | 0 | 0 | 0 | 38 | 38 | 38 | 38 |
| I-Frankivska | 0 | 0 | 0 | 0 | 30 | 31 | 30 | 31 |
| Kharkivska | 0 | 0 | 0 | 0 | 22 | 21 | 21 | 21 |
| Khersonska | 1 | 1 | 1 | 1 | 22 | 29 | 28 | 28 |
| Khmelnytska | 0 | 0 | 0 | 0 | 27 | 18 | 21 | 20 |
| Kirovohradska | 0 | 0 | 0 | 0 | 33 | 33 | 33 | 33 |
| Kyivska | 0 | 0 | 0 | 0 | 21 | 20 | 20 | 20 |
| Luhanska | 0 | 0 | 0 | 0 | 33 | 33 | 33 | 33 |
| Lvivska | 2 | 4 | 10 | 4 | 19 | 26 | 18 | 26 |
| Mykolaivska | 0 | 0 | 0 | 0 | 35 | 35 | 35 | 35 |
| Odeska | 0 | 0 | 0 | 0 | 26 | 26 | 20 | 20 |
| Poltavska | 0 | 1 | 0 | 0 | 26 | 25 | 24 | 22 |
| Rivnenska | 0 | 0 | 0 | 0 | 28 | 28 | 27 | 28 |
| Sumska | -2 | 0 | 0 | 0 | 35 | 34 | 34 | 34 |
| Ternopilska | 1 | 0 | 2 | 1 | 24 | 30 | 28 | 26 |
| Vinnytska | 1 | 4 | 3 | 4 | 12 | 14 | 11 | 14 |
| Volynska | 0 | 0 | 0 | 0 | 37 | 36 | 36 | 36 |
| Zakarpatska | 0 | 0 | 1 | 0 | 32 | 32 | 31 | 32 |
| Zaporizka | 0 | 0 | 0 | 0 | 23 | 27 | 27 | 27 |
| Zhytomyrska | 0 | 9 | 8 | 5 | 21 | 16 | 11 | 4 |
| **Total** | **3** | **33** | **38** | **31** | **670** | **672** | **651** | **629** |

Annex – IX

**Establishing Partnership as of March 2014 (Replication)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Allocated quota** | **Rayons selection** | | | **PA signed by rayons** | **Rayon seminars** | **VC/CC selection** | | | **VC/CC level seminar** | **PA signed by VC/CC** | **Community selection** | | | **CO Formation** | | | | | | **LDF** | | | | **RCRC** | | |
| **rayons selected** | **CBA-I rayons** | **New rayons** | **# of VC/CC selected** | **CBA-I VC/CC** | **New VC/CC** | **Community selected** | **CBA-I community** | **New community** | **COs formed/grafted** | **COs enrolled at VC/CC** | **CO legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **LDF grafted from CBA-I** | **New LDF formed** | **Total LDF** | **LDF sittings** | **RCRC grafted** | **New RCRC** | **Total** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 4 | 4 | 2 | 2 | 4 | 4 | 16 | 5 | 11 | 16 | 16 | 16 | 5 | 11 | 16 | 16 | 16 | 4500 | 4050 | 4050 | 2 | 2 | 4 | 27 | 2 | 2 | 4 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 2 | 2 | 2 | 0 | 2 | 2 | 8 | 0 | 8 | 8 | 8 | 8 | 0 | 8 | 8 | 8 | 8 | 3367 | 3367 | 3367 | 2 | 0 | 2 | 7 | 0 | 2 | 2 |
| Dnipropetrovska | 4 | 4 | 1 | 3 | 4 | 4 | 18 | 1 | 17 | 18 | 18 | 18 | 1 | 17 | 18 | 18 | 18 | 5839 | 4762 | 9489 | 1 | 3 | 4 | 16 | 1 | 3 | 4 |
| Donetska | 2 | 1 | 1 | 0 | 1 | 2 | 7 | 0 | 7 | 8 | 7 | 7 | 0 | 7 | 7 | 7 | 7 | 2786 | 2431 | 2431 | 1 | 0 | 1 | 5 | 1 | 0 | 1 |
| I-Frankivska | 4 | 4 | 3 | 1 | 4 | 4 | 16 | 2 | 14 | 16 | 16 | 16 | 2 | 14 | 16 | 16 | 16 | 8506 | 6806 | 6806 | 3 | 1 | 4 | 12 | 3 | 1 | 4 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 2 | 2 | 1 | 1 | 2 | 2 | 9 | 4 | 5 | 9 | 9 | 9 | 4 | 5 | 9 | 9 | 9 | 5713 | 4014 | 7912 | 1 | 1 | 2 | 10 | 1 | 1 | 2 |
| Khmelnytska | 2 | 2 | 0 | 2 | 2 | 2 | 8 | 0 | 8 | 8 | 8 | 8 | 0 | 8 | 8 | 8 | 8 | 2231 | 1785 | 1785 | 0 | 2 | 2 | 5 | 0 | 2 | 2 |
| Kirovohradska | 4 | 4 | 1 | 3 | 4 | 4 | 16 | 2 | 14 | 17 | 16 | 16 | 2 | 14 | 16 | 16 | 16 | 7954 | 7365 | 11123 | 1 | 3 | 4 | 41 | 1 | 3 | 4 |
| Kyivska | 2 | 4 | 2 | 2 | 4 | 4 | 16 | 4 | 12 | 16 | 14 | 16 | 4 | 12 | 16 | 16 | 16 | 7061 | 5681 | 8203 | 2 | 2 | 4 | 22 | 2 | 2 | 4 |
| Luhanska | 4 | 2 | 2 | 0 | 2 | 2 | 8 | 2 | 6 | 10 | 8 | 8 | 2 | 6 | 10 | 10 | 10 | 3419 | 3203 | 3203 | 2 | 0 | 2 | 14 | 2 | 0 | 2 |
| Lvivska | 4 | 4 | 0 | 4 | 4 | 4 | 16 | 0 | 16 | 16 | 16 | 16 | 0 | 16 | 16 | 16 | 16 | 6186 | 5808 | 5808 | 0 | 4 | 4 | 20 | 0 | 4 | 4 |
| Mykolaivska | 3 | 3 | 0 | 3 | 3 | 4 | 12 | 0 | 12 | 12 | 12 | 12 | 0 | 12 | 12 | 12 | 12 | 4593 | 3792 | 4181 | 0 | 3 | 3 | 14 | 0 | 3 | 3 |
| Odeska | 3 | 3 | 2 | 1 | 3 | 4 | 12 | 6 | 6 | 12 | 12 | 12 | 4 | 8 | 12 | 12 | 12 | 5193 | 5097 | 6825 | 2 | 1 | 3 | 12 | 2 | 1 | 3 |
| Poltavska | 3 | 3 | 1 | 2 | 3 | 3 | 12 | 3 | 9 | 12 | 12 | 12 | 3 | 9 | 12 | 12 | 12 | 4341 | 3712 | 9897 | 1 | 2 | 3 | 11 | 1 | 2 | 3 |
| Rivnenska | 3 | 3 | 2 | 1 | 3 | 3 | 8 | 4 | 4 | 8 | 8 | 8 | 4 | 4 | 8 | 8 | 8 | 1894 | 1210 | 1210 | 2 | 1 | 3 | 6 | 2 | 1 | 3 |
| Sumska | 3 | 3 | 3 | 0 | 3 | 3 | 8 | 6 | 2 | 9 | 8 | 8 | 6 | 2 | 8 | 8 | 8 | 2144 | 1943 | 1943 | 3 | 0 | 3 | 36 | 3 | 0 | 3 |
| Ternopilska | 4 | 4 | 2 | 2 | 5 | 3 | 16 | 2 | 14 | 17 | 17 | 16 | 2 | 14 | 16 | 16 | 16 | 4368 | 4112 | 5092 | 2 | 1 | 3 | 16 | 1 | 1 | 2 |
| Vinnytska | 0 | 2 | 0 | 2 | 2 | 2 | 8 | 0 | 8 | 8 | 8 | 9 | 0 | 9 | 9 | 9 | 9 | 6551 | 5915 | 13830 | 0 | 2 | 2 | 6 | 0 | 2 | 2 |
| Volynska | 4 | 4 | 2 | 2 | 4 | 4 | 16 | 4 | 12 | 16 | 16 | 16 | 4 | 12 | 16 | 16 | 16 | 1300 | 1257 | 1369 | 2 | 2 | 4 | 4 | 2 | 2 | 4 |
| Zakarpatska | 2 | 3 | 2 | 1 | 3 | 3 | 8 | 4 | 4 | 8 | 8 | 8 | 4 | 4 | 8 | 8 | 8 | 4220 | 3380 | 11204 | 2 | 1 | 3 | 9 | 2 | 1 | 3 |
| Zaporizka | 3 | 3 | 0 | 3 | 3 | 4 | 12 | 0 | 12 | 12 | 12 | 12 | 0 | 12 | 12 | 12 | 12 | 1350 | 970 | 1840 | 0 | 3 | 3 | 18 | 0 | 3 | 3 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **62** | **64** | **29** | **35** | **65** | **67** | **250** | **49** | **201** | **256** | **249** | **251** | **47** | **204** | **253** | **253** | **253** | **93516** | **80660** | **121568** | **29** | **34** | **63** | **311** | **26** | **36** | **62** |

Annex – X (A)

**Capacity Building in First Quarter of 2014 (Replication)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **No. of Trainings** | **Type of Training** | | | | | | | | | **Participants (with repetition)** | | | | | **Participants (without repetition)** | | | | |
| **CO Management** | **Financial Mgmt.** | **Planning** | **MP - Preparation** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members** | **Authorities** | **Male** | **Female** | **Total4** | **CO-members5** | **Authorities6** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Donetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I-Frankivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Poltavska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 2 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 10 | 7 | 17 | 12 | 5 | 4 | 3 | 7 | 5 | 2 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zaporizka | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **2** | **0** | **0** | **0** | **0** | **2** | **0** | **0** | **0** | **0** | **10** | **7** | **17** | **12** | **5** | **4** | **3** | **7** | **5** | **2** |

Annex – X (B)

**Capacity Building as of March 2014 (Replication)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **COS** | **CDPs** | | | **Training** | | | | | | | | | | | | | | |
| **COs with CDPs** | **CDPs approved at VC** | **CDPs approved at LDF** |  | **Type of Training** | | | | | | | | | **Participants (without repetition)** | | | | |
| **No. of Trainings** | **CO Mgmt.** | **Financial Mgmt.** | **Planning** | **MP - Preparation** | **MP Implementation** | **PAS** | **Public Audit** | **MP-handover & Sustainability** | **Other** | **Male** | **Female** | **Total** | **CO-members5** | **Authoritie6** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 16 | 16 | 16 | 16 | 33 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 1 | 36 | 55 | 91 | 67 | 24 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 8 | 8 | 8 | 8 | 14 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 0 | 0 | 5 | 4 | 9 | 6 | 3 |
| Dnipropetrovska | 18 | 18 | 18 | 18 | 48 | 6 | 6 | 6 | 5 | 5 | 8 | 6 | 6 | 0 | 25 | 101 | 126 | 84 | 42 |
| Donetska | 7 | 7 | 7 | 7 | 12 | 2 | 2 | 2 | 1 | 2 | 1 | 1 | 1 | 0 | 27 | 82 | 109 | 87 | 22 |
| I-Frankivska | 16 | 16 | 16 | 16 | 17 | 4 | 4 | 4 | 1 | 4 | 0 | 0 | 0 | 0 | 14 | 26 | 40 | 38 | 2 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 9 | 9 | 9 | 9 | 14 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 0 | 0 | 34 | 50 | 84 | 48 | 36 |
| Khmelnytska | 8 | 8 | 8 | 8 | 10 | 2 | 2 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 21,0 | 32 | 53 | 31 | 22 |
| Kirovohradska | 16 | 16 | 16 | 16 | 33 | 4 | 4 | 8 | 0 | 4 | 4 | 0 | 5 | 4 | 153 | 189 | 342 | 316 | 26 |
| Kyivska | 16 | 16 | 16 | 16 | 58 | 8 | 8 | 8 | 16 | 8 | 8 | 0 | 0 | 2 | 41 | 64 | 105 | 88 | 17 |
| Luhanska | 10 | 10 | 10 | 10 | 71 | 9 | 9 | 9 | 22 | 14 | 8 | 0 | 0 | 0 | 15 | 63 | 78 | 63 | 15 |
| Lvivska | 16 | 16 | 16 | 16 | 23 | 5 | 5 | 5 | 8 | 0 | 0 | 0 | 0 | 0 | 48 | 72 | 120 | 76 | 44 |
| Mykolaivska | 12 | 12 | 12 | 12 | 13 | 3 | 3 | 3 | 1 | 3 | 0 | 0 | 0 | 0 | 48 | 62 | 110 | 95 | 15 |
| Odeska | 12 | 12 | 12 | 12 | 20 | 3 | 3 | 3 | 1 | 3 | 3 | 2 | 2 | 0 | 17 | 53 | 70 | 58 | 12 |
| Poltavska | 12 | 12 | 12 | 12 | 27 | 3 | 3 | 6 | 3 | 3 | 3 | 3 | 3 | 0 | 93 | 70 | 163 | 132 | 31 |
| Rivnenska | 8 | 8 | 8 | 8 | 21 | 6 | 6 | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 30 | 59 | 89 | 80 | 9 |
| Sumska | 8 | 8 | 8 | 8 | 3 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 16 | 30 | 26 | 4 |
| Ternopilska | 16 | 16 | 16 | 16 | 20 | 2 | 2 | 6 | 0 | 6 | 2 | 1 | 1 | 0 | 44 | 70 | 114 | 80 | 34 |
| Vinnytska | 9 | 9 | 9 | 8 | 14 | 4 | 4 | 2 | 0 | 2 | 2 | 0 | 0 | 0 | 28 | 67 | 95 | 69 | 26 |
| Volynska | 16 | 16 | 16 | 16 | 14 | 4 | 4 | 4 | 0 | 2 | 0 | 0 | 0 | 0 | 24 | 35 | 59 | 43 | 16 |
| Zakarpatska | 8 | 8 | 8 | 8 | 22 | 3 | 3 | 3 | 3 | 3 | 3 | 2 | 2 | 0 | 66 | 78 | 144 | 129 | 15 |
| Zaporizka | 12 | 12 | 12 | 12 | 37 | 4 | 4 | 4 | 5 | 4 | 4 | 4 | 4 | 4 | 165 | 277 | 442 | 304 | 138 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **253** | **253** | **253** | **252** | **524** | **81** | **81** | **87** | **79** | **76** | **54** | **27** | **28** | **11** | **952** | **1528** | **2480** | **1925** | **555** |

Annex – XI (A)

**Micro-Projects Approved in First Quarter of 2014 (Replication)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **Approved MP** | **OC** | **VC/CC** | **Rayon** | **Oblast** | **Private donor** | **CBA** | **Total** | **Health** | **Energy saving** | **Water supply** | **Environment** | | **Total** | | **Total** | | **Male** | | **Female** | | **Health** | | **Schools** | | **Community** | |
| ARC |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Cherkaska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Chernihivska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Chernivetska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Dnipropetrovska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Donetska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| I-Frankivska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Kharkivska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Khersonska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Khmelnytska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Kirovohradksa |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Kyivska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Luhanska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Lvivska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Mykolaivska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Odeska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Poltavska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Rivnenska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Sumska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Ternopilska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Vinnytska | 5 | 24 675 | 250 064 | 120 000 | 0 |  | 95 592 | 490 331 |  | 5 |  |  | | 5 | | 2821 | | 1217 | | 1604 | |  | | 1 | | 4 | |
| Volynska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Zakarpatska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Zaporizka |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| Zhytomyrska |  |  |  |  |  |  |  |  |  |  |  |  | |  | |  | |  | |  | |  | |  | |  | |
| **Total** | **5** | **24 675** | **250 064** | **120 000** | **0** | **0** | **95 592** | **490 331** | **0** | **5** | **0** | **0** | **5** | | **2821** | | **1217** | | **1604** | | **0** | | **1** | | **4** | |

Annex – XI (B)

**Micro-Projects Approved as of March 2014 (Replication)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **MPPs approved** | **COS** | **VC/CC** | **Rayon Authority** | **Regional Authority** | **Private Sector** | **CBA** | **Total** | **Health** | **Energy Saving** | **Water** | **Environment** | **Total** | **Male** | **female** | **schools** | **health3** | **community** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 8 | 51286 | 378437 | 149110 | 58407 | 17000 | 157642 | 811882 | 3 | 5 | 0 | 0 | 11212 | 5086 | 6126 | 5 | 0 | 3 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 6 | 33562,00 | 0,00 | 311671,00 | 0,00 | 0,00 | 85885,00 | 431118 | 0 | 6 | 0 | 0 | 15772 | 7801 | 7971 | 0 | 4 | 2 |
| Dnipropetrovska | 17 | 138382 | 545192 | 365313 | 833757 | 0 | 338285 | 2220929 | 1 | 15 | 1 | 0 | 22362 | 10197 | 12165 | 13 | 3 | 1 |
| Donetska | 7 | 28658 | 372219 | 75448 | 0 | 0 | 96845 | 573170 | 1 | 6 | 0 | 0 | 6232 | 2821 | 3411 | 5 | 1 | 1 |
| I-Frankivska | 15 | 132698 | 0 | 1102514 | 60000 | 0 | 289522 | 1584734 | 1 | 13 | 1 | 0 | 17239 | 8061 | 9178 | 11 | 3 | 1 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 11 | 70146 | 35000 | 624000 | 0 | 0 | 182450 | 911596 | 0 | 11 | 0 | 0 | 20420 | 12067 | 8353 | 10 | 0 | 1 |
| Khmelnytska | 8 | 111586 | 568313 | 221050 | 0 | 5000 | 138891 | 1044840 | 1 | 5 | 2 | 0 | 4623 | 2027 | 2596 | 4 | 1 | 3 |
| Kirovohradska | 16 | 85599 | 558514 | 374062 | 0 | 142826 | 317333 | 1478334 | 3 | 13 | 0 | 0 | 15486 | 7071 | 8415 | 13 | 2 | 1 |
| Kyivska | 8 | 45030 | 0 | 474321 | 0 | 0 | 141951 | 661302 | 0 | 8 | 0 | 0 | 5522 | 2445 | 3077 | 7 | 1 | 0 |
| Luhanska | 14 | 145569 | 282268 | 1495974 | 0 | 0 | 283306 | 2207117 | 3 | 9 | 1 | 1 | 12148 | 5760 | 6388 | 6 | 3 | 5 |
| Lvivska | 15 | 130096 | 249399 | 522130 | 510000 | 22113 | 307702 | 1741440 | 0 | 13 | 1 | 1 | 19066 | 8758 | 10308 | 8 | 4 | 3 |
| Mykolaivska | 8 | 58832 | 100960 | 513776 | 0 | 0 | 168869 | 842437 | 2 | 2 | 4 | 0 | 8067 | 3768 | 4299 | 1 | 3 | 4 |
| Odeska | 11 | 750520 | 44540 | 746186 | 0 | 0 | 200183 | 1741429 | 1 | 9 | 1 | 0 | 14895 | 7105 | 7790 | 9 | 1 | 1 |
| Poltavska | 8 | 65601 | 352593 | 467513 | 181222 | 0 | 165300 | 1232229 | 1 | 5 | 2 | 0 | 7365 | 3397 | 3968 | 5 | 1 | 2 |
| Rivnenska | 6 | 32738 | 171789 | 134114 | 0 | 0 | 121680 | 460321 | 0 | 6 | 0 | 0 | 4722 | 2012 | 2710 | 4 | 1 | 1 |
| Sumska | 8 | 161366 | 536983 | 342546 | 77445 | 0 | 165048 | 1283388 | 2 | 5 | 1 | 0 | 4942 | 2299 | 2643 | 3 | 2 | 3 |
| Ternopilska | 16 | 227713 | 11830 | 770214 | 0 | 109384 | 394592 | 1513733 | 2 | 14 | 0 | 0 | 15701 | 7536 | 8165 | 12 | 2 | 2 |
| Vinnytska | 7 | 41325 | 265064 | 368039 | 0 | 10675 | 137752 | 822855 | 0 | 7 | 0 | 0 | 3124 | 2211 | 2109 | 0 | 3 | 4 |
| Volynska | 16 | 87667 | 302205 | 433758 | 273000 | 0 | 329347 | 1425977 | 2 | 14 | 0 | 0 | 7494 | 3593 | 3901 | 9 | 2 | 5 |
| Zakarpatska | 8 | 44659 | 434566 | 104074 | 0 | 0 | 153447 | 736746 | 3 | 5 | 0 | 0 | 6611 | 3189 | 3422 | 4 | 2 | 2 |
| Zaporizka | 12 | 83777 | 419789 | 486265 | 0 | 30101 | 221095 | 1241027 | 1 | 7 | 4 | 0 | 11153 | 4714 | 6439 | 3 | 5 | 4 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **225** | **2526810** | **5629661** | **10082078** | **1993831** | **337099** | **4397125** | **24966604** | **27** | **178** | **18** | **2** | **234156** | **111918** | **123434** | **132** | **44** | **49** |

Annex –XI (C)

**Post-completion Activities (Replication)**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **1st quorter 2014** | | | | **Total Since Inception** | | | |
| **O & M fund created** | **Works done** | **Public audit held** | **Handover** | **O & M fund created** | **Works done** | **Public audit held** | **Handover** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 0 | 0 | 0 | 0 | 9 | 8 | 8 | 8 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 4 | 4 | 0 | 0 | 12 | 8 | 0 | 0 |
| Dnipropetrovska | 3 | 0 | 0 | 0 | 22 | 19 | 19 | 19 |
| Donetska | 0 | 1 | 1 | 1 | 7 | 7 | 7 | 7 |
| I-Frankivska | 7 | 7 | 1 | 5 | 10 | 10 | 4 | 8 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 1 | 1 | 1 | 1 | 7 | 7 | 7 | 7 |
| Khmelnytska | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 1 |
| Kirovohradska | 0 | 4 | 0 | 0 | 16 | 13 | 9 | 0 |
| Kyivska | 0 | 0 | 0 | 0 | 13 | 9 | 12 | 9 |
| Luhanska | 0 | 3 | 3 | 3 | 11 | 7 | 7 | 7 |
| Lvivska | 0 | 3 | 0 | 3 | 15 | 3 | 0 | 3 |
| Mykolaivska | 4 | 4 | 4 | 4 | 8 | 8 | 8 | 8 |
| Odeska | 0 | 1 | 1 | 1 | 12 | 5 | 5 | 5 |
| Poltavska | 0 | 4 | 3 | 3 | 9 | 5 | 4 | 4 |
| Rivnenska | 0 | 1 | 1 | 1 | 2 | 1 | 1 | 1 |
| Sumska | 0 | 0 | 0 | 0 | 8 | 3 | 3 | 3 |
| Ternopilska | 2 | 0 | 2 | 1 | 21 | 21 | 25 | 19 |
| Vinnytska | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| Volynska | 0 | 0 | 0 | 0 | 15 | 8 | 2 | 2 |
| Zakarpatska | 0 | 1 | 3 | 1 | 8 | 6 | 6 | 6 |
| Zaporizka | 0 | 1 | 1 | 1 | 11 | 6 | 5 | 6 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **22** | **35** | **22** | **26** | **219** | **154** | **133** | **123** |

Annex – XII (A)

**Support Structure and Capacity Building in First Quarter of 2014 (Energy Efficiency Component)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Regions** | **VC/CC selection** | | | **VC/CC level seminar** | **PA signed by VC/CC** | **Community selection** | | | **CO Formation** | | | | | | **Trainings** | | | | | | **CDPs** | | |
| **# of VC/CC selected** | **CBA-I or II VC/CC** | **New VC/CC** | **CBA-I - II community** | **New community** | **Total** | **COs formed/grafted** | **COs enrolled at VC/CC** | **CO legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **Total** | **Male** | **Female** | **# of CDPs** | **CDPs approved VC/CC** | **# approved by LDF** |
| ARC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cherkaska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernihivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 52 | 9 | 61 | 27 | 34 | 0 | 0 | 0 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Donetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I-Frankivska | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 67 | 4 | 71 | 25 | 42 | 0 | 0 | 0 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khersonska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Khmelnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 24 | 8 | 32 | 14 | 18 | 0 | 0 | 0 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Luhanska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lvivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Odeska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Poltavska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Rivnenska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Vinnytska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zakarpatska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 50 | 30 | 80 | 49 | 31 | 0 | 0 | 0 |
| Zaporizka | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **0** | **3** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **0** | **22** | **193** | **51** | **244** | **115** | **125** | **0** | **0** | **0** |

Annex – XII (B)

**Support Structure and Capacity Building as of March 2014 (Energy Efficiency Component)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **VC/CC selection** | | | **VC/CC level seminar** | **PA signed by VC/CC** | **Community selection** | | | **CO Formation** | | | | | | **Trainings** | | | | | | **CDPs** | | |
| **# of VC/CC selected** | **CBA-I or II VC/CC** | **New VC/CC** | **CBA-I - II communities** | **New communities** | **Total** | **COs formed/grafted** | **COs enrolled at VC/CC** | **CO legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **Total** | **Male** | **Female** | **# of CDPs** | **CDPs approved VC/CC** | **# approved by LDF** |
| ARC | 6 | 6 | 0 | 6 | 5 | 6 | 0 | 6 | 6 | 6 | 6 | 1650 | 1650 | 2175 | 50 | 359 | 31 | 390 | 170 | 220 | 6 | 6 | 6 |
| Cherkaska | 6 | 6 | 0 | 6 | 6 | 6 | 0 | 6 | 6 | 6 | 6 | 2928 | 2701 | 2701 | 7 | 87 | 40 | 127 | 40 | 87 | 6 | 6 | 6 |
| Chernihivska | 6 | 5 | 1 | 6 | 0 | 5 | 1 | 6 | 6 | 6 | 6 | 2062 | 1557 | 1557 | 22 | 246 | 233 | 479 | 243 | 236 | 6 | 6 | 6 |
| Chernivetska | 6 | 6 | 0 | 0 | 0 | 6 | 0 | 6 | 6 | 6 | 6 | 7437 | 5780 | 5780 | 36 | 160 | 26 | 186 | 80 | 106 | 6 | 6 | 6 |
| Dnipropetrovska | 8 | 8 | 0 | 0 | 1 | 6 | 2 | 8 | 8 | 8 | 8 | 3082 | 2168 | 2392 | 19 | 138 | 158 | 296 | 136 | 160 | 8 | 8 | 8 |
| Donetska | 6 | 6 | 0 | 9 | 9 | 6 | 0 | 6 | 6 | 6 | 6 | 6486 | 5461 | 5461 | 33 | 275 | 170 | 445 | 155 | 290 | 6 | 6 | 6 |
| I-Frankivska | 11 | 11 | 0 | 0 | 8 | 8 | 0 | 8 | 8 | 8 | 8 | 10449 | 4137 | 8190 | 17 | 312 | 22 | 334 | 129 | 205 | 8 | 8 | 8 |
| Kharkivska | 8 | 8 | 0 | 8 | 8 | 8 | 0 | 8 | 8 | 8 | 8 | 6253 | 5154 | 5154 | 4 | 76 | 20 | 96 | 40 | 56 | 8 | 8 | 8 |
| Khersonska | 6 | 2 | 4 | 6 | 4 | 2 | 4 | 6 | 6 | 6 | 6 | 2685 | 1477 | 3982 | 30 | 495 | 195 | 690 | 338 | 352 | 6 | 6 | 6 |
| Khmelnytska | 7 | 7 | 0 | 7 | 7 | 7 | 0 | 7 | 7 | 7 | 7 | 5396 | 4486 | 4776 | 21 | 77 | 17 | 94 | 42 | 52 | 7 | 7 | 7 |
| Kirovohradska | 6 | 3 | 3 | 6 | 6 | 3 | 3 | 6 | 6 | 6 | 6 | 4325 | 3853 | 4740 | 20 | 287 | 22 | 309 | 143 | 166 | 6 | 6 | 6 |
| Kyivska | 6 | 5 | 1 | 6 | 6 | 5 | 1 | 6 | 6 | 6 | 6 | 2331 | 1949 | 1949 | 12 | 292 | 113 | 405 | 172 | 233 | 6 | 6 | 6 |
| Luhanska | 6 | 6 | 0 | 6 | 0 | 6 | 0 | 6 | 6 | 6 | 6 | 2854 | 2835 | 5166 | 6 | 99 | 59 | 158 | 65 | 93 | 6 | 6 | 6 |
| Lvivska | 8 | 6 | 2 | 8 | 8 | 6 | 2 | 8 | 8 | 2 | 2 | 573 | 573 | 573 | 3 | 54 | 6 | 60 | 26 | 34 | 8 | 8 | 8 |
| Mykolaivska | 7 | 5 | 2 | 7 | 6 | 5 | 2 | 7 | 7 | 6 | 6 | 1382 | 1167 | 1433 | 15 | 274 | 34 | 308 | 170 | 138 | 6 | 6 | 6 |
| Odeska | 6 | 6 | 0 | 6 | 5 | 6 | 0 | 6 | 6 | 6 | 6 | 5460 | 1946 | 3203 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 4 | 4 |
| Poltavska | 6 | 5 | 1 | 0 | 2 | 5 | 1 | 6 | 6 | 6 | 6 | 2038 | 1742 | 2052 | 16 | 36 | 18 | 54 | 32 | 22 | 6 | 6 | 6 |
| Rivnenska | 6 | 0 | 6 | 6 | 0 | 0 | 6 | 6 | 6 | 6 | 6 | 3216 | 3216 | 3216 | 9 | 233 | 45 | 278 | 39 | 239 | 6 | 6 | 6 |
| Sumska | 6 | 6 | 0 | 6 | 6 | 6 | 0 | 6 | 6 | 6 | 6 | 4396 | 4289 | 4581 | 3 | 26 | 4 | 30 | 13 | 17 | 6 | 6 | 6 |
| Ternopilska | 6 | 6 | 0 | 6 | 0 | 6 | 0 | 6 | 6 | 6 | 6 | 2451 | 2168 | 2168 | 1 | 14 | 13 | 27 | 17 | 10 | 4 | 4 | 4 |
| Vinnytska | 6 | 6 | 0 | 6 | 5 | 6 | 0 | 6 | 6 | 8 | 8 | 6893 | 5955 | 9578 | 1 | 11 | 15 | 26 | 15 | 11 | 6 | 6 | 6 |
| Volynska | 6 | 6 | 0 | 0 | 0 | 6 | 0 | 6 | 6 | 4 | 4 | 738 | 738 | 738 | 5 | 32 | 6 | 38 | 23 | 15 | 6 | 6 | 6 |
| Zakarpatska | 8 | 7 | 1 | 8 | 8 | 7 | 1 | 8 | 0 | 2 | 2 | 2450 | 2450 | 2450 | 15 | 230 | 70 | 300 | 176 | 124 | 8 | 8 | 8 |
| Zaporizka | 8 | 8 | 0 | 2 | 8 | 8 | 0 | 8 | 8 | 8 | 8 | 3916 | 3222 | 9188 | 2 | 23 | 43 | 66 | 43 | 23 | 8 | 8 | 8 |
| Zhytomyrska | 6 | 4 | 2 | 2 | 4 | 4 | 2 | 6 | 6 | 4 | 4 | 1419 | 1419 | 3576 | 8 | 73 | 36 | 109 | 50 | 59 | 6 | 6 | 6 |
| **Total** | **167** | **144** | **23** | **123** | **112** | **139** | **25** | **164** | **156** | **149** | **149** | **92870** | **72093** | **96779** | **351** | **3833** | **1376** | **5209** | **2317** | **2889** | **159** | **159** | **159** |

Annex – XIII

**Micro-Projects Support as of March 2014 (Energy Efficiency)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **Approved MPP** | **COS** | **VC|CC** | **Rayons** | **Oblast** | **Private** | **CBA** | **Total Budget (UAH)** | **Total Beneficiaries** | **Male** | **Female** | **Healthposts** | **School/Kindergartens** | **Community** |
| ARC | 10 | 113210 | 415445 | 358350 | 159970 | 0 | 1086349 | 2133324 | 10125 | 4667 | 5458 | 0 | 1 | 9 |
| Cherkaska | 25 | 1160473 | 1503257 | 917408 | 244388 | 347378 | 1954109 | 6127013 | 55990 | 26702 | 29288 | 5 | 12 | 8 |
| Cherniviska | 10 | 134284 | 50476 | 1324554 | 0 | 0 | 1085508 | 2594822 | 17294 | 8390 | 8904 | 3 | 4 | 3 |
| Chernivetska | 9 | 102790 | 18971 | 667533 | 0 | 0 | 1159086 | 1948380 | 11154 | 5397 | 5757 | 1 | 6 | 2 |
| Dnipropetrovska | 22 | 439160 | 295798 | 259861 | 308267 | 62736 | 535082 | 1900904 | 8314 | 4288 | 4026 | 2 | 7 | 13 |
| Donetska | 9 | 99496 | 585499 | 0 | 0 | 88000 | 2141590 | 2914585 | 26118 | 11322 | 14796 | 0 | 2 | 7 |
| Ivano-Frankivska | 15 | 170500 | 34309 | 1125378 | 65000 | 0 | 1792252 | 3187439 | 20516 | 9239 | 11277 | 4 | 6 | 5 |
| Kharkivska | 11 | 119386 | 780328 | 0 | 0 | 0 | 1390919 | 2290633 | 10430 | 4948 | 5482 | 1 | 3 | 7 |
| Khersonska | 10 | 149398 | 829473 | 232400 | 0 | 0 | 1291200 | 2502471 | 9085 | 4154 | 4931 | 1 | 5 | 4 |
| Khmelnitska | 13 | 161633 | 165739 | 983403 | 0 | 41595 | 1567381 | 2919751 | 7846 | 3427 | 4419 | 0 | 8 | 5 |
| Kirovogradska | 6 | 68158 | 208075 | 240000 | 0 | 11182 | 640064 | 1167479 | 6676 | 2964 | 3712 | 2 | 4 | 0 |
| Kyivska | 5 | 58407 | 309055 | 232487 | 0 | 0 | 568140 | 1168089 | 4231 | 2188 | 2043 | 1 | 2 | 2 |
| Luhanska | 11 | 274564 | 75181 | 1941742 | 43949 | 48015 | 1290023 | 3673474 | 8317 | 3946 | 4371 | 0 | 5 | 6 |
| Lvivska | 11 | 200956 | 1206194 | 1043300 | 0 | 0 | 1435025 | 3885475 | 16776 | 7789 | 8987 | 0 | 7 | 4 |
| Mykolaivska | 3 | 43085 | 209179 | 174000 | 0 | 0 | 406153 | 832417 | 3655 | 1666 | 1989 | 2 | 0 | 1 |
| Odeska | 4 | 53391 | 282411 | 125600 | 0 | 0 | 320181 | 781583 | 11100 | 5200 | 5900 | 0 | 0 | 4 |
| Poltavska | 17 | 751528 | 362555 | 973790 | 615270 | 109500 | 1139568 | 3952211 | 7318 | 3264 | 4054 | 0 | 8 | 9 |
| Rivnenska | 10 | 138656 | 75811 | 1081588 | 0 | 0 | 1304744 | 2600799 | 8004 | 3743 | 4261 | 0 | 9 | 1 |
| Sumska | 11 | 115532 | 595227 | 122143 | 11355 | 28454 | 1293090 | 2165801 | 12427 | 5874 | 6553 | 1 | 4 | 6 |
| Ternopilska | 11 | 146695 | 53529 | 843847 | 0 | 5303 | 1356633 | 2406007 | 10311 | 4839 | 5472 | 1 | 8 | 2 |
| Vinnytska | 14 | 143427 | 247860 | 842139 | 0 | 85234 | 1199065 | 2517725 | 5749 | 2718 | 3031 | 1 | 8 | 5 |
| Volynska | 10 | 103473 | 67375 | 355028 | 234225 | 0 | 1026421 | 1786522 | 5006 | 2057 | 2949 | 0 | 9 | 1 |
| Zakarpatska | 12 | 271132 | 1137359 | 722753 | 0 | 166411 | 1587834 | 3885489 | 15385 | 7206 | 8179 | 1 | 6 | 5 |
| Zaporizka | 16 | 205577 | 468146 | 700524 | 35500 | 181560 | 1371596 | 2962903 | 22109 | 10077 | 12032 | 1 | 0 | 15 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** | **275** | **5224911** | **9977252** | **15267828** | **1717924** | **1175368** | **28942013** | **62305296** | **313936** | **146065** | **167871** | **27** | **124** | **124** |

Annex – XIV

**Energy Awareness Activities (Energy Efficiency)**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Region** | **Training/RT** | | **Competition** | | **Exposure Visit** | | **Other Events** | | **Total Events** | |
| **No.** | **Participation** | **No.** | **Participation** | **No.** | **Participation** | **No.** | **Participation** | **No.** | **Participation** |
| 1 | ARC | 1 | 50 |  |  | 1 | 50 |  |  | 2 | 100 |
| 2 | Cherkaska | 1 | 97 |  |  | 1 | 22 |  |  | 2 | 119 |
| 3 | Chernihivska | 6 | 228 |  |  |  |  |  |  | 6 | 228 |
| 4 | Chernivetska |  |  | 1 | 136 |  |  |  |  | 1 | 136 |
| 5 | Dnipropetrovska | 7 | 177 |  |  |  |  |  |  | 7 | 177 |
| 6 | Donetska | 8 | 174 |  |  | 1 | 22 |  |  | 9 | 196 |
| 7 | I-Frankivska | 2 | 200 | 2 | 50 |  |  |  |  | 4 | 250 |
| 8 | Kharkivska | 2 | 86 |  |  |  |  | 1 | 45 | 3 | 131 |
| 9 | Khersonska | 4 | 157 |  |  |  |  |  |  | 4 | 157 |
| 10 | Khmelnytska | 4 | 200 | 4 | 620 |  |  |  |  | 8 | 820 |
| 11 | Kirovohradska | 9 | 249 |  |  |  |  |  |  | 9 | 249 |
| 12 | Kyivska | 4 | 239 |  |  |  |  |  |  | 4 | 239 |
| 13 | Luhanska | 6 | 362 |  |  |  |  |  |  | 6 | 362 |
| 14 | Lvivska | 3 | 115 |  |  |  |  |  |  | 3 | 115 |
| 15 | Mykolaivska | 2 | 107 |  |  |  |  | 1 | 70 | 3 | 177 |
| 16 | Odeska | 2 | 80 |  |  |  |  |  |  | 2 | 80 |
| 17 | Poltavska | 2 | 100 |  |  | 1 | 37 |  |  | 3 | 137 |
| 18 | Rivnenska | 4 | 140 |  |  |  |  |  |  | 4 | 140 |
| 19 | Sumska | 3 | 130 |  |  |  |  |  |  | 3 | 130 |
| 20 | Ternopilska | 1 | 195 |  |  |  |  | 1 | 10 | 2 | 205 |
| 21 | Vinnytska | 2 | 101 | 1 | 90 |  |  |  |  | 3 | 191 |
| 22 | Volynska |  |  | 2 | 68 |  |  |  |  | 2 | 68 |
| 23 | Zakarpatska | 3 | 220 |  |  |  |  |  |  | 3 | 220 |
| 24 | Zaporizka | 7 | 435 |  |  |  |  |  |  | 7 | 435 |
| 25 | Zhytomyrska |  |  | 4 | 671 |  |  |  |  | 4 | 671 |
|  | **Total** | **83** | **3842** | **14** | **1635** | **4** | **131** | **3** | **125** | **104** | **5733** |

Annex – XVA

**Rural Economic Development During First Quarter 2014**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Community selection** | | | **Cooperative Development** | | | | | **Training** | | | | **Business plans** | | |
| **CBA-I - II communities** | **New communities** | **Total** | **Cooperatives formed** | **Enrolled at VC/CC** | **Legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **# of BP** | **BPs approved VC/CC** | **# approved by LDF** |
| Cherkaska | 1 | 0 | 1 | 1 | 1 | 1 | 126 | 63 | 63 | 0 | 0 | 0 | 0 | 0 | 0 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Donetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 39 | 2 | 0 | 0 | 0 |
| Sumska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 12 | 1 | 0 | 0 | 0 |
| **Total** | **1** | **0** | **1** | **1** | **1** | **1** | **126** | **63** | **63** | **3** | **51** | **3** | **0** | **0** | **0** |

Annex – XVB

**Rural Economic Development as of March 2014**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **Community selection** | | | **Cooperative Development** | | | | | **Training** | | | | **Business plans** | | |
| **CBA-I - II communities** | **New communities** | **Total** | **Cooperatives formed** | **Enrolled at VC/CC** | **Legally registered** | **Target HH** | **Participated HHs** | **Total Members** | **Number of Training** | **CO-members** | **Authorities** | **# of BP** | **BPs approved VC/CC** | **# approved by LDF** |
| Cherkaska | 1 | 2 | 3 | 3 | 3 | 3 | 311 | 248 | 248 | 10 | 89 | 24 | 3 | 3 | 3 |
| Chernivetska | 1 | 1 | 2 | 2 | 2 | 2 | 1116 | 115 | 115 | 16 | 305 | 25 | 2 | 2 | 2 |
| Donetska | 2 | 0 | 2 | 2 | 2 | 2 | 231 | 88 | 88 | 10 | 111 | 23 | 2 | 2 | 2 |
| Kirovohradska | 1 | 1 | 2 | 2 | 2 | 2 | 164 | 94 | 362 | 18 | 271 | 48 | 2 | 2 | 2 |
| Mykolaivska | 3 | 0 | 3 | 3 | 3 | 3 | 405 | 212 | 320 | 24 | 421 | 16 | 3 | 3 | 3 |
| Sumska | 2 | 0 | 2 | 2 | 2 | 2 | 144 | 111 | 120 | 10 | 100 | 28 | 2 | 2 | 2 |
| Ternopilska | 2 | 0 | 2 | 2 | 2 | 2 | 123 | 123 | 123 | 9 | 71 | 13 | 2 | 2 | 2 |
| **Total** | **12** | **4** | **16** | **16** | **16** | **16** | **2494** | **991** | **1376** | **97** | **1368** | **177** | **16** | **16** | **16** |

Annex – XVC

**Rural Economic Development: Micro-project Support as of March 2014**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Oblast** | **MPPs approved** | **Cost-sharing** | | | | | | | **Beneficiaries** | | |
| **Cooperative** | **VC/CC** | **Rayon** | **Oblast** | **Private sector** | **CBA** | **Total** | **Total** | **Male** | **Female** |
| Chernivetska | 2 | 75 820 | 17 000 | 65 850 | 0 | 0 | 337 570 | 496 240 | 281 | 136 | 145 |
| Sumska | 2 | 85 916 | 85 857 | 0 | 0 | 0 | 400 600 | 572 373 | 297 | 128 | 169 |
| Ternopilska | 2 | 112 887 | 5 000 | 40 000 | 0 | 40 410 | 409 385 | 607 682 | 646 | 320 | 326 |
| Cherkaska | 2 | 87 076 | 29 967 | 29 285 | 28 570 | 0 | 406 350 | 581 248 | 185 | 88 | 97 |
| Donetska | 2 | 86 508 | 43 000 | 0 | 0 | 43 507 | 403 635 | 576 650 | 301 | 145 | 156 |
| Kirovohradska | 2 | 85 658 | 0 | 78 049 | 0 | 0 | 381 911 | 545 618 | 288 | 133 | 155 |
| Mykolaivska | 3 | 228 537 | 0 | 0 | 0 | 25 250 | 584 550 | 838 337 | 680 | 334 | 346 |
| **Total** | **15** | **762402** | **180824** | **213184** | **28570** | **109167** | **2924001** | **4218148** | **2678** | **1284** | **1394** |

Annex – XVI

**Proposed KMH Activities in 2014**

|  |  |  |  |
| --- | --- | --- | --- |
| **SN** | **Result to be obtained** | **Category\*** | **Target** |
| **A) POLICY RECOMMEIFCTIONS ON LOCAL GOVERNANCE** | | | |
| 1 | Draft Law “On Public Self-Organization Bodies” submitted for consideration to Verkhovna Rada (registration № 3704 - КМУ and 3704-1 – members of parliament of VII convocation: | COP | Propositions to the Draft Law |
| 2 | Draft Law “On Local Referendum" submitted for consideration to Verkhovna Rada (registration № 0867 - КМУ) | COP | Propositions to the Draft Law |
| 3 | Draft Law "On the Principles of Regional Policy " submitted for consideration to Verkhovna Rada (registration №3135 - КМУ) | COP | Propositions to the Draft Law |
| 4 | Draft Law "On the Right of Local Communities for Association" submitted for consideration to Verkhovna Rada (registration №3849 - КМУ) | COP | Propositions to the Draft Law |
| 5 | Draft Law “On Cooperation of Local Communities” submitted for consideration to Verkhovna Rada (registration №3617 - КМУ) | COP | Propositions to the Draft Law |
| 6 | Draft Law "On General Meeting (Conference) of Community Members" submitted to Verkhovna Rada (registration №3747 members of parliament | IFC | Propositions to the Draft Law |
| 7 | Draft Law "On Local Initiatives" submitted to Verkhovna Rada (registration № 3740 – Member of Parliament ) | IFC | Propositions to the Draft Law |
| 8 | Draft Act of the Cabinet of Ministers of Ukraine "On Implementation Plan of the State Strategy of Regional Development till 2020" | IFC | Propositions to the draft Plan |
| 9 | Round tables (expert discussion on improvement of legislation during round tables, working group meetings, moderated discussions on web-portal) | - | Propositions to the draft legislative acts |
| 10 | Providing clarifications / consulting local self-governing bodies and officials on practical aspects of relevant legislation | - | Clarifications / consulting on legislation |
| 11 | Other draft Laws initiated by central executive bodies and parliament members of Ukraine on local governance and regional development, territorial organisation of power, financial strengthening of local governance etc. | IFWC, COP | Suggestions to the draft legislative acts |
| **B) COORDINATION OF KMH THEMATIC ACTIVITIES** | |  |  |
| 1 | On reform of local governance, territorial organization of power, administrative and territorial structure | - | Online discussion in each defined thematic area |
| 2 | On preventing corruption in local governments | - | An analytical document (policy recommendations) prepared in each thematic area |
| 3 | On economic development, including: *communal property, land relations* | - | Consultations |
| 4 | On resource provision for local government, including: *local budgets, inter-budget relations* | - | Consultations |
| 5 | On decision making by local governments | - | Consultations |
| 6 | On electoral process and ensuring local democracy | - | Consultations |

\* COP - carried over from previous years' results of KMH; IFC - identified for first time with certainty; IFWC - identified first time without certainty

Annex – XVII

**Curriculum Development Component of CBA in 2014**

1. **NECESSITY OF THE ACTIVITY**

Annual review meeting with partner universities held in November 2013 made following recommendations for 2014 in above background.

* Promotion of teaching on sustainable development in universities;
* Conducting research and bringing out publication on the subject;
* Enriching the curriculum with ground based teaching materials (cases, videos etc.)
* Involving students in the learning through practical exposure to the ground reality;
* Institutionalization and formalization of National Network of Partner Universities;
* Supporting NAPA activities with an aim to enhance the knowledge of civil servants in the area of community based sustainable development

1. **OBJECTIVE OF THE PROPOSED ACTIVITIES**

Overall objective of the activity is to strengthen the process of introducing teaching/curriculum on community based development in the framework of sustainable development.

Specific objectives are –

* To consolidate and update teaching materials;
* To introduce the sustainable society development course into teaching programme of selected partner universities;
* To provide young researchers exposure to practical aspects of community based local sustainable development;
* To support scientific publications of teachers on sustainable development topic

1. **IMPLEMENTATION ARRANGEMENT**

Each specific objective will be achieved through a series of activities carried out in cooperation with partner universities. Details of the activities are given below.

* 1. **Promoting sustainable development in univerisities:** there are 6 regions where partnership with universities is yet to be established. Also, 13 universities are yet to introduce course/module on sustainable development. Moreover, some of the universisites have changed coordinators/ teachers. In this light, following activities will be undertaken during 2014.

1. Expanding partnership with universities in 6 regions;
2. Assessment of teaching of sustainable development curriculum to achieve recommendations for raising effectiveness of the teaching;
3. Building capacity of the teachers to undertake effective teaching;
4. Developing supplemental teaching materials including distance learning course.
   1. **Publications and research**: this direction is mostly teachers\senior researchers oriented. It envisions involvement of teachers of the universities to promote topics related to sustainable development and to give them opportunity to publish their papers on the subject. Specifically -
5. Bringing out second volume of Sustainable Development Monograph (detail in Annex – A)
6. Reprinting of the textbook on sustainable society development
7. Bringing out special edition of a scientific journal devoted to sustainable development with community participation.
8. Supporting scientitic publications related to local sustainable development (energy efficiency and alternative energy, participatory governance, etc)
   1. **Involving students in learning process** :Following activities will be undertaken to enhance understanding of graduate students towards community based approach and local sustainable development:
9. Student debates on sustainable development will be held in one of the partner universities. Teams from different regions will debate on topics related to sustainable development and local community development
10. Small research: Students will be given opportunity to compete for making small research and writing paper on various aspects of community based development and its application in Ukraine. List of recommended areas for research is given in Annex – B. Eligible are students of 2nd – 5th years, postgraduate students. Authors of the best 5 papers will be invited for participation in summer school. Best 20 papers will be published.
11. Summer school: Summer school ‘Role of Community in Sustainable Development’ will be organized in order to bring young researchers closer to grassroots level, allowing for exchange of experience and networking.
12. Internship for graduate students will be hosted with support of CBA/regional implementation units. The interns will be provided with premises, working desk, visit to communities and local authorities and access to information. Main output of the internship will be in form of a paper, article or essays presenting the results of the research. CBA will not provide any remuneration.
13. Field visits: within frameworks of course/module on sustainable development, field visits to CBA pilot communities might be organized for students of the course.
14. Student conferences: small support will be provided for the students participating in student conferences on topics relevant to sustainable development (motivating prizes).
    1. **Supporting NAPA activities related to CBA methodology**: With an aim to enhance the knowledge of civil servants in the area of community based sustainable development, support will be provided to National Academy of Public Administration for the following activities.
15. Review and updating the training modules on sustainable development
16. Organization of exposure visits to CBA sites
17. Organization of summer school for the best performing students;
18. Organization of seminar on effectiveness of community based local sustainable development
    1. **Institutionalization and formalization of National Network of Partner Universities:** Since NNPU has been successfully acting for 2 years, it is time to institutionalize it and pass it functions that CBA Project used to carry out. Therefore, following activities are planned for 2014 in this direction:
19. Develop a concept paper for university level resource center;
20. Develop a statute for formalizing the national network of universities;
21. Conducting a competition of university resource centers
22. Organization of annual review meeting for representatives of NNPU
23. **EXPECTED OUTPUT**

Following outputs are expected from this activity:

1. At least 15 universities include course on Sustainable Society Development with modules on community based approach;
2. At least 30 students will go through internship
3. On publication of student papers (approximately 20 papers)
4. One monograph (second volume) including teachers’ research papers published
5. One edition of journal with collection of articles on topics related to sustainable development with community participation

Annex – XVIII

**Media Coverage and Media Events**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** | **1st quarter 2014** | | | | | | | | | | **Total Since Inception** | | | | | | | | | |
| **Media Events** | **Media Coverage** | | | | | **Publications** | | | | **Media Events** | **Media Coverage** | | | | | **Publications** | | | |
| **Newspapers** | **TV** | **Radio** | **Electronic media** | **Total** | **Partners websites** | **Info bulletin** | **Print copies** | **Electronic copies** | **Newspaper** | **TV** | **Radio** | **Electronic media** | **Total** | **Partners’ website** | **Info bulletin** | **Print copies** | **Electronic copies** |
| ARC | 0 | 1 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 13 | 78 | 46 | 22 | 81 | 227 | 133 | 33 | 915 | 44 |
| Cherkaska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 97 | 27 | 8 | 27 | 159 | 118 | 8 | 475 | 300 |
| Chernihivska | 0 | 2 | 0 | 2 | 0 | 4 | 2 | 2 | 65 | 40 | 10 | 11 | 10 | 17 | 15 | 53 | 38 | 40 | 303 | 194 |
| Chernivetska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 25 | 4 | 3 | 0 | 32 | 42 | 4 | 200 | 100 |
| Dnipropetrovska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 78 | 29 | 5 | 27 | 139 | 25 | 3 | 0 | 108 |
| Donetska | 0 | 1 | 2 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 12 | 53 | 18 | 3 | 1 | 75 | 51 | 0 | 0 | 0 |
| I-Frankivska | 0 | 0 | 0 | 0 | 5 | 5 | 3 | 0 | 0 | 0 | 17 | 75 | 50 | 36 | 122 | 283 | 73 | 11 | 1565 | 251 |
| Kharkivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 19 | 11 | 5 | 12 | 47 | 31 | 10 | 300 | 8 |
| Khersonska | 0 | 1 | 0 | 0 | 0 | 1 | 8 | 0 | 0 | 0 | 16 | 48 | 13 | 3 | 24 | 88 | 73 | 2 | 200 | 200 |
| Khmelnytska | 0 | 4 | 0 | 0 | 0 | 4 | 3 | 0 | 0 | 0 | 13 | 82 | 47 | 38 | 3 | 170 | 168 | 5 | 920 | 108 |
| Kirovohradska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 43 | 32 | 40 | 22 | 137 | 55 | 4 | 500 | 500 |
| Kyivska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 154 | 143 | 46 | 47 | 31 | 267 | 108 | 17 | 2410 | 110 |
| Luhanska | 0 | 1 | 1 | 1 | 2 | 5 | 1 | 0 | 0 | 0 | 28 | 106 | 32 | 14 | 155 | 307 | 147 | 16 | 5436 | 287 |
| Lvivska | 0 | 3 | 0 | 0 | 0 | 3 | 3 | 0 | 0 | 0 | 18 | 98 | 18 | 16 | 45 | 177 | 101 | 8 | 1850 | 149 |
| Mykolaivska | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 12 | 51 | 22 | 8 | 54 | 135 | 37 | 23 | 3590 | 888 |
| Odeska | 0 | 2 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 12 | 72 | 22 | 4 | 12 | 110 | 67 | 1 | 12 | 12 |
| Poltavska | 0 | 9 | 1 | 8 | 1 | 19 | 3 | 0 | 0 | 0 | 18 | 129 | 45 | 262 | 39 | 475 | 170 | 9 | 890 | 290 |
| Rivnenska | 0 | 0 | 5 | 0 | 0 | 5 | 4 | 1 | 10 | 30 | 32 | 154 | 78 | 83 | 139 | 454 | 155 | 16 | 946 | 710 |
| Sumska | 1 | 1 | 0 | 8 | 0 | 9 | 3 | 0 | 0 | 0 | 44 | 48 | 20 | 142 | 37 | 247 | 88 | 3 | 400 | 20 |
| Ternopilska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 20 | 50 | 12 | 55 | 13 | 38 | 11 | 117 | 120 | 11 | 369 | 354 |
| Vinnytska | 0 | 7 | 2 | 1 | 12 | 22 | 8 | 1 | 25 | 25 | 5 | 51 | 6 | 8 | 73 | 138 | 67 | 9 | 495 | 285 |
| Volynska | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 92 | 16 | 4 | 44 | 156 | 59 | 7 | 146 | 263 |
| Zakarpatska | 0 | 5 | 0 | 0 | 0 | 5 | 5 | 0 | 0 | 0 | 24 | 50 | 22 | 2 | 47 | 121 | 75 | 6 | 1520 | 1520 |
| Zaporizka | 0 | 9 | 11 | 0 | 12 | 32 | 15 | 3 | 94 | 36 | 16 | 178 | 153 | 25 | 200 | 556 | 141 | 32 | 1940 | 378 |
| Zhytomyrska | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 10 | 81 | 6 | 16 | 8 | 111 | 77 | 14 | 980 | 196 |
| **Total** | **1** | **46** | **23** | **20** | **32** | **121** | **63** | **8** | **214** | **181** | **546** | **1917** | **786** | **849** | **1229** | **4781** | **2219** | **292** | **26362** | **7275** |

1. In case of ARC, the Republican Coordination Council is headed by speaker of Verkhovna Rada of ARC [↑](#footnote-ref-1)